

Rulemaking File
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Title18. Public Revenue

Regulation 6001, *General Provision*

FPPC Approval

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CC: Edna Murphy

**State of California
Office of Administrative Law**

**In re:
Board of Equalization**

NOTICE OF FILING AND PRINTING ONLY

Regulatory Action:

Government Code Section 11343.8

Title 18, California Code of Regulations

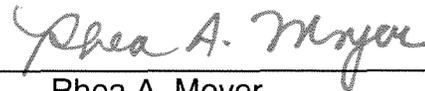
**Adopt sections:
Amend sections: 6001
Repeal sections:**

OAL File No. 2015-0219-01 FP

This is an ammendment to a Conflict of Interest Code that has been approved by the Fair Political Practices Commission and is being submitted for filing with the Secretary of State and printing in the California Code of Regulations only.

OAL filed this regulation(s) or order(s) of repeal with the Secretary of State, and will publish the regulation(s) or order(s) of repeal in the California Code of Regulations.

Date: 3/4/2015



Rhea A. Moyer
Legal Analyst

For: DEBRA M. CORNEZ
Director

Original: Cynthia Bridges
Copy: Richard Bennion

OFFICE OF ADMINISTRATIVE LAW

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Sacramento, CA 95814
(916) 323-6225 FAX (916) 323-6826



DEBRA M. CORNEZ
Director

MEMORANDUM

TO: Richard Bennion
FROM: OAL Front Desk *Jo.*
DATE: 3/5/2015
RE: Return of File and Print Only Rulemaking Materials
OAL File No. 2015-0219-01FP

OAL hereby returns this file your agency submitted for our review (OAL File No. 2015-0219-01FP regarding Conflict-of-Interest Code).

If this is an approved file, it contains a copy of the regulation(s) stamped “ENDORSED APPROVED” by the Office of Administrative Law and “ENDORSED FILED” by the Secretary of State. The effective date of an approved regulation is specified on the Form 400 (see item B.5). **Beginning January 1, 2013**, unless an exemption applies, Government Code section 11343.4 states the effective date of an approved regulation is determined by the date the regulation is filed with the Secretary of State (see the date the Form 400 was stamped “ENDORSED FILED” by the Secretary of State) as follows:

- (1) **January 1** if the regulation or order of repeal is filed on September 1 to November 30, inclusive.
- (2) **April 1** if the regulation or order of repeal is filed on December 1 to February 29, inclusive.
- (3) **July 1** if the regulation or order of repeal is filed on March 1 to May 31, inclusive.
- (4) **October 1** if the regulation or order of repeal is filed on June 1 to August 31, inclusive.

If an exemption applies concerning the effective date of the regulation approved in this file, then it will be specified on the Form 400. The Notice of Approval that OAL sends to the state agency will contain the effective date of the regulation. The history note that will appear at the end of the regulation section in the California Code of Regulations will also include the regulation’s effective date. Additionally, the effective date of the regulation will be noted on OAL’s Web site once OAL posts the Internet Web site link to the full text of the regulation that is received from the state agency. (Gov. Code, secs. 11343 and 11344.)

Please note this new requirement: Unless an exemption applies, Government Code section 11343 now requires:

1. **Section 11343(c)(1):** Within 15 days of OAL filing a state agency’s regulation with the Secretary of State, the state agency is required to post the regulation on its Internet Web site in an easily marked and identifiable location. The state agency shall keep the regulation posted on its Internet Web site for at least six months from the date the regulation is filed with the Secretary of State.
2. **Section 11343(c)(2):** Within five (5) days of posting its regulation on its Internet Web site, the state agency shall send to OAL the Internet Web site link of each regulation that the agency posts on its Internet Web site pursuant to section 11343(c)(1).

OAL has established an email address for state agencies to send the Internet Web site link to for each regulation the agency posts. Please send the Internet Web site link for each regulation posted to OAL at postedregslink@oal.ca.gov.

NOTE ABOUT EXEMPTIONS. Posting and linking requirements do not apply to emergency regulations; regulations adopted by FPPC or Conflict of Interest regulations approved by FPPC; and regulations not subject to OAL/APA review. However, an exempt agency may choose to comply with these requirements, and OAL will post the information accordingly.

DO NOT DISCARD OR DESTROY THIS FILE

Due to its legal significance, you are required by law to preserve this rulemaking record. Government Code section 11347.3(d) requires that this record be available to the public and to the courts for possible later review. Government Code section 11347.3(e) further provides that "...no item contained in the file shall be removed, altered, or destroyed or otherwise disposed of." See also the State Records Management Act (Government Code section 14740 et seq.) and the State Administrative Manual (SAM) section 1600 et seq.) regarding retention of your records.

If you decide not to keep the rulemaking records at your agency/office or at the State Records Center, you may transmit it to the State Archives with instructions that the Secretary of State shall not remove, alter, or destroy or otherwise dispose of any item contained in the file. See Government Code section 11347.3(f).

Enclosures

NOTICE PUBLICATION/REGULATIONS SUBMISSION

FILE PRINT

(See instructions on reverse)

For use by Secretary of State only

STD. 400 (REV. 01-2013)

OAL FILE NUMBERS	NOTICE FILE NUMBER Z-2014-1125-01	REGULATORY ACTION NUMBER 2015-0219-01FP	EMERGENCY NUMBER
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For use by Office of Administrative Law (OAL) only

ENDORSED - FILED
In the office of the Secretary of State
of the State of California

MAR -4 2015

2:10 PM

2015 FEB 19 PM 1:22
OFFICE OF ADMINISTRATIVE LAW

NOTICE	REGULATIONS
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AGENCY WITH RULEMAKING AUTHORITY
State Board of Equalization

AGENCY FILE NUMBER (if any)

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE		TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE	
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other		4. AGENCY CONTACT PERSON		TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn		NOTICE REGISTER NUMBER	PUBLICATION DATE	

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) Conflict-of-Interest Code	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) Z-2014-1125-01
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2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)

SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT
	AMEND 6001
	REPEAL

TITLE(S)
18

3. TYPE OF FILING

<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input checked="" type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))	<input type="checkbox"/> Other (Specify) _____		

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)

<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))	<input type="checkbox"/> Effective on filing with Secretary of State	<input type="checkbox"/> \$100 Changes Without Regulatory Effect	<input checked="" type="checkbox"/> Effective other (Specify) 30th day after SOS filing per 1974 PRA
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6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY

<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)	<input checked="" type="checkbox"/> Fair Political Practices Commission	<input type="checkbox"/> State Fire Marshal
<input type="checkbox"/> Other (Specify) _____		

7. CONTACT PERSON Rick Bennion	TELEPHONE NUMBER (916) 445-2130	FAX NUMBER (Optional) (916) 324-3984	E-MAIL ADDRESS (Optional) rbennion@boe.ca.gov
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8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE <i>Joann Richmond</i>	DATE February 19, 2015
TYPED NAME AND TITLE OF SIGNATORY Joann Richmond, Chief, Board Proceedings Division	

For use by Office of Administrative Law (OAL) only

ENDORSED APPROVED

MAR 04 2015

Office of Administrative Law

Final Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

6001. General Provisions.

The Political Reform Act (~~Government Code Sections~~ Gov. Code, § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (~~2-Cal. Code of Regs., tit. 2, Section § (Regulation) 18730~~) containing the terms of a standard conflict of interest code, which can be incorporated by reference ~~in an agency's code, and which may, a~~ After public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2-Cal. Code of Regs. Section Regulation 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices A and B, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the State Board of Equalization.

~~All designated employees of the State Board of Equalization~~ Individuals holding designated positions shall file their statements of economic interests with the State Board of Equalization, which will make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the ~~a~~ statement ~~for~~ the Executive Director, the State Board of Equalization shall make and retain copies ~~a copy~~ and forward the original to the Fair Political Practices Commission. ~~Statements of a~~ All other statements ~~designated employees~~ will be retained by the State Board of Equalization.

Note: Authority cited: Sections 87300-87311, Government Code. Reference: Sections 87300-87302 and 87306~~3~~, Government Code.

Final Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix A

Designated Positions	Disclosure Categories
<u>Board Members' Offices</u>	
Deputy to Board Member	<u>All 1-8</u>
Administrative Assistant to Board Member	<u>All 1-8</u>
Assistant to Board Member	<u>All 1-8</u>
<u>CEA (All Levels)</u>	<u>All 1-8</u>
<u>District Director</u>	<u>1-8</u>
<u>Community Affairs Deputy</u>	<u>1-8</u>
Administrative Assistant	1-8
Staff Services Manager	<u>1-8, 9</u>
Tax Counsel (All Levels)	<u>1-67</u>
Tax Consultant Expert (All Levels)	<u>1-67</u>
Tax Services Specialist	<u>1-67</u>
Assistant Tax Services Specialist	<u>1-67</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>
Associate Governmental Program Analyst	<u>1-2, 4-67</u>
Business Taxes Representative	<u>1-67</u>
Business Taxes Specialist H(All Levels)	<u>1-67</u>
Information Officer H(All Levels)	<u>1-2, 4-67</u>
<u>Special Consultant</u>	<u>1-7</u>
<u>Executive Office</u>	
Executive Director	<u>All 1-8</u>
Administrative Assistant	All
CEA (Communications Office)	All
<u>Executive Services Section</u>	
<u>Staff Services Manager (All Levels)</u>	<u>1-8</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-2, 4-7</u>
<u>Associate Management Analyst</u>	<u>1-2, 4-7</u>
<u>Associate Governmental Program Analyst</u>	<u>1-2, 4-7</u>
<u>Board Proceedings Division</u>	
CEA	All
<u>Chief</u>	<u>1-8</u>
Staff Services Manager	<u>All 7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>7, 9</u>
Associate Governmental Program Analyst (LAN Workgroup Manager)	1-6, 8
Associate Governmental Program Analyst	1-6
<u>Customer and Taxpayer Services Division</u>	

CEA	All
Staff Services Manager	1-9
Business Taxes Compliance Specialist	1-6
Assistant Tax Services Specialist	1-6
Associate Governmental Program Analyst	1-6
Associate Information Systems Analyst	8
Staff Services Manager (Forms and Publications Section)	7, 9
Assistant Tax Services Specialist (Forms and Publications Section)	7, 8
Associate Governmental Program Analyst (Forms and Publications (Section))	7, 8
<u>External Affairs Department</u>	
<u>Deputy Director</u>	1-8
<u>Outreach Services Division</u>	
<u>Staff Services Manager (All Levels)</u>	1-2, 4-7, 9
<u>Information Officer (All Levels)</u>	1-2, 4-7
<u>Tax Services Specialist</u>	1-2, 4-7
<u>Customer Service and Publishing Division</u>	
<u>Staff Services Manager (All Levels)</u>	1-2, 4-7
<u>Business Taxes Compliance Supervisor (All Levels)</u>	1-2, 7, 9-11
<u>Tax Service Specialist</u>	1-2, 7, 9-11
<u>Business Taxes Compliance Specialist</u>	1-2, 7
<u>Systems Software Specialist (All Levels)</u>	10
<u>Web Services Division</u>	
<u>Senior Information Systems Analyst (Supervisor)</u>	10
<u>Office of Public Affairs</u>	
<u>Tax Service Specialist</u>	1-2, 4-8
<u>Information Officer (All Levels)</u>	1-2, 4-7, 9
<u>Staff Services Manager</u>	1-2, 4-7, 9
<u>Legislative and Research Division</u>	
<u>Assistant Chief Counsel</u>	All
<u>CEA (All Levels)</u>	All 1-8
<u>Staff Services Manager (All Levels)</u>	1-6, 7, 9
<u>Senior Specialist Property Appraiser</u>	1-6
<u>Business Taxes Specialist (All Levels)</u>	1-6, 7
<u>Associate Governmental Program Analyst (System or LAN Administrator)</u>	1-6, 8
<u>Operations Research Specialist</u>	1-4, 6, 8
<u>Research Manager (All Levels)</u>	1-2, 5, 9
<u>Research Program Specialist (All Levels)</u>	1-4, 8, 2, 5, 9
<u>Research Analyst</u>	1-4, 8

Technology Services Division Department

<u>Chief Information Officer</u>	1-8
<u>CEA (All Levels)</u>	AH1-8
<u>Data Processing Manager IV</u>	AH1-8
Business Taxes Administrator	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 7-9
<u>Data Processing Manager (I-III)</u>	7-910
<u>Staff Services Manager (All Levels)</u>	7-910
<u>Systems Software Specialist (II or III)</u>	810
<u>Systems Software Specialist III (Supervisor)</u>	10
Associate Systems Software Specialist	8
<u>Senior Information Systems Analyst (Specialist or Supervisor)</u>	810
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	810
Associate Information Systems Analyst	8
<u>Senior Programmer Analyst (Supervisor)</u>	810
Staff Programmer Analyst	8
Associate Programmer Analyst	8
Associate Governmental Program Analyst	8

CROS Project Team

<u>CEA (All Levels)</u>	1-8
<u>Data Processing Manager (All Levels)</u>	1-2, 4-8
<u>Business Taxes Administrator III</u>	1-2, 4-8
<u>Business Taxes Specialist (All Levels)</u>	10
<u>Staff Services Manager (All Levels)</u>	10
<u>Business Taxes Representative (All Levels)</u>	10
<u>Associate Governmental Program Analyst</u>	10
<u>Systems Software Specialist (All Levels)</u>	10
<u>Senior Information Systems Analyst (Specialist)</u>	10
<u>Senior Programmer Analyst (Specialist)</u>	10
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	10
<u>Evaluators***</u>	10
<u>Subject Matter Experts ***</u>	10

Taxpayers' Rights and Equal Employment Opportunity Division

<u>CEA</u>	AH
<u>Taxpayers' Rights Advocate</u>	1-8
<u>Tax Counsel (All Levels)</u>	1-7
<u>Business Taxes Administrator (TRA) (All Levels)</u>	1-7, 9
<u>Business Taxes Specialist (TRA) (All Levels)</u>	1-47
<u>Senior Specialist Property Appraiser (TRA)</u>	3, 7
<u>Assistant Property Appraiser (TRA)</u>	3, 7
Associate Property Appraiser (TRA)	1-4
<u>Staff Services Manager (EEO) (All Levels)</u>	7, 9
Associate Governmental Program Analyst (EEO)	7, 9

Internal Audit Division

<u>Chief</u>	<u>1-8</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-7</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>

Administration Department

CEA	All
Training Officer (Training Office)	9
Associate Governmental Program Analyst (Training Office)	9
<u>Deputy Director</u>	<u>1-8</u>

Information Security Office

<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Information Systems Analyst</u>	<u>10</u>
<u>Associate Information Systems Analyst</u>	<u>10</u>

Administrative Support Division

CEA	All
<u>Chief</u>	<u>1-7, 9-10</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-10, 1-7, 9-10</u>
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Telecommunications Systems Analyst	10
Office Services Manager (Supervisor, Cashier Unit)	7
<u>Business Services Officer (Supervisor)</u>	<u>9-10</u>
<u>Printing Trades Supervisor (All Levels)</u>	<u>9-10</u>
<u>Warehouse Manager (All Levels)</u>	<u>9-10</u>
<u>Office Services Supervisor (All Levels)</u>	<u>9-10</u>
<u>Chief Construction Supervisor</u>	<u>9-10</u>

~~Personnel Management~~ Human Resources Division

CEA	7-9
<u>Chief</u>	<u>1-7, 9, 11</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-9, 11</u>
<u>Associate Governmental Program Analyst</u>	<u>7-9, 11</u>
<u>Associate Personnel Analyst</u>	<u>7-9, 11</u>
<u>Personnel Supervisor (All Levels)</u>	<u>9, 11</u>
<u>Training Officer</u>	<u>9, 11</u>
<u>Labor Relations Manager</u>	<u>9, 11</u>
<u>Labor Relations Specialist</u>	<u>7-9, 11</u>

Financial Management Division

CEA	All
Chief	1-8
Staff Services Manager (All Levels)	7-98
Accounting Administrator (All Levels)	7-98
Senior Administrative Analyst	8
Mailing Machines Supervisor (All Levels)	10
Office Services Manager (All Levels)	9
Associate Accounting Analyst	7-9
Associate Administrative Analyst	7-9
Associate Budget Analyst	7-9
Senior Accounting Officer	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst (Specialist)	8
Policy, Planning and Evaluation Division	
Staff Services Manager III	1-4, 7-9
Staff Services Manager I or II	7-9
Associate Management Analyst	7-9
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst	8
Legal Department	
Chief Counsel	1-8
CEA (All Levels)	AH1-8
Assistant Chief Counsel	AH1-8
Tax Counsel	1-67
Supervising Tax Auditor	1, 2
Business Taxes Administrator (All Levels)	9
Administrative Assistant	7-9
Librarian	7, 89
Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Business Taxes Specialist II or III	1, 2, 7
Business Taxes Specialist I	1, 2, *, 7
Business Taxes Compliance Supervisor (Offers in Compromise)	1, 2
Business Taxes Compliance Specialist (Offers in Compromise)	1, 2, 7
Business Taxes Specialist (Offers in Compromise) (All Levels)	1, 2, 7
Internal Security and Audit Division	
CEA	AH
Business Taxes Administrator	AH
Business Taxes Specialist	AH
Associate Tax Auditor	AH
Associate Governmental Program Analyst	7-9
Systems Software Specialist	8

Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Assistant Information Systems Analyst	8
<u>Investigations and Special Operations Division</u>	
CEA	All
Chief	1-8
Business Taxes Administrator (All Levels)	1, 2, 7, 9
Business Taxes Compliance Supervisor (All Levels)	1-7, 9
Business Taxes Specialist (All Levels)	1, 2-7
Business Taxes Compliance Specialist	1, 2-7
Supervising Tax Auditor	1, 2, 9-7
Associate Tax Auditor	1, 2-7
Senior Information Systems Analyst (Specialist)	10
Staff Information Systems Analyst	810
<u>Data Analysis Section</u>	
Supervising Tax Auditor (All Levels)	1-8
Business Taxes Specialist II or III	1, 7
Business Taxes Specialist I	12
Business Taxes Compliance Specialist	12
<u>Property and Special Taxes Department</u>	
CEA	All
Administrative Assistant	1, 2, 6-9
Staff Services Manager	7-9
Associate Governmental Program Analyst	3, 7-9
Staff Information Systems Analyst	8
<u>Assessment Policy and Standards Division</u>	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Research Analyst II (GIS)	3
<u>County Property Tax Division</u>	
CEA	3, 4, 7-9
Principal Property Appraiser	3, 7-9
Senior Petroleum and Mining Appraisal Engineer	3
Supervising Property Appraiser (Assessment Practices Surveys Section)	3, 7-9
Senior Specialist Property Appraiser (Assessment Practices Surveys Section)	3

Senior Specialist Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Associate Property Appraiser (Assessment Practices Surveys Section)	3
Associate Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Supervising Property Appraiser (Timber Tax Section)	4, 7-9
Senior Specialist Property Auditor Appraiser (Timber Tax Section)	4
Associate Property Auditor Appraiser (Timber Tax Section)	4
Senior Forest Property Appraiser	4
Associate Forest Property Appraiser	4
Valuation Division	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Excise Taxes and Fees Division	
CEA	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 6, 9
Business Taxes Compliance Specialist	1, 2, 6, 9
Supervising Tax Auditor	1, 2, 6, 9
Business Taxes Specialist II or III	1, 2, 6, 9
Business Taxes Specialist I	1, 2, 6*
Associate Tax Auditor	1, 2, 6*
Fuel Taxes Division	
CEA	1, 2, 7-9
Business Taxes Administrator	1, 2, 7-9
Staff Services Manager	1, 2, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 9
Business Taxes Specialist II or III	1, 2, 9
Business Taxes Specialist I	1, 2*
Associate Tax Auditor	1, 2*
<u>Property and Special Taxes Department</u>	
<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III (Technical Advisors)</u>	<u>1-4, 6-7, 9</u>

County-Assessed Properties Division & State-Assessed Properties Division

<u>CEA (All Levels)</u>	<u>2-7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>9, 13</u>
<u>Principal Property Appraiser</u>	<u>9, 13</u>
<u>Principal Property Appraiser (Timber)</u>	<u>4, 9</u>
<u>Supervising Property Appraiser</u>	<u>9, 13</u>
<u>Supervising Property Appraiser (SAPD)</u>	<u>9, 13</u>
<u>Research Manager (All Levels)</u>	<u>9, 13</u>
<u>Senior Specialist Property Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Senior Forest Property Appraiser</u>	<u>4</u>
<u>Senior Petroleum and Mining Appraisal Engineer</u>	<u>13</u>
<u>Associate Forest Property Appraiser</u>	<u>4</u>
<u>Associate Property Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Assistant Property Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>13</u>
<u>Research Analyst (All Levels)</u>	<u>13</u>
<u>Tax Auditor</u>	<u>13</u>
<u>Business Tax Representative (Timber)</u>	<u>4</u>
<u>Associate Government Program Analyst</u>	<u>13</u>
<u>Staff Services Analyst (LEOP)</u>	<u>12</u>

Special Taxes Policy & Compliance Division and Special Taxes Audit and Carrier Division

<u>CEA (All Levels)</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Specialist II or III</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>

Sales and Use Tax Department

<u>CEA 3 or 4</u>	<u>All</u>
<u>CEA 2</u>	<u>1, 2, 7, 9</u>
<u>Business Taxes Administrator</u>	<u>1, 2, 7, 9</u>
<u>Administrative Assistant</u>	<u>1, 2, 7, 8</u>

Business Taxes Compliance Supervisor (LAN Administrator)	1, 2, 8, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 7, 9
Business Taxes Specialist II or III	1, 2, 7, 9
Business Taxes Specialist (Special Projects)	1, 2, 7, 9
Business Taxes Specialist (Systems Coordinator)	1, 2, 8, 9
Business Taxes Specialist (Training Group)	1, 2, 9
Business Taxes Specialist (Computer Audit Specialist)	1, 2, 8
Business Taxes Specialist (Regulation Coordinator)	1, 2
Business Taxes Specialist (Revenue Opportunity)	1, 2
Business Taxes Specialist (Technical Advisor)	1, 2
Business Taxes Specialist (Project Coordinator)	1, 2
Business Taxes Specialist I	1, 2 *
Associate Tax Auditor	1, 2 *
Staff Information Systems Analyst	1, 2, 8
Associate Information Systems Analyst	1, 2, 8
Associate Governmental Program Analyst	1, 2

Sales and Use Tax Department

<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

Headquarters Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Tax Policy Division

<u>Chief</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9, 10</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist I</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Field Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Technician (All Levels)</u>	<u>1, 7</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>

Retired Annuitants *

Consultants/New Positions All**

CROS Evaluators ***

* Pursuant to 2 California Code of Regulations, section 18733, in lieu of filing Form 700, an employee in this disclosure category who was not assigned and did not participate in any property tax audits shall file Form 700-A.

** Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation: The Executive Director may determine in writing that a particular consultant is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.

* Retired Annuitants who are appointed in classifications corresponding to civil service employee classification categories listed in this conflict of interest code will disclose under that civil service classification category.

** Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code, § 81008.)

*** CROS Evaluators and CROS Subject Matter Experts shall disclose based on the designations made (1) for Evaluators or Subject Matter Experts under the CROS Project Team and (2) the position, if designated, that they hold with any other department/division/office/section at the State Board of Equalization.

Final Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix B
Disclosure Categories

Category 1

Designated ~~employees~~positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the State Board of Equalization (Board) under the Bradley-Burns Uniform Local Sales and Use Tax Law, the Sales and Use Tax Law, and the Transactions and Use Tax Law.

Category 2

Designated ~~employees~~positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the Board, including those licensed, taxed or regulated by or registered with the Board under the Aircraft Jet Fuel Tax, Alcoholic Beverage Tax Law, California Cigarette and Tobacco Products Licensing Act of 2003, California Tire Fee Law, Childhood Lead Poisoning Prevention Fee Law, Cigarette and Tobacco Products Tax Law, Diesel Fuel Tax Law, Electronic Waste Recycling Fee Law Act of 2003, Emergency Telephone Users Surcharge Law, Energy Resources Surcharge Law, Fire Prevention Fee Law, Hazardous Waste Activity Fee Law, Hazardous Waste Disposal Fee Law, Hazardous Waste Environmental Fee Law, Hazardous Waste Facility Fee Law, Hazardous Waste Generator Fee Law, Hazardous Substances Tax Law, Integrated Waste Management Fee Law, International Fuel Tax Agreement, Marine Invasive Species Fee Collection Law, Motor Vehicle Fuel Tax Law, Natural Gas Surcharge Law, Occupational Lead Poisoning Prevention Fee Law, Oil Spill Response, Prevention, and Administration Fees Law, Oil Spill Response Fee Law, Underground Storage Tank Maintenance Fee Law, Use Fuel Tax Law, or Water Rights Fees Law.

Category 3

Designated ~~employees~~positions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within the State of California.

Category 4

Designated ~~employees~~positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type registered with or regulated by the Board under the Timber Yield Tax Law and interests in real property classified as timberland under the Timber Yield Tax Law.

Category 5

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources who have filed an appeal within the preceding 12 months or have an appeal pending under the Personal Income Tax Law, Bank and Corporation Tax Law, or Senior Citizens Property Tax Assistance Law or have a pending claim under the Taxpayers' Bill of Rights for one of these tax programs.

Category 6

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources authorized by the Insurance Commissioner to transact business in this state.

Category 7

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that were the subject of a complaint to, investigation by, or enforcement action of the Board, or administrative action before the Board that was acted upon or participated in by the filer during the period covered by the statement of economic interest.

Category 8

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Board.

Category 79

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Boarddesignated position's department, division, section, or office.

Category 810

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide telecommunications equipment, computers, computer programming, or any other services or training or equipment related to such things as computers, software, automation, data processing, communication and telecommunication, information technology, and duplication services of the type utilized by the Board.

Category 911

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide services, materials, equipment, conference facilities, or consultation of the type utilized by the Board for personnel services or the training of employees.

Category 12

Designated positions in this category shall indicate whether, during the reporting period, they had a financial interest in any of their assignments. If they had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interests in any of their assignments on the schedules for Fair Political Practices Commission Form 700.

Category 10

~~Designated employees in this category must report investments, business positions in business entities, and sources of income, including loans, gifts and travel payments, from sources that provide telecommunications equipment and services of the type utilized by the Board.~~

Category 13

Designated positions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within 500 feet of the real property the designated position was assigned to assess or value or exempt.

This is the last page of the conflict-of-interest code for the **Board of Equalization**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict-of-interest code for the **Board of Equalization** was approved on February 17, 2015.

A handwritten signature in cursive script, reading "Erin V. Peth", written over a horizontal line.

Erin V. Peth

Executive Director

Fair Political Practices Commission

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date:

Effective:

lations, if any, or other information upon which the rule-making is based, to Teri Harness at the above address.

SUMMARY OF REGULATORY ACTIONS

**AVAILABILITY OF STATEMENT OF REASONS,
TEXT OF PROPOSED REGULATIONS, AND
RULEMAKING FILE**

EMSA will have the entire rulemaking file available for inspection and copy throughout the rulemaking process at its office at the above address. As of the date this notice is published in the Notice Register, the rulemaking file consists of this notice, the proposed text of the regulations, and the ISORS. Copies may be obtained by contacting Teri Harness at the address or phone number listed above.

**AVAILABILITY OF CHANGED OR
MODIFIED TEXT**

After conducting the public hearing and considering all timely and relevant comments received, EMSA may adopt the proposed regulations substantially as described in this notice. If EMSA makes modifications which are sufficiently related to the originally proposed text, it will make the modified text (with the changes clearly indicated) available to the public for at least 15 days before EMSA adopts the regulation as revised. Please send requests for copies of any modified regulations to the attention of Teri Harness at the address indicated on the previous page. EMSA will accept written comments on any modified regulations for 15 days after the date on which they were made available.

**AVAILABILITY OF THE FINAL STATEMENT
OF REASONS**

Upon its completion, copies of the Final Statement of Reasons may be obtained by contacting Teri Harness at the address provided on the previous page.

**AVAILABILITY OF DOCUMENTS ON
THE INTERNET**

Copies of the Notice of Proposed Action, the ISORS, and the text of the regulations in underline and strikeout may be accessed through EMSAs website at www.emsa.ca.gov.

**REGULATIONS FILED WITH
SECRETARY OF STATE**

This Summary of Regulatory Actions lists regulations filed with the Secretary of State on the dates indicated. Copies of the regulations may be obtained by contacting the agency or from the Secretary of State, Archives, 1020 O Street, Sacramento, CA 95814, (916) 653-7715. Please have the agency name and the date filed (see below) when making a request.

File# 2015-0219-01
BOARD OF EQUALIZATION
Conflict-of-Interest Code

This is an amendment to a Conflict-of-Interest Code that has been approved by the Fair Political Practices Commission and is being submitted for filing with the Secretary of State and printing in the California Code of Regulations only.

Title 18
California Code of Regulations
AMEND: 6001
Filed 03/04/2015
Effective 04/03/2015
Agency Contact:
Richard E. Bennion (916) 445-2130

File# 2015-0226-06
CALIFORNIA ENERGY COMMISSION
Amendment to EUDP Compliance Schedule

The California Energy Commission readopted the amendment of subdivision (c) of section 1682 of title 20 of the California Code of Regulations as an emergency regulatory action to change from July 1, 2014 to July 1, 2016 as the date when the disclosure requirements of Public Resources Code section 25402.10 apply for a nonresidential building with a total gross square foot area measuring 5,000 square feet up to 10,000 square feet.

Title 20
California Code of Regulations
AMEND: 1682(c)
Filed 03/04/2015
Effective 03/04/2015
Agency Contact: Galen Lemei (916) 654-4873

File# 2015-0123-03
CALIFORNIA HORSE RACING BOARD
Veterinarian's List

This rulemaking action by the California Horse Racing Board (CHRB) amends section 1866 of title 4 of the

Rulemaking File Index

Title 18. Public Revenue

Regulation 6001, *General Provision*

1. [Chief Counsel Matters, November 19, 2014](#)
 - Chief Counsel memo dated October 30, 2014
 - Attachment A Proposed Text Regulation 6001
 - Attachment B Proposed Appendix B
 - Attachment C Proposed Appendix A
2. [Reporter's Transcript Chief Counsel Matters, November 19, 2014](#)
3. [Notice of Publications](#)
 - Form 400 and Notice, Publication Date December 5, 2014
 - Email sent to Interested Parties, December 5, 2014
 - CA Regulatory Notice Register 2014, Volume No. 49-Z
4. [Notice to Interested Parties, December 5, 2014](#)

The following items are exhibited:

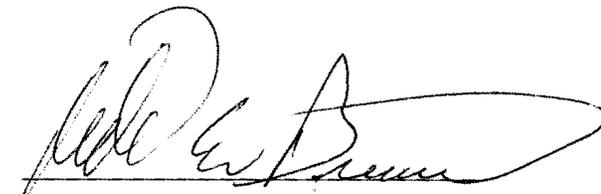
 - Notice of Hearing
 - Initial Statement of Reasons
 - Proposed Text of Regulation 6001
 - Appendix A
 - Appendix B
 - Regulation History
5. [Statement of Compliance](#)
6. [Reporter's Transcript, Item F1, January 21, 2015](#)
7. [Minutes, January 21, 2015, and Exhibits](#)
 - Notice of Proposed Regulatory Action
 - Initial Statement of Reasons
 - Proposed Text of Regulation 6001
 - Appendix A
 - Appendix B
 - Regulation History
8. [Declaration of Cynthia Bridges January 22, 2015](#)
 - Proposed Text of Regulation 6001
 - Appendix A
 - Appendix B
9. [Print and File with OAL February 19, 2015](#)

VERIFICATION

I, Richard E. Bennion, Regulations Coordinator of the State Board of Equalization, state that the rulemaking file of which the contents as listed in the index is complete, and that the record was closed on January 21, 2015 and that the attached copy is complete.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

January 21, 2015

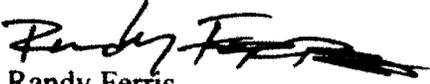
A handwritten signature in black ink, appearing to read "Richard E. Bennion", written over a horizontal line.

Richard E. Bennion
Regulations Coordinator
State Board of Equalization

Memorandum

To: Honorable Jerome E. Horton, Chairman
Honorable Michelle Steel, Vice Chair
Honorable Betty T. Yee, First District
Senator George Runner, Second District
Honorable John Chiang, State Controller

Date: October 30, 2014

From: 
Randy Ferris
Chief Counsel

Subject: **Board Meeting, November 19, 2014**
Chief Counsel Matters – Item J – Rulemaking
Request for Authorization to Publish Proposed Amendments to Conflict of Interest Code

We recommend and request your authorization to publish proposed amendments to the Board of Equalization's (Board's) Conflict of Interest Code¹ (Code) (Cal. Code Regs., tit. 18, § 6001), including Appendices A² and B³ to the Code. The proposed amendments are based upon staff's review of the Board's current organizational chart, the job descriptions for each of the positions shown on the chart, input from each of the departments and divisions that report directly to the Executive Director, and the recommendations of Fair Political Practices Commission (FPPC) staff. The proposed amendments:

- Revise the format of the cross-references to statutes and regulations in the Code, incorporate minor stylistic revisions to the Code based upon input from FPPC staff, and update the Code's authority and reference note.
- Update Appendix A to implement classification and organizational changes that have taken place at the Board since the Code, including Appendices A and B, was last amended on June 23, 2005.
- Update Appendix A to list only those positions at the Board that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest. (Gov. Code, §§ 87300, 87302, subd. (a).) Positions that are no longer used at the Board are being deleted, and new positions are being added.
- Modify the disclosure categories listed in Appendix B for the purpose of narrowly tailoring the disclosure requirements assigned to each position listed in Appendix A. The revisions ensure that each position's disclosure requirements correspond with the position's job duties, and to differentiate between similar positions with different levels of responsibility.

¹ See Attachment A.

² See Attachment B.

³ See Attachment C.

- Incorporate minor stylistic revisions in Appendix B that were recommended by FPPC staff. For instance, in each disclosure category listed in Appendix B, the word “employees” is being replaced with the word “positions” and “receipt of” is being added before the reference to “loans, gifts, and travel payments.”

Background

Conflict of Interest Code Requirements

The Board’s Code is set forth in California Code of Regulations, title 18, section (Regulation) 6001, *General Provisions*, and Appendices A and B to Regulation 6001. Appendix A lists the designated positions at the Board that are required to file Statements of Economic Interests (Form 700), and assigns disclosure categories specifying the types of interests to be reported. Appendix B lists the numbered disclosure categories, each of which describes the types of investments, business positions, interests in real property, and sources of income that must be reported by each designated position.

The Political Reform Act requires that every agency adopt and promulgate a conflict of interest code that contains the specific enumeration of the positions within the agency that involve the *making or participation in the making of decisions which may foreseeably have a material financial effect on any financial interest*. (Gov. Code, §§ 87300, 87302, subd. (a).) With respect to each position, a code is also required to list the specific types of investments, business positions, interests in real property, and sources of income which are reportable on a Form 700, *Statement of Economic Interests*, or Form 700-A, *Statement of Economic Interest for Auditors and Claims Managers/Adjustors*. (Gov. Code, § 87302, subd. (a).)

The Board’s Code must only require employees to report those economic interests which may foreseeably be affected materially by any decision made or participated in by the designated employee by virtue of his or her position with the Board. Thus, the Code must *narrowly tailor* the disclosure requirements to the specific duties of each designated position and must adequately differentiate between similar positions with different levels of responsibility. It is improper for the Code to require the disclosure of economic interests which may not be affected materially by decisions made or participated in by designated employees. (*Alperin* Opinion, 3 FPPC Ops. 77 (Aug. 18, 1977); Gov. Code, §§ 87302, subd. (a), 87309, subd. (c).)

The Board’s Code is not applicable to Board Members. However, Board Members are required to file a Form 700; the reporting requirements for the Board Members are set forth in Government Code sections 87200-87210.

Summary of Recent BOE Action to Amend Code

On May 25, 2011, the Board adopted proposed amendments to the Code. Although those amendments were submitted to the FPPC on June 21, 2011, FPPC staff did not complete its review of those amendments before the rulemaking project expired.

In October 2012, FPPC staff gave Board staff a list of proposed revisions to the 2011 amendments, and some additional questions and suggestions regarding the Board’s Code. In addition to recommending the deletion of many positions from Appendix A, FPPC staff asked

Board staff to take a fresh look at the 2011 amendments to ensure that: (1) only those positions with the requisite decision making responsibility are designated in the Code; and (2) the disclosure categories are narrowly tailored to each position's job duties. The updated amendments to Appendix A are based upon Board staff's review of the Board's current organizational chart, the description for each position utilized at the Board, and input from all of the Board's departments and divisions. The proposed amendments to Appendices A and B are also based upon additional input from FPPC staff from October 2012 to the present, and incorporate FPPC staff's recommendations. In addition, based upon recent discussions with FPPC staff, Board staff anticipates that the current amendments to the Code will be reviewed by FPPC staff in a timely manner.

Summary

Board staff believes that the proposed amendments to the Code, as illustrated in Attachments A, B, and C and as discussed above, are necessary to incorporate and implement the requirements of the Political Reform Act. Board staff therefore recommends that the Board authorize staff to publish the proposed amendments to the Code, including Appendices A and B.

If you have any questions, please feel free to contact Kimberly Willy, Tax Counsel III, at (916) 323-3078.

Approved:


Cynthia Bridges
Executive Director

RF:hp

Attachments: Attachment A - Proposed Amendments to Regulation 6001
Attachment B - Proposed Amendments to Appendix A
Attachment C - Proposed Amendments to Appendix B

cc: Ms. Cynthia Bridges MIC:73
Mr. David Gau MIC:101
Mr. Jeffrey McGuire MIC:43
Ms. Lynn Bartolo MIC:57
Mr. Dean Kinnee MIC:64
Mr. Robert Tucker MIC:82
Ms. Amy Kelly MIC:82
Ms. Deborah Cooke MIC:82
Mr. Bradley Heller MIC:82
Ms. Kimberly Willy MIC:82
Mr. Todd Gilman MIC:70

STATE BOARD OF EQUALIZATION

BOARD APPROVED

At the November 19, 2014 Board Meeting


Joann Richmond, Chief
Board Proceedings Division



Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Regulation 6001. General Provisions.

The Political Reform Act (~~Government Code Sections~~ Gov. Code, § 81000; et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (~~2-Cal. Code of Regs., tit. 2, Section § (Regulation) 18730~~) containing the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code, and which may, aAfter public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of ~~2-Cal. Code of Regs. Section Regulation~~ 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices A and B, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the State Board of Equalization.

~~All designated employees of the State Board of Equalization~~ Individuals holding designated positions shall file their statements of economic interests with the State Board of Equalization, which will make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the ~~a~~ statement ~~for~~ of the Executive Director, the State Board of Equalization shall make and retain ~~copies a copy~~ and forward the original to the Fair Political Practices Commission. ~~Statements of a~~ All other statements ~~designated employees~~ will be retained by the State Board of Equalization.

Note: Authority cited: Sections 87300-87344, Government Code. Reference: Sections 87300-87302 and 87306~~3~~, Government Code.

Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix B
Disclosure Categories

Category 1

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the Board under the Bradley-Burns Uniform Local Sales and Use Tax Law, the Sales and Use Tax Law, and the Transactions and Use Tax Law.

Category 2

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the Board, including those licensed, taxed or regulated by or registered with the Board under the Aircraft Jet Fuel Tax, Alcoholic Beverage Tax Law, California Cigarette and Tobacco Products Licensing Act of 2003, California Tire Fee Law, Childhood Lead Poisoning Prevention Fee Law, Cigarette and Tobacco Products Tax Law, Diesel Fuel Tax Law, Electronic Waste Recycling Fee Law, Act of 2003, Emergency Telephone Users Surcharge Law, Energy Resources Surcharge Law, Fire Prevention Fee Law, Hazardous Waste Activity Fee Law, Hazardous Waste Disposal Fee Law, Hazardous Waste Environmental Fee Law, Hazardous Waste Facility Fee Law, Hazardous Waste Generator Fee Law, Hazardous Substances Tax Law, Integrated Waste Management Fee Law, International Fuel Tax Agreement, Marine Invasive Species Fee Collection Law, Motor Vehicle Fuel Tax Law, Natural Gas Surcharge Law, Occupational Lead Poisoning Prevention Fee Law, Oil Spill Response, Prevention, and Administration Fees Law, Oil Spill Response Fee Law, Underground Storage Tank Maintenance Fee Law, Use Fuel Tax Law, or Water Rights Fees Law.

Category 3

Designated employees positions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within the State of California.

Category 4

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type registered with or regulated by the Board under the Timber Yield Tax Law and interests in real property classified as timberland under the Timber Yield Tax Law.

Category 5

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources who have filed an appeal within the preceding 12 months or have an appeal pending under the Personal Income Tax Law, Bank and Corporation Tax Law, or Senior Citizens Property Tax Assistance Law or have a pending claim under the Taxpayers' Bill of Rights for one of these tax programs.

Category 6

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources authorized by the Insurance Commissioner to transact business in this state.

Category 7

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that were the subject of a complaint to, investigation by, or enforcement action of the Board, or administrative action before the Board that was acted upon or participated in by the filer during the period covered by the statement of economic interest.

Category 8

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Board.

Category 9

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Board designated position's department, division, section, or office.

Category 10

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide telecommunications equipment, computers, computer programming, or any other services or training or equipment related to such things as computers, software, automation, data processing, communication and telecommunication, information technology, and duplication services of the type utilized by the Board.

Category 911

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide services, materials, equipment, conference facilities, or consultation of the type utilized by the Board for personnel services or the training of employees.

Category 12

Designated positions in this category shall indicate whether, during the reporting period, they had a financial interest in any of their assignments. If they had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interests in any of their assignments on the schedules for Fair Political Practices Commission Form 700.

Category 10

~~Designated employees in this category must report investments, business positions in business entities, and sources of income, including loans, gifts and travel payments, from sources that provide telecommunications equipment and services of the type utilized by the Board.~~

Category 13

Designated positions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within 500 feet of the real property the designated position was assigned to assess or value or exempt.

Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix A

Designated Positions

Disclosure Categories

Board Members' Offices

Deputy to Board Member	<u>All-8</u>
Administrative Assistant to Board Member	<u>All-8</u>
Assistant to Board Member	<u>All-8</u>
<u>CEA (All Levels)</u>	<u>All-8</u>
<u>District Director</u>	<u>1-8</u>
<u>Community Affairs Deputy</u>	<u>1-8</u>
Administrative Assistant	1-8
Staff Services Manager	<u>1-87, 9</u>
Tax Counsel (All Levels)	<u>1-67</u>
Tax Consultant Expert (All Levels)	<u>1-67</u>
Tax Services Specialist	<u>1-67</u>
Assistant Tax Services Specialist	<u>1-67</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>
Associate Governmental Program Analyst	<u>1-2, 4-67</u>
Business Taxes Representative	<u>1-67</u>
Business Taxes Specialist H(All Levels)	<u>1-67</u>
Information Officer H(All Levels)	<u>1-2, 4-67</u>
<u>Special Consultant</u>	<u>1-7</u>

Executive Office

Executive Director	<u>All-8</u>
Administrative Assistant	All
CEA (Communications Office)	All

Executive Services Section

Staff Services Manager (All Levels)	<u>1-8</u>
Business Taxes Specialist (All Levels)	<u>1-2, 4-7</u>
Associate Management Analyst	<u>1-2, 4-7</u>
Associate Governmental Program Analyst	<u>1-2, 4-7</u>

Board Proceedings Division

CEA	All
<u>Chief</u>	<u>1-8</u>
Staff Services Manager	<u>All-7, 9</u>
Business Taxes Specialist (All Levels)	<u>7, 9</u>
Associate Governmental Program Analyst (LAN Workgroup Manager)	1-6, 8
Associate Governmental Program Analyst	1-6

Customer and Taxpayer Services Division

CEA	All
Staff Services Manager	1-9
Business Taxes Compliance Specialist	1-6
Assistant Tax Services Specialist	1-6
Associate Governmental Program Analyst	1-6
Associate Information Systems Analyst	8
Staff Services Manager (Forms and Publications Section)	7,9
Assistant Tax Services Specialist (Forms and Publications Section)	7,8
Associate Governmental Program Analyst (Forms and Publications (Section))	7,8

External Affairs Department

<u>Deputy Director</u>	1-8
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Outreach Services Division

<u>Staff Services Manager (All Levels)</u>	1-2, 4-7, 9
<u>Information Officer (All Levels)</u>	1-2, 4-7
<u>Tax Services Specialist</u>	1-2, 4-7

Customer Service and Publishing Division

<u>Staff Services Manager (All Levels)</u>	1-2, 4-7
<u>Business Taxes Compliance Supervisor (All Levels)</u>	1-2, 7, 9-11
<u>Tax Service Specialist</u>	1-2, 7, 9-11
<u>Business Taxes Compliance Specialist</u>	1-2, 7
<u>Systems Software Specialist (All Levels)</u>	10

Web Services Division

<u>Senior Information Systems Analyst (Supervisor)</u>	10
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Office of Public Affairs

<u>Tax Service Specialist</u>	1-2, 4-8
<u>Information Officer (All Levels)</u>	1-2, 4-7, 9
<u>Staff Services Manager</u>	1-2, 4-7, 9

Legislative and Research Division

Assistant Chief Counsel	All
CEA (All Levels)	All-8
Staff Services Manager (All Levels)	1-6, 7, 9
Senior Specialist Property Appraiser	1-6
Business Taxes Specialist (All Levels)	1-6, 7
Associate Governmental Program Analyst (System or LAN Administrator)	1-6, 8
Operations Research Specialist	1-4, 6, 8
Research Manager (All Levels)	1-2, 5, 9
Research Program Specialist (All Levels)	1-4, 8, 2, 5, 9
Research Analyst	1-4, 8

Technology Services Division Department

<u>Chief Information Officer</u>	<u>1-8</u>
<u>CEA (All Levels)</u>	<u>AH1-8</u>
<u>Data Processing Manager IV</u>	<u>AH1-8</u>
<u>Business Taxes Administrator</u>	<u>1, 2, 7-9</u>
<u>Business Taxes Compliance Supervisor</u>	<u>1, 2, 7-9</u>
<u>Data Processing Manager (I-III)</u>	<u>7-910</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-910</u>
<u>Systems Software Specialist (II or III)</u>	<u>810</u>
<u>Systems Software Specialist III (Supervisor)</u>	<u>10</u>
<u>Associate Systems Software Specialist</u>	<u>8</u>
<u>Senior Information Systems Analyst (Specialist or Supervisor)</u>	<u>810</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	<u>810</u>
<u>Associate Information Systems Analyst</u>	<u>8</u>
<u>Senior Programmer Analyst (Supervisor)</u>	<u>810</u>
<u>Staff Programmer Analyst</u>	<u>8</u>
<u>Associate Programmer Analyst</u>	<u>8</u>
<u>Associate Governmental Program Analyst</u>	<u>8</u>

CROS Project Team

<u>CEA (All Levels)</u>	<u>1-8</u>
<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Business Taxes Administrator III</u>	<u>1-2, 4-8</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Services Manager (All Levels)</u>	<u>10</u>
<u>Business Taxes Representative (All Levels)</u>	<u>10</u>
<u>Associate Governmental Program Analyst</u>	<u>10</u>
<u>Systems Software Specialist (All Levels)</u>	<u>10</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Senior Programmer Analyst (Specialist)</u>	<u>10</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	<u>10</u>
<u>Evaluators***</u>	<u>10</u>
<u>Subject Matter Experts ***</u>	<u>10</u>

Taxpayers' Rights and Equal Employment Opportunity Division

<u>CEA</u>	<u>AH</u>
<u>Taxpayers' Rights Advocate</u>	<u>1-8</u>
<u>Tax Counsel (All Levels)</u>	<u>1-7</u>
<u>Business Taxes Administrator (TRA) (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (TRA) (All Levels)</u>	<u>1-47</u>
<u>Senior Specialist Property Appraiser (TRA)</u>	<u>3, 7</u>
<u>Assistant Property Appraiser (TRA)</u>	<u>3, 7</u>
<u>Associate Property Appraiser (TRA)</u>	<u>1-4</u>
<u>Staff Services Manager (EEO) (All Levels)</u>	<u>7, 9</u>
<u>Associate Governmental Program Analyst (EEO)</u>	<u>7, 9</u>

Internal Audit Division

<u>Chief</u>	<u>1-8</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-7</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>

Administration Department

<u>CEA</u>	<u>All</u>
<u>Training Officer (Training Office)</u>	<u>9</u>
<u>Associate Governmental Program Analyst (Training Office)</u>	<u>9</u>
<u>Deputy Director</u>	<u>1-8</u>

Information Security Office

<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Information Systems Analyst</u>	<u>10</u>
<u>Associate Information Systems Analyst</u>	<u>10</u>

Administrative Support Division

<u>CEA</u>	<u>All</u>
<u>Chief</u>	<u>1-7, 9-10</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-10, 1-7, 9-10</u>
<u>Associate Business Management Analyst</u>	<u>7-9</u>
<u>Associate Governmental Program Analyst</u>	<u>7-9</u>
<u>Telecommunications Systems Analyst</u>	<u>10</u>
<u>Office Services Manager (Supervisor, Cashier Unit)</u>	<u>7</u>
<u>Business Services Officer (Supervisor)</u>	<u>9-10</u>
<u>Printing Trades Supervisor (All Levels)</u>	<u>9-10</u>
<u>Warehouse Manager (All Levels)</u>	<u>9-10</u>
<u>Office Services Supervisor (All Levels)</u>	<u>9-10</u>
<u>Chief Construction Supervisor</u>	<u>9-10</u>

Personnel Management Human Resources Division

<u>CEA</u>	<u>7-9</u>
<u>Chief</u>	<u>1-7, 9, 11</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-9, 11</u>
<u>Associate Governmental Program Analyst</u>	<u>7-9, 11</u>
<u>Associate Personnel Analyst</u>	<u>7-9, 11</u>
<u>Personnel Supervisor (All Levels)</u>	<u>9, 11</u>
<u>Training Officer</u>	<u>9, 11</u>
<u>Labor Relations Manager</u>	<u>9, 11</u>
<u>Labor Relations Specialist</u>	<u>7-9, 11</u>

Financial Management Division

CEA	All
<u>Chief</u>	<u>1-8</u>
Staff Services Manager (All Levels)	<u>7-98</u>
Accounting Administrator (All Levels)	<u>7-98</u>
<u>Senior Administrative Analyst</u>	<u>8</u>
<u>Mailing Machines Supervisor (All Levels)</u>	<u>10</u>
<u>Office Services Manager (All Levels)</u>	<u>9</u>
Associate Accounting Analyst	7-9
Associate Administrative Analyst	7-9
Associate Budget Analyst	7-9
Senior Accounting Officer	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst (Specialist)	8

~~Policy, Planning and Evaluation Division~~

Staff Services Manager III	1, 4, 7, 9
Staff Services Manager I or II	7-9
Associate Management Analyst	7-9
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst	8

~~Legal Department~~

<u>Chief Counsel</u>	<u>1-8</u>
<u>CEA (All Levels)</u>	<u>AH1-8</u>
Assistant Chief Counsel	<u>AH1-8</u>
Tax Counsel	<u>1-67</u>
Supervising Tax Auditor	1, 2
<u>Business Taxes Administrator (All Levels)</u>	<u>9</u>
Administrative Assistant	7-9
Librarian	<u>7, 89</u>
Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Business Taxes Specialist II or III	<u>1, 2, 7</u>
Business Taxes Specialist I	<u>1, 2*, 7</u>
Business Taxes Compliance Supervisor (Offers in Compromise)	1, 2
Business Taxes Compliance Specialist (Offers in Compromise)	<u>1, 2, 7</u>
Business Taxes Specialist (Offers in Compromise) (All Levels)	<u>1, 2, 7</u>

~~Internal Security and Audit Division~~

CEA	All
Business Taxes Administrator	All
Business Taxes Specialist	All
Associate Tax Auditor	All
Associate Governmental Program Analyst	7-9
Systems Software Specialist	8

Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Assistant Information Systems Analyst	8

Investigations and Special Operations Division

CEA	All
Chief	1-8
Business Taxes Administrator (All Levels)	1, 2, 7, 9
Business Taxes Compliance Supervisor (All Levels)	1, 7, 9
Business Taxes Specialist (All Levels)	1, 2, 7
Business Taxes Compliance Specialist	1, 2, 7
Supervising Tax Auditor	1, 2, 9, 7
Associate Tax Auditor	1, 2, 7
Senior Information Systems Analyst (Specialist)	10
Staff Information Systems Analyst	8, 10

Data Analysis Section

Supervising Tax Auditor (All Levels)	1-8
Business Taxes Specialist II or III	1, 7
Business Taxes Specialist I	12
Business Taxes Compliance Specialist	12

Property and Special Taxes Department

CEA	All
Administrative Assistant	1, 2, 6, 9
Staff Services Manager	7, 9
Associate Governmental Program Analyst	3, 7, 9
Staff Information Systems Analyst	8

Assessment Policy and Standards Division

CEA	3, 7, 9
Principal Property Appraiser	3, 7, 9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7, 9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Research Analyst II (GIS)	3

County Property Tax Division

CEA	3, 4, 7, 9
Principal Property Appraiser	3, 7, 9
Senior Petroleum and Mining Appraisal Engineer	3
Supervising Property Appraiser (Assessment Practices Surveys Section)	3, 7, 9
Senior Specialist Property Appraiser (Assessment Practices Surveys Section)	3

Senior Specialist Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Associate Property Appraiser (Assessment Practices Surveys Section)	3
Associate Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Supervising Property Appraiser (Timber Tax Section)	4, 7-9
Senior Specialist Property Auditor Appraiser (Timber Tax Section)	4
Associate Property Auditor Appraiser (Timber Tax Section)	4
Senior Forest Property Appraiser	4
Associate Forest Property Appraiser	4
Valuation Division	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Excise Taxes and Fees Division	
CEA	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 6, 9
Business Taxes Compliance Specialist	1, 2, 6, 9
Supervising Tax Auditor	1, 2, 6, 9
Business Taxes Specialist II or III	1, 2, 6, 9
Business Taxes Specialist I	1, 2, 6*
Associate Tax Auditor	1, 2, 6*
Fuel Taxes Division	
CEA	1, 2, 7-9
Business Taxes Administrator	1, 2, 7-9
Staff Services Manager	1, 2, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 9
Business Taxes Specialist II or III	1, 2, 9
Business Taxes Specialist I	1, 2*
Associate Tax Auditor	1, 2*
<u>Property and Special Taxes Department</u>	
<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III (Technical Advisors)</u>	<u>1-4, 6-7, 9</u>

County-Assessed Properties Division & State-Assessed Properties Division

<u>CEA (All Levels)</u>	<u>2-7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>9, 13</u>
<u>Principal Property Appraiser</u>	<u>9, 13</u>
<u>Principal Property Appraiser (Timber)</u>	<u>4, 9</u>
<u>Supervising Property Appraiser</u>	<u>9, 13</u>
<u>Supervising Property Appraiser (SAPD)</u>	<u>9, 13</u>
<u>Research Manager (All Levels)</u>	<u>9, 13</u>
<u>Senior Specialist Property Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Senior Forest Property Appraiser</u>	<u>4</u>
<u>Senior Petroleum and Mining Appraisal Engineer</u>	<u>13</u>
<u>Associate Forest Property Appraiser</u>	<u>4</u>
<u>Associate Property Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Assistant Property Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>13</u>
<u>Research Analyst (All Levels)</u>	<u>13</u>
<u>Tax Auditor</u>	<u>13</u>
<u>Business Tax Representative (Timber)</u>	<u>4</u>
<u>Associate Government Program Analyst</u>	<u>13</u>
<u>Staff Services Analyst (LEOP)</u>	<u>12</u>

Special Taxes Policy & Compliance Division and Special Taxes Audit and Carrier Division

<u>CEA (All Levels)</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Specialist II or III</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>

Sales and Use Tax Department

<u>CEA-3 or 4</u>	<u>All</u>
<u>CEA-2</u>	<u>1, 2, 7, 9</u>
<u>Business Taxes Administrator</u>	<u>1, 2, 7, 9</u>
<u>Administrative Assistant</u>	<u>1, 2, 7, 8</u>

Business Taxes Compliance Supervisor (LAN Administrator)	1, 2, 8, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 7, 9
Business Taxes Specialist II or III	1, 2, 7, 9
Business Taxes Specialist (Special Projects)	1, 2, 7, 9
Business Taxes Specialist (Systems Coordinator)	1, 2, 8, 9
Business Taxes Specialist (Training Group)	1, 2, 9
Business Taxes Specialist (Computer Audit Specialist)	1, 2, 8
Business Taxes Specialist (Regulation Coordinator)	1, 2
Business Taxes Specialist (Revenue Opportunity)	1, 2
Business Taxes Specialist (Technical Advisor)	1, 2
Business Taxes Specialist (Project Coordinator)	1, 2
Business Taxes Specialist I	1, 2 *
Associate Tax Auditor	1, 2 *
Staff Information Systems Analyst	1, 2, 8
Associate Information Systems Analyst	1, 2, 8
Associate Governmental Program Analyst	1, 2

Sales and Use Tax Department

<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

Headquarters Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Tax Policy Division

<u>Chief</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9, 10</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist I</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Field Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Technician (All Levels)</u>	<u>1, 7</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>

Retired Annuitants *

Consultants/New Positions All**

CROS Evaluators ***

* Pursuant to ~~2 California Code of Regulations, section 18733~~, in lieu of filing Form 700, an employee in this disclosure category who was not assigned and did not participate in any property tax audits shall file Form 700-A.

** ~~Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation: The Executive Director may determine in writing that a particular consultant is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.~~

* Retired Annuitants who are appointed in classifications corresponding to civil service employee classification categories listed in this conflict of interest code will disclose under that civil service classification category.

** Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code, § 81008.)

*** CROS Evaluators and CROS Subject Matter Experts shall disclose based on the designations made (1) for Evaluators or Subject Matter Experts under the CROS Project Team and (2) the position, if designated, that they hold with any other department/division/office/section at the State Board of Equalization.

BEFORE THE CALIFORNIA STATE BOARD OF EQUALIZATION

450 N STREET

SACRAMENTO, CALIFORNIA

REPORTER'S TRANSCRIPT

NOVEMBER 19, 2014

CHIEF COUNSEL MATTERS

J RULEMAKING

J1 REGULATION 6001, GENERAL PROVISIONS

REPORTED BY: Kathleen Skidgel

CSR NO. 9039

P R E S E N T

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For the Board
of Equalization:

Jerome E. Horton
Chairman

Michelle Steel
Vice-Chairwoman

Betty T. Yee
Member

George Runner
Member

Marcy Jo Mandel
Appearing for John
Chiang, State Controller
(per Government Code
Section 7.9)

Joann Richmond
Chief
Board Proceedings
Division

For Board of
Equalization Staff:

Kimberly Willy
Tax Counsel III
Legal Department

Bradley Heller
Tax Counsel IV
Legal Department

---oOo---

1 450 N STREET
2 SACRAMENTO, CALIFORNIA
3 NOVEMBER 19, 2014

4 ---oOo---

5 MR. HORTON: Ms. Richmond.

6 MS. RICHMOND: Next are Chief Counsel
7 matters. Item J Rulemaking; J1 Regulation 6001
8 General Provisions.

9 MR. HORTON: Chief Counsel matters.

10 Welcome, Ms. Willy.

11 MS. WILLY: Morning -- or good afternoon.

12 MR. HORTON: This is not your first time
13 appearing before us, is it?

14 MS. WILLY: It is.

15 MR. HORTON: It's your first time appearing
16 before us.

17 MS. WILLY: Yes.

18 MR. HORTON: Oh, wow.

19 MS. WILLY: Uh-oh.

20 MR. HORTON: Mr. Runner, you have that list
21 of questions we -- we generally have?

22 MS. WILLY: All right.

23 MR. HORTON: Okay.

24 MS. WILLY: Good afternoon, Chairman Horton
25 and Members of the Board.

26 MR. HORTON: Why is it a good afternoon?
27 We've been -- we've been up here all day.

28 MS. WILLY: I am Kimberly Willy from the

1 Legal Department, along with Bradley Heller from the
2 Legal Department.

3 We recommend and request that you vote to
4 authorize staff to publish proposed amendments to
5 the Board's Conflict of Interest Code, including
6 Appendices A and B to the code.

7 Appendix A lists the designated Board
8 positions that are required to file a Statement of
9 Economic Interest or a form 700 and assigns
10 disclosure categories specifying the type of
11 financial interests to be reported.

12 Appendix B lists the number of disclosure
13 categories, each of which describes the specific
14 types of financial interests to be reported if the
15 category is assigned.

16 The proposed amendments implement
17 classification and organizational changes that have
18 taken place at the Board since the code was last
19 amended in June 2005 and make minor stylistic
20 revisions. The proposed amendments only designate
21 those Board positions that make or participate in
22 the making of decisions which may foreseeably have a
23 material financial effect on any financial interest.

24 The proposed amendments also modify the
25 disclosure categories and designations to ensure
26 that disclosure obligations are narrowly tailored to
27 employee's job duties.

28 We are happy to answer any of your

1 questions.

2 MR. HORTON: Do you have a medical degree?

3 MS. WILLY: I do not.

4 MR. HORTON: How can you recommend to
5 remove the appendix then?

6 MS. WILLY: The appendices.

7 MR. HORTON: Oh. That's clearer.

8 MS. WILLY: Yes. I would not make such a
9 recommendation.

10 MR. HORTON: Okay. Thank you.

11 Discussion, Members?

12 Hearing none, is there a motion?

13 MS. STEEL: So move.

14 MR. HORTON: Member Steel moves to
15 authorize staff to complete Rulemaking 100 to change
16 to published proposed amendments to update the
17 Board's Conflict of Interest Code.

18 MS. YEE: Second.

19 MR. HORTON: Second by Member Yee.

20 Without objection, Members, such will be
21 the order.

22 Thank you very much for appearing before us
23 today.

24 MS. WILLY: Thank you.

25 MR. HORTON: We look forward to your next
26 appearance.

27 MS. WILLY: Thank you.

28 MR. HORTON: With your -- with your

1 appendix.

2 ----oOo----

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REPORTER'S CERTIFICATE

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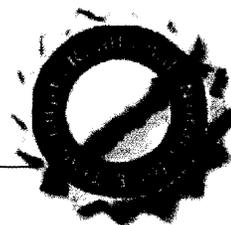
State of California)
) ss
County of Sacramento)

I, KATHLEEN SKIDGEL, Hearing Reporter for the California State Board of Equalization certify that on November 19, 2014 I recorded verbatim, in shorthand, to the best of my ability, the proceedings in the above-entitled hearing; that I transcribed the shorthand writing into typewriting; and that the preceding pages 1 through 6 constitute a complete and accurate transcription of the shorthand writing.

Dated: November 25, 2014

Kathleen Skidgel

KATHLEEN SKIDGEL
Hearing Reporter



NOTICE PUBLICATION/REGULATIONS SUBMISSION

(See instructions on reverse)

For use by Secretary of State only

100 (REV. 01-2013)

AL FILE NUMBERS	NOTICE FILE NUMBER Z-2014-1125-01	REGULATORY ACTION NUMBER	EMERGENCY NUMBER
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For use by Office of Administrative Law (OAL) only

RECEIVED FOR FILING PUBLICATION DATE

NOV 25 '14 DEC 05 '14

Office of Administrative Law

NOTICE

REGULATIONS

AGENCY WITH RULEMAKING AUTHORITY
State Board of Equalization

AGENCY FILE NUMBER (if any)

PUBLICATION OF NOTICE (Complete for publication in Notice Register)

SUBJECT OF NOTICE Conflict-of-Interest Code		TITLE(S) 18	FIRST SECTION AFFECTED 6001	2. REQUESTED PUBLICATION DATE December 5, 2014
NOTICE TYPE Notice re Proposed Regulatory Action <input type="checkbox"/> Other <input checked="" type="checkbox"/>		4. AGENCY CONTACT PERSON Richard E. Bennion	TELEPHONE NUMBER (916) 445-2130	FAX NUMBER (Optional) (916) 324-3984
AL USE ONLY	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn		NOTICE REGISTER NUMBER	PUBLICATION DATE

SUBMISSION OF REGULATIONS (Complete when submitting regulations)

SUBJECT OF REGULATION(S)	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S)
--------------------------	--

CIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)

SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT
	AMEND
	REPEAL

TYPE OF FILING

<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))		<input type="checkbox"/> Other (Specify) _____	

ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)

EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)

<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))	<input type="checkbox"/> Effective on filing with Secretary of State	<input type="checkbox"/> \$100 Changes Without Regulatory Effect	<input type="checkbox"/> Effective other (Specify)
---	--	--	--

CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY

<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)	<input type="checkbox"/> Fair Political Practices Commission	<input type="checkbox"/> State Fire Marshal
<input type="checkbox"/> Other (Specify) _____		

CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)	E-MAIL ADDRESS (Optional)
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I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

For use by Office of Administrative Law (OAL) only

SIGNATURE OF AGENCY HEAD OR DESIGNEE	DATE
PRINTED NAME AND TITLE OF SIGNATORY	

TITLE 18. BOARD OF EQUALIZATION

NOTICE OF INTENTION TO AMEND THE CONFLICT-OF-INTEREST CODE OF THE STATE BOARD OF EQUALIZATION

NOTICE IS HEREBY GIVEN that the State Board of Equalization (Board), pursuant to the authority vested in it by Government Code section 87306, proposes to amend California Code of Regulations, title 18, section (Regulation) 6001, *General Provisions*, and Appendices A and B to Regulation 6001, which contain the Board's Conflict of Interest Code (Code). The purpose of these amendments is to implement the requirements of Government Code sections 87300 through 87302 and 87306.

The Board proposes to amend its Code to include employee positions that involve the making or participation in the making of decisions that may foreseeably have a material effect on any financial interest, as set forth in Government Code section 87302, subdivision (a).

These amendments implement classification and organizational changes that have taken place at the Board since the Code was last amended on June 3, 2005. The proposed amendments update Appendix A to the Code to list only those positions at the Board that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest as required by Government Code section 87302, subdivision (a). Therefore, the Board proposes to delete positions that are no longer used at the Board from Appendix A, and add new positions that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest to Appendix A. The proposed amendments update the disclosure categories listed in Appendix B for the purpose of narrowly tailoring the disclosure requirements assigned to each position listed in Appendix A to the position's job duties, and to differentiate between similar positions listed in Appendix A with different levels of responsibility. The amendments also make minor stylistic and grammatical changes to Regulation 6001 and Appendices A and B, including those that were recommended by Fair Political Practices Commission staff, and update the Code's authority and reference note. Copies of the proposed amendments to the Code are available to interested persons and may be requested from the Contact Person set forth below.

The Board will conduct a meeting in Room 121, at 450 N Street, Sacramento, California, on January 21-22, 2015. The Board will provide notice of the meeting to any person who requests that notice in writing and make the notice, including the specific agenda for the meeting, available on the Board's Website at www.boe.ca.gov at least 10 days in advance of the meeting.

A public hearing regarding the proposed regulatory action will be held at 9:30 a.m. or as soon thereafter as the matter may be heard on January 21 or 22, 2015. At the hearing, any interested person may present or submit oral or written statements, arguments, or contentions regarding the adoption of the proposed amendments to the Code.

Any interested person may also submit written statements, arguments, or comments relating to the proposed amendments to the Code by submitting them in writing to the Contact Person set forth below no later than 9:30 a.m. on January 21, 2015, or as soon thereafter as the Board begins the public

hearing regarding the adoption of the proposed amendments to the Code during the January 21-22, 2015, Board meeting. The Board will only consider written comments received by that time.

The Board has prepared an Initial Statement of Reasons as a written explanation of the reasons for the proposed amendments to the Code and has available all of the information on which the amendments are based. Copies of the proposed amendments to the Code, the written explanation of the reasons, and the information on which the amendments are based may be obtained by contacting the Contact Person set forth below.

The Board has determined that the proposed amendments:

1. Impose no mandate on local agencies or school districts;
2. Impose no costs or savings on any state agency, local agency, or school district;
3. Impose no costs on any local agency or school district that are required to be reimbursed under part 7 (commencing with section 17500) of division 4 of title 2 of the Government Code;
4. Will not result in any nondiscretionary costs or savings to local agencies.
5. Will not result in any costs or savings in federal funding to the state.
6. Will not have any potential cost impact on private persons or businesses, including small businesses.

In making these proposed amendments, the Board must determine that no alternative considered by it would be more effective in carrying out the purpose for which the amendments are proposed or would be as effective and less burdensome to affected persons than the proposed amendments.

All inquiries concerning the proposed amendments to the Code and any communication required by this notice should be directed to:

Mr. Rick Bennion, Regulations Coordinator,
State Board of Equalization
450 N Street, MIC:80
P.O. Box 942879
Sacramento, CA 94279-0080
Telephone (916) 445-2130
Fax (916) 324-3984
E-mail Richard.Bennion@boe.ca.gov

Bennion, Richard

From: State Board of Equalization - Announcement of Regulatory Change
<Legal.Regulations@BOE.CA.GOV>
Sent: Friday, December 05, 2014 10:02 AM
To: BOE_REGULATIONS@LISTSERV.STATE.CA.GOV
Subject: State Board of Equalization - Announcement of Regulatory Change 6001

The State Board of Equalization proposes to amend Regulation 6001, *General Provisions*, and Appendices A and B to Regulation 6001, which contain the Board's Conflict of Interest Code. A public hearing on the proposed amendments will be held in Room 121, 450 N Street, Sacramento, at 10:00 a.m., or as soon thereafter as the matter may be heard, on January 21 or 22, 2015.

The proposed amendments to Regulation 6001 reflect the classification and organizational changes that have taken place at the Board since the Conflict of Interest Code was last amended.

To view the notice of hearing, initial statement of reasons/explanation of reasons, proposed text, and history click on the following link: http://www.boe.ca.gov/regs/reg_6001.htm.

Questions regarding the substance of the proposed amendments to Regulation 6001 should be directed to: Ms. Kimberly Willy, Tax Counsel III, at 450 N Street, MIC:82, Sacramento, CA 94279-0082, email Kimberly.Willy@boe.ca.gov, telephone (916) 323-3078, or FAX (916) 324-5995.

Written comments for the Board's consideration, notices of intent to present testimony or witnesses at the public hearing, and inquiries concerning the proposed regulatory action should be directed to Rick Bennion, Regulations Coordinator, telephone (916) 445-2130, fax (916) 324-3984, e-mail Richard.Bennion@boe.ca.gov or by mail to: State Board of Equalization, Attn: Rick Bennion, MIC: 80, P.O. Box 942879-0080, Sacramento, CA 94279-0080.

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<http://www.boe.ca.gov/info/privacyinfo.htm>

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Bennion, Richard

From: BOE-Board Meeting Material
Sent: Friday, December 05, 2014 8:51 AM
To: Alonzo, Mary Ann (Legal); Angeja, Jeff (Legal); Angeles, Joel; Appleby, Jaclyn; Armenta, Christopher; Bartolo, Lynn; Bennion, Richard; Benson, Bill; Bisauta, Christine (Legal); Blake, Sue; BOE-Board Meeting Material; Boyle, Kevin; Bridges, Cynthia; Brown, Michele C; Carrigan, Brenn; Chung, Sophia (Legal); Cruz, Giovan; Davis, Toya P.; Delgado, Maria; Dixon, Camille; Duran, David; Durham, Mark; Eaton, Janet; Elliott, Claudia; Epolite, Anthony (Legal); Ferris, Randy (Legal); Ford, Ladeena L; Garcia, Laura; Gau, David; Gilman, Todd; Hamilton, Tabitha; Hanohano, Rebecca; Harvill, Mai; He, Mengjun; Heller, Bradley (Legal); Hellmuth, Leila; Herrera, Cristina; Holmes, Dana; Hughes, Shellie L; Jacobson, Andrew; Kinkle, Sherrie L; Kinst, Lynne; Kruckenberg, Kendra; Kuhl, James; Lambert, Gary; Lambert, Robert (Legal); Lee, Chris; Levine, David H. (Legal); LoFaso, Alan; Madrigal, Claudia; Mandel, Marcy Jo; Matsumoto, Sid; McGuire, Jeff; Melendez-Collier, Alisa; Miller, Brad; Mandel, Marcy Jo @ SCO; Moon, Richard (Legal); Morquecho, Raymond; Nienow, Trecia (Legal); Oakes, Clifford; Pielsticker, Michele; Ralston, Natasha; Richmond, Joann; Riley, Denise (Legal); Salazar, Ramon; Salgado-Ponce, Sylvia; Schultz, Glenna; Shah, Neil; Silva, Monica (Legal); Singh, Sam; Smith, Kevin (Legal); Smith, Rose; Stowers, Yvette; Torres, Rodrigo; Torres, Rodrigo; Tran, Mai (Legal); Treichelt, Tim; Tucker, Robert (Legal); Vandrick, Tanya; Vasquez, Rosalyn; Wallentine, Sean; Whitaker, Lynn; White, Sharon; Williams, Lee; Zivkovich, Robert
Subject: State Board of Equalization - Announcement of Regulatory Change 6001

The State Board of Equalization proposes to amend Regulation 6001, *General Provisions*, and Appendices A and B to Regulation 6001, which contain the Board's Conflict of Interest Code. A public hearing on the proposed amendments will be held in Room 121, 450 N Street, Sacramento, at 10:00 a.m., or as soon thereafter as the matter may be heard, on January 21 or 22, 2015.

The proposed amendments to Regulation 6001 reflect the classification and organizational changes that have taken place at the Board since the Conflict of Interest Code was last amended.

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Written comments for the Board's consideration, notices of intent to present testimony or witnesses at the public hearing, and inquiries concerning the proposed regulatory action should be directed to Rick Bennion, Regulations Coordinator, telephone (916) 445-2130, fax (916) 324-3984, e-mail Richard.Bennion@boe.ca.gov or by mail to: State Board of Equalization, Attn: Rick Bennion, MIC: 80, P.O. Box 942879-0080, Sacramento, CA 94279-0080.

Please do not reply to this message.

Board Proceedings Division, MIC:80
Rick Bennion
Regulations Coordinator
Phone (916) 445-2130
Fax (916) 324-3984
Richard.Bennion@boe.ca.gov

Bennion, Richard

From: Richmond, Joann
Sent: Friday, December 05, 2014 4:59 PM
To: +All BOE Employees
Subject: State Board of Equalization - Announcement of Regulatory Change 6001

The State Board of Equalization proposes to amend Regulation 6001, *General Provisions*, and Appendices A and B to Regulation 6001, which contain the Board's Conflict of Interest Code. A public hearing on the proposed amendments will be held in Room 121, 450 N Street, Sacramento, at 10:00 a.m., or as soon thereafter as the matter may be heard, on January 21 or 22, 2015.

The proposed amendments to Regulation 6001 reflect the classification and organizational changes that have taken place at the Board since the Conflict of Interest Code was last amended.

To view the notice of hearing, initial statement of reasons/explanation of reasons, proposed text, and history click on the following link: http://www.boe.ca.gov/regs/reg_6001.htm.

Board employees may also view the Board's organizational chart on eBOE by clicking on the following link: <http://vpapp17:86/OPEPRD1/Modules/Chart/IE/Chart.aspx>.

Questions regarding the substance of the proposed amendments to Regulation 6001 should be directed to: Ms. Kimberly Willy, Tax Counsel III, at 450 N Street, MIC:82, Sacramento, CA 94279-0082, email Kimberly.Willy@boe.ca.gov, telephone (916) 323-3078, or FAX (916) 324-5995.

Written comments for the Board's consideration, notices of intent to present testimony or witnesses at the public hearing, and inquiries concerning the proposed regulatory action should be directed to Rick Bennion, Regulations Coordinator, telephone (916) 445-2130, fax (916) 324-3984, e-mail Richard.Bennion@boe.ca.gov or by mail to: State Board of Equalization, Attn: Rick Bennion, MIC: 80, P.O. Box 942879-0080, Sacramento, CA 94279-0080.

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California Regulatory Notice Register

REGISTER 2014, NO. 49-Z

PUBLISHED WEEKLY BY THE OFFICE OF ADMINISTRATIVE LAW

DECEMBER 5, 2014

PROPOSED ACTION ON REGULATIONS

TITLE 2. CITIZENS REDISTRICTING COMMISSION

Conflict-of-Interest Code — Notice File No. Z2014-1125-03 1971

TITLE 2. CALIFORNIA DEPARTMENT OF TECHNOLOGY

Conflict-of-Interest Code — Notice File No. Z2014-1121-01 1971

TITLE 2. FAIR POLITICAL PRACTICES COMMISSION

Conflict-of-Interest Code — Notice File No. Z2014-1125-04 1972

Adoption

State Agency: California Department of Technology
Citizens Redistricting Commission

Amendment

Multi-County: Alameda Contra Costa Transit District
State Agency: Board of Equalization
California Exposition and State Fair

TITLE 2. MENTAL HEALTH SERVICES OVERSIGHT AND ACCOUNTABILITY COMMISSION

Conflict-of-Interest Code — Notice File No. Z2014-1124-01 1973

TITLE 16. CALIFORNIA BOARD OF ACCOUNTANCY

Academia Experience — Notice File No. Z2014-1125-05 1974

TITLE 16. SPEECH-LANGUAGE PATHOLOGY, AUDIOLOGY, AND HEARING AID DISPENSERS BOARD

Hearing Aid Dispensers Continuing Education — Notice File No. Z2014-1125-02 1977

TITLE 18. BOARD OF EQUALIZATION

Conflict-of-Interest Code — Notice File No. Z2014-1125-01 1980

(Continued on next page)

***Time-
Dated
Material***

Interest Code. The purpose of these amendments is to implement the requirements of sections 87300 through 87302, and section 87306 of the Government Code.

The Department proposes to amend its Conflict-of-Interest Code to include employee positions that involve the making or participation in the making of decisions that may foreseeably have a material effect on any financial interest, as set forth in subdivision (a) of section 87302 of the Government Code.

This amendment more accurately describes the name, structure, and function of the Department and makes other technical changes to reflect the current organizational structure of the Department. Copies of the amended code are available and may be requested from the Contact Person set forth below.

Any interested person may submit written statements, arguments, or comments relating to the proposed amendments by submitting them in writing no later than **January 19, 2015**, or at the conclusion of the public hearing, if requested, whichever comes later, to the Contact Person set forth below.

At this time, no public hearing has been scheduled concerning the proposed amendments. If any interested person or the person's representative requests a public hearing, he or she must do so no later than 15 days before close of the written comment period, by contacting the Contact Person set forth below.

The Department has prepared a written explanation of the reasons for the proposed amendments and has available the information on which the amendments are based. Copies of the proposed amendments, the written explanation of the reasons, and the information on which the amendments are based may be obtained by contacting the Contact Person set forth below.

The Department has determined that the proposed amendments:

1. Impose no mandate on local agencies or school districts.
2. Impose no costs or savings on any state agency.
3. Impose no costs on any local agency or school district that are required to be reimbursed under Part 7 (commencing with § 17500) of Division 4 of Title 2 of the Government Code.
4. Will not result in any nondiscretionary costs or savings to local agencies.
5. Will not result in any costs or savings in federal funding to the state.
6. Will not have any potential cost impact on private persons, businesses or small businesses.

In making these proposed amendments, the Department must determine that no alternative considered by the Department would be more effective in carrying out the purpose for which the amendments are proposed or

would be as effective and less burdensome to affected persons than the proposed amendments.

All inquiries concerning this proposed amendment and any communication required by this notice should be directed to:

Kary Marshall, Attorney III
Legal Services Division
California Department of Technology
1325 J Street, Suite 1600
Sacramento, CA 95814
Office Tel. No. (916) 403-9639
Email: Kary.Marshall@state.ca.gov

TITLE 2. FAIR POLITICAL PRACTICES COMMISSION

NOTICE IS HEREBY GIVEN that the Fair Political Practices Commission (Commission), pursuant to the authority vested in it by Sections 82011, 87303, and 87304 of the Government Code to review proposed conflict of interest codes, will review the proposed/amended conflict of interest codes of the following:

CONFLICT OF INTEREST CODES

ADOPTION

STATE AGENCY: California Department of Technology
Citizens Redistricting Commission

AMENDMENT

MULTI-COUNTY: Board of Equalization
California Exposition and State Fair

STATE AGENCY: Alameda Contra Costa Transit District

A written comment period has been established commencing on December 5, 2014 and closing on **January 19, 2015**. Written comments should be directed to the Fair Political Practices Commission, Attention Ivy Branaman, 428 J Street, Suite 620, Sacramento, California 95814.

At the end of the 45-day comment period, the proposed conflict of interest code(s) will be submitted to the Commission's Executive Director for her review, unless any interested person or his/her duly authorized representative requests, no later than 15 days prior to the close of the written comment period, a public hearing before the full Commission. If a public hearing is requested, the proposed code(s) will be submitted to the Commission for review.

The Executive Director of the Commission will review the above-referenced conflict of interest code(s), proposed pursuant to Government Code Section 87300, which designate, pursuant to Government Code Section 87302, employees who must disclose certain investments, interests in real property and income.

Any interested person may present statements, arguments or comments, in writing to the Executive Director of the Commission, relative to review of the proposed conflict of interest code(s). Any written comments must be received no later than **January 19, 2015**. If a public hearing is to be held, oral comments may be presented to the Commission at the hearing.

COST TO LOCAL AGENCIES

There shall be no reimbursement for any new or increased costs to local government which may result from compliance with these codes because these are not new programs mandated on local agencies by the codes since the requirements described herein were mandated by the Political Reform Act of 1974. Therefore, they are not "costs mandated by the state" as defined in Government Code Section 17514.

EFFECT ON HOUSING COSTS AND BUSINESSES

Compliance with the codes has no potential effect on housing costs or on private persons, businesses or small businesses.

AUTHORITY

Government Code Sections 82011, 87303 and 87304 provide that the Fair Political Practices Commission as the code-reviewing body for the above conflict of interest codes shall approve codes as submitted, revise the proposed code and approve it as revised, or return the proposed code for revision and re-submission.

REFERENCE

Government Code Sections 87300 and 87306 provide that agencies shall adopt and promulgate conflict of interest codes pursuant to the Political Reform Act and amend their codes when change is necessitated by changed circumstances.

CONTACT

Any inquiries concerning the proposed conflict of interest code(s) should be made to Ivy Branaman, Fair

Political Practices Commission, 428 J Street, Suite 620, Sacramento, California 95814, telephone (916) 322-5660.

AVAILABILITY OF PROPOSED CONFLICT OF INTEREST CODES

Copies of the proposed conflict of interest codes may be obtained from the Commission offices or the respective agency. Requests for copies from the Commission should be made to Ivy Branaman, Fair Political Practices Commission, 428 J Street, Suite 620, Sacramento, California 95814, telephone (916) 322-5660.

TITLE 2. MENTAL HEALTH SERVICES OVERSIGHT AND ACCOUNTABILITY COMMISSION

NOTICE IS HEREBY GIVEN that the Mental Health Services Oversight and Accountability Commission (MHSOAC), pursuant to the authority vested in it by Section 87306 of the Government Code proposes amendments to its Conflict of Interest Code. The purpose of these amendments is to implement the requirements of Sections 87300 through 87302, and Section 87306 of the Government Code.

The Political Reform Act (Gov. Code Section 81000 et seq.) requires each government agency to adopt a conflict of interest code, and agency personnel covered by the code to periodically file a financial disclosure statement known as a Statement of Economic Interests (Form 700). (See Gov. Code Sections 87300 and 87302.) Among other things, an agency's conflict of interest code must enumerate employee positions with the agency that involve the making of or participation in the making of decisions that may foreseeably have a material financial effect on any economic interest of the employee and, for each enumerated position, the specific types of investments, business positions, and sources of income and gifts which are required to be disclosed on the statement of economic interests. (Gov. Code Section 87302.)

The MHSOAC proposes to amend its Conflict of Interest Code to include employee positions that involve the making or participation in the making of decisions that may foreseeably have a material effect on any financial interest, as set forth in subdivision (a) of Section 87302 of the Government Code.

This amendment which is based on a comprehensive management review, updates or deletes some titles, adds new position titles to the list of designated employees (Appendix A) and makes other technical changes to reflect the current organizational structure of the MHSOAC. Copies of the amended code are available and may be requested from the Contact Person set forth below.

INITIAL STATEMENT OF REASONS
AND INFORMATION

The Board has prepared an initial statement of the reasons for the proposed action and has available all the information upon which the proposal is based.

TEXT OF THE PROPOSAL

Copies of the exact language of the proposed regulations and of the initial statement of reasons, and all of the information upon which the proposal is based, may be obtained upon request from the Speech–Language Pathology and Audiology and Hearing Aid Dispensers Board at 2005 Evergreen Street, Suite 2100, Sacramento, CA 95815.

AVAILABILITY AND LOCATION OF THE FINAL
STATEMENT OF REASONS AND
RULEMAKING FILE

All information upon which the proposed regulations are based is contained in the rulemaking file which is available for public inspection by contacting the person named below.

You may obtain a copy of the final statement of reasons once it has been prepared, by making a written request to the contact person named below or by accessing the website listed below.

CONTACT PERSON

Any inquiries or comments concerning the proposed rulemaking action may be addressed to:

Name: Karen Robison
Address: 2005 Evergreen Street, Suite 2100
Sacramento, CA 95815
Telephone No.: (916) 263–2291
E–Mail
Address: Karen.robison@dca.ca.gov

The backup contact person is:

Name: Breanne Humphreys
Address: 2005 Evergreen Street, Suite 2100
Sacramento, CA 95815
Telephone No.: (916) 561–8779
E–Mail
Address: Breanne.humphreys@dca.ca.gov

Website Access: Materials regarding this proposal can be found at www.speechandhearing.ca.gov.

TITLE 18. BOARD OF EQUALIZATION

NOTICE OF INTENTION TO AMEND THE
CONFLICT–OF–INTEREST CODE OF THE
STATE BOARD OF EQUALIZATION

NOTICE IS HEREBY GIVEN that the State Board of Equalization (Board), pursuant to the authority vested in it by Government Code section 87306, proposes to amend California Code of Regulations, title 18, section (Regulation) 6001, *General Provisions*, and Appendices A and B to Regulation 6001, which contain the Board’s Conflict of Interest Code (Code). The purpose of these amendments is to implement the requirements of Government Code sections 87300 through 87302 and 87306.

The Board proposes to amend its Code to include employee positions that involve the making or participation in the making of decisions that may foreseeably have a material effect on any financial interest, as set forth in Government Code section 87302, subdivision (a).

These amendments implement classification and organizational changes that have taken place at the Board since the Code was last amended on June 3, 2005. The proposed amendments update Appendix A to the Code to list only those positions at the Board that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest as required by Government Code section 87302, subdivision (a). Therefore, the Board proposes to delete positions that are no longer used at the Board from Appendix A, and add new positions that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest to Appendix A. The proposed amendments update the disclosure categories listed in Appendix B for the purpose of narrowly tailoring the disclosure requirements assigned to each position listed in Appendix A to the position’s job duties, and to differentiate between similar positions listed in Appendix A with different levels of responsibility. The amendments also make minor stylistic and grammatical changes to Regulation 6001 and Appendices A and B, including those that were recommended by Fair Political Practices Commission staff, and update the Code’s authority and reference note. Copies of the proposed amendments to the Code are available to interested persons and may be requested from the Contact Person set forth below.

The Board will conduct a meeting in Room 121, at 450 N Street, Sacramento, California, on January 21–22, 2015. The Board will provide notice of the meeting to any person who requests that notice in writing and make the notice, including the specific agenda for the meeting, available on the Board’s Website at

www.boe.ca.gov at least 10 days in advance of the meeting.

A public hearing regarding the proposed regulatory action will be held at 9:30 a.m. or as soon thereafter as the matter may be heard on January 21 or 22, 2015. At the hearing, any interested person may present or submit oral or written statements, arguments, or contentions regarding the adoption of the proposed amendments to the Code.

Any interested person may also submit written statements, arguments, or comments relating to the proposed amendments to the Code by submitting them in writing to the Contact Person set forth below no later than 9:30 a.m. on January 21, 2015, or as soon thereafter as the Board begins the public hearing regarding the adoption of the proposed amendments to the Code during the January 21–22, 2015, Board meeting. The Board will only consider written comments received by that time.

The Board has prepared an Initial Statement of Reasons as a written explanation of the reasons for the proposed amendments to the Code and has available all of the information on which the amendments are based. Copies of the proposed amendments to the Code, the written explanation of the reasons, and the information on which the amendments are based may be obtained by contacting the Contact Person set forth below.

The Board has determined that the proposed amendments:

1. Impose no mandate on local agencies or school districts;
2. Impose no costs or savings on any state agency, local agency, or school district;
3. Impose no costs on any local agency or school district that are required to be reimbursed under part 7 (commencing with section 17500) of division 4 of title 2 of the Government Code;
4. Will not result in any nondiscretionary costs or savings to local agencies.
5. Will not result in any costs or savings in federal funding to the state.
6. Will not have any potential cost impact on private persons or businesses, including small businesses.

In making these proposed amendments, the Board must determine that no alternative considered by it would be more effective in carrying out the purpose for which the amendments are proposed or would be as effective and less burdensome to affected persons than the proposed amendments.

All inquiries concerning the proposed amendments to the Code and any communication required by this notice should be directed to:

Mr. Rick Bennion, Regulations Coordinator,
State Board of Equalization
450 N Street, MIC:80
P.O. Box 942879
Sacramento, CA 94279–0080
Telephone (916) 445–2130
Fax (916) 324–3984
E-mail Richard.Bennion@boe.ca.gov

GENERAL PUBLIC INTEREST

OFFICE OF ADMINISTRATIVE LAW

Request for Information Regarding Publication of the Official California Code of Regulations and the California Regulatory Notice Register

The Office of Administrative Law (OAL) is responsible for compiling and publishing the Official California Code of Regulations (CCR) and the California Regulatory Notice Register (Notice Register), both in hardcopy and online. The current contract for publication of the CCR and Notice Register ends on December 31, 2015, and OAL intends to issue one or more Request(s) for Proposal(s) in 2015 for the future publication of the CCR and Notice Register.

The CCR currently consists of 28 Titles published on 8 1/2 by 11 inch paper in 40 three–ring binders. The CCR, which includes a Master Table of Contents and Master Index, contains more than 28,000 pages. The CCR is updated weekly. The CCR publisher is responsible for producing the hardcopy and online versions of the CCR, updating the CCR weekly, and publishing the Notice Register once each week. Under the current contract, the state of California does not pay the CCR publisher for services: the publisher pays the state compensation for the exclusive right to publish the Official CCR. You may view the existing CCR contract at http://www.oal.ca.gov/res/docs/pdf/CCR_Contract_2014/OAL_CCR_Contract_2010–2014.pdf.

OAL invites any interested person to provide information or suggestions that may assist OAL in contracting for the future publication of the CCR and Notice Register. **OAL is seeking the broadest possible information concerning the CCR and Notice Register (both the print and online versions).** OAL welcomes suggestions or comments of relevance to the publication of the CCR or Notice Register. OAL invites the submission of information relating to the publication of other states' administrative codes or other official documents, or to the development or maintenance



STATE OF CALIFORNIA

STATE BOARD OF EQUALIZATION

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SEN. GEORGE RUNNER (RET.)
Second District, Lancaster

MICHELLE STEEL
Third District, Rolling Hills Estates

JEROME E. HORTON
Fourth District, Los Angeles

JOHN CHIANG
State Controller

CYNTHIA BRIDGES
Executive Director

December 5, 2014

To Interested Parties:

Notice of Proposed Regulatory Action

**The State Board of Equalization Proposes to Adopt Amendments to
California Code of Regulations, Title 18,
Section 6001, *General Provisions***

NOTICE IS HEREBY GIVEN that the State Board of Equalization (Board), pursuant to the authority vested in it by Government Code section 87306, proposes to amend California Code of Regulations, title 18, section (Regulation) 6001, *General Provisions*, and Appendices A and B to Regulation 6001, which contain the Board's Conflict of Interest Code (Code). The purpose of these amendments is to implement the requirements of Government Code sections 87300 through 87302 and 87306.

The Board proposes to amend its Code to include employee positions that involve the making or participation in the making of decisions that may foreseeably have a material effect on any financial interest, as set forth in Government Code section 87302, subdivision (a).

These amendments implement classification and organizational changes that have taken place at the Board since the Code was last amended on June 3, 2005. The proposed amendments update Appendix A to the Code to list only those positions at the Board that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest as required by Government Code section 87302, subdivision (a). Therefore, the Board proposes to delete positions that are no longer used at the Board from Appendix A, and add new positions that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest to Appendix A. The proposed amendments update the disclosure categories listed in Appendix B for the purpose of narrowly tailoring the disclosure requirements assigned to each position listed in Appendix A to the

position's job duties, and to differentiate between similar positions listed in Appendix A with different levels of responsibility. The amendments also make minor stylistic and grammatical changes to Regulation 6001 and Appendices A and B, including those that were recommended by Fair Political Practices Commission staff, and update the Code's authority and reference note. Copies of the proposed amendments to the Code are available to interested persons and may be requested from the Contact Person set forth below.

The Board will conduct a meeting in Room 121, at 450 N Street, Sacramento, California, on January 21-22, 2015. The Board will provide notice of the meeting to any person who requests that notice in writing and make the notice, including the specific agenda for the meeting, available on the Board's Website at www.boe.ca.gov at least 10 days in advance of the meeting.

A public hearing regarding the proposed regulatory action will be held at 9:30 a.m. or as soon thereafter as the matter may be heard on January 21 or 22, 2015. At the hearing, any interested person may present or submit oral or written statements, arguments, or contentions regarding the adoption of the proposed amendments to the Code.

Any interested person may also submit written statements, arguments, or comments relating to the proposed amendments to the Code by submitting them in writing to the Contact Person set forth below no later than 9:30 a.m. on January 21, 2015, or as soon thereafter as the Board begins the public hearing regarding the adoption of the proposed amendments to the Code during the January 21-22, 2015, Board meeting. The Board will only consider written comments received by that time.

The Board has prepared an Initial Statement of Reasons as a written explanation of the reasons for the proposed amendments to the Code and has available all of the information on which the amendments are based. Copies of the proposed amendments to the Code, the written explanation of the reasons, and the information on which the amendments are based may be obtained by contacting the Contact Person set forth below.

The Board has determined that the proposed amendments:

1. Impose no mandate on local agencies or school districts;
2. Impose no costs or savings on any state agency, local agency, or school district;
3. Impose no costs on any local agency or school district that are required to be reimbursed under part 7 (commencing with section 17500) of division 4 of title 2 of the Government Code;
4. Will not result in any nondiscretionary costs or savings to local agencies.
5. Will not result in any costs or savings in federal funding to the state.
6. Will not have any potential cost impact on private persons or businesses, including small businesses.

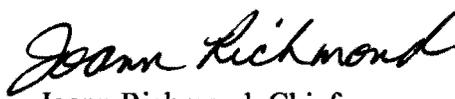
December 5, 2014

In making these proposed amendments, the Board must determine that no alternative considered by it would be more effective in carrying out the purpose for which the amendments are proposed or would be as effective and less burdensome to affected persons than the proposed amendments.

All inquiries concerning the proposed amendments to the Code and any communication required by this notice should be directed to:

Mr. Rick Bennion, Regulations Coordinator,
State Board of Equalization
450 N Street, MIC:80
P.O. Box 942879
Sacramento, CA 94279-0080
Telephone (916) 445-2130
Fax (916) 324-3984
E-mail Richard.Bennion@boe.ca.gov

Sincerely,



Joann Richmond, Chief
Board Proceedings Division

JR:reb

STATE BOARD OF EQUALIZATION

BOARD APPROVED



At the January 21, 2015 Board Meeting

Joann Richmond
Joann Richmond, Chief
Board Proceedings Division

**Initial Statement of Reasons/Explanation of Reasons for
Proposed Amendment to California Code of Regulations,
Title 18, Section 6001, *General Provisions*, and
Appendices A and B to Section 6001**

SPECIFIC PURPOSE AND NECESSITY

Current Law

Government Code section 87300 requires the State Board of Equalization (Board) to adopt a conflict of interest code. Government Code section 87302, subdivision (a), requires that the Board's conflict of interest code contain the:

Specific enumeration of the positions within the agency, other than those specified in Section 87200, which involve the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest and for each such enumerated position, the specific types of investments, business positions, interests in real property, and sources of income which are reportable.

California Code of Regulations, title 2, section (Regulation) 18730 contains the terms of a standard conflict of interest code, which can be incorporated by reference into any agency's conflict of interest code.

The Board's Conflict of Interest Code (Code) is set forth in California Code of Regulations, title 18, section (Regulation) 6001, *General Provisions*, and Appendices A and B to Regulation 6001. Regulation 6001 incorporates the provisions of Regulation 18730 into the Board's Code by reference. Appendix B contains the Board's numbered disclosure categories, which each describe different types of reportable economic interests. Appendix A designates (or lists) the Board's positions that involve the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest, and specifies each listed position's reportable economic interests by reference to the numbered disclosure categories in Appendix B.

Proposed Amendments

The Board reviewed its entire organizational chart and the descriptions for all of the positions listed therein,¹ and received input from each department, division, office, or section to determine whether Appendix A to Regulation 6001 lists all of the current Board positions, including officers and consultants, but not elected officers, such as the

¹ The job descriptions are available on the California Department of Human Resources website at <http://www.calhr.ca.gov/state-hr-professionals/pages/job-descriptions.aspx>.

Board Members, that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest. The Board also reviewed its organizational chart, the descriptions for its positions, and received input to ensure that the disclosure requirements for each position listed in Appendix A are narrowly tailored to each position's job duties, and that the disclosure requirements differentiate between similar positions with different levels of responsibility. The Board also reviewed the disclosure categories described in Appendix B to Regulation 6001 to ensure that the categories sufficiently describe all of the reportable economic interests that designated employees are required to disclose in a clear, concise, and efficient manner.

As a result of its review, the Board determined that it is reasonably necessary to:

- Revise the format of the cross-references to statutes and regulations in the Code, incorporate minor stylistic revisions to the Code based upon input from Fair Political Practices Commission (FPPC) staff, and update the Code's authority and reference note.
- Update appendix A to implement classification and organizational changes that have taken place at the Board since the Code, including Appendices A and B, was last amended on June 3, 2005.
- Update Appendix A to list only those positions at the Board that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest. (Gov. Code, §§ 87300, 87302, subd. (a).) Positions that are no longer used at the Board are being deleted, and new positions are being added.
- Modify the disclosure categories listed in Appendix B for the purpose of narrowly tailoring the disclosure requirements assigned to each position listed in Appendix A. The revisions ensure that each position's disclosure requirements correspond with the position's job duties, and differentiate between similar positions with different levels of responsibility.
- Incorporate minor stylistic revisions in Appendix B that were recommended by FPPC staff.

Therefore, during its meeting on November 19, 2014, the Board unanimously voted to propose to amend Regulation 6001 and Appendices A and B to Regulation 6001 in accordance with those determinations, as explained in detail below.

I. Revisions to Appendix A

The proposed amendments change the "All" disclosure category designation to "1-8" throughout Appendix A for purposes of clarity. The revision results in no change to the disclosure requirements for the positions assigned disclosure categories "1-8" because

disclosure categories 1-8 collectively require the disclosure of all of the same economic interests currently required to be disclosed by all of the disclosure categories in the Board's Code.

The proposed amendments add "All Levels," to Appendix A to clarify that the reporting requirements assigned to specified positions apply to all levels (i.e., I, II, III, or IV) of employees assigned to that position.

A. Board Members' Offices

The proposed amendments change "Board" to "Board Members' Offices" in Appendix A to more clearly refer to the designated positions.

The proposed amendments add the District Director, Community Affairs Deputy, Associate Tax Auditor and Special Consultant positions to Appendix A, which are currently being used within the Board Members' Offices. These positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the Administrative Assistant position from Appendix A because employees holding this position do not make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

B. Executive Office

The proposed amendments change "Executive" to "Executive Office" in Appendix A to more clearly refer to the designated positions.

The proposed amendments delete the Administrative Assistant position from Appendix A because employees holding this position do not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments delete the CEA (Communications Office) position from Appendix A because this office has been renamed and the position is no longer being used by the Executive Office.

C. Executive Services Section

The proposed amendments add the "Executive Services Section" to Appendix A because

this is a new section within the Board. Add the Staff Services Manager (All Levels), Business Taxes Specialist (All Levels), Associate Management Analyst, and Associate Governmental Program Analyst positions in the Executive Services Section to Appendix A, because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments to Appendix A also assign disclosure categories to the positions in the Executive Services Section that are narrowly tailored to the specific duties of each designated position.

D. Board Proceedings Division

The proposed amendments add Chief and Business Taxes Specialist (All Levels) positions to Appendix A because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the CEA, Associate Governmental Program Analyst and Associate Governmental Program Analyst (LAN Workgroup Manager) positions from Appendix A because the employees holding these positions do not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests. The proposed amendments also delete the CEA position because that position is now entitled, Chief.

In addition, the proposed amendments assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

E. Customer and Taxpayer Services Division

The proposed amendments delete the Customer and Taxpayer Services Division, and all of the positions designated under this division from Appendix A because this division no longer exists within the Board.

F. External Affairs Department

The proposed amendments add the External Affairs Department and the Outreach Service Division, Customer Service and Publishing Division, Web Service Division and Office of Public Affairs under the External Affairs Department to Appendix A.

The proposed amendments add the following positions in and under the External Affairs Department to Appendix A because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

External Affairs Department

Deputy Director

Outreach Services Division

Staff Services Manager (All Levels)
Information Officer (All Levels)
Tax Services Specialist

Customer Service and Publishing Division

Staff Services Manager (All Levels)
Business Taxes Compliance Supervisor (All Levels)
Tax Services Specialist
Business Taxes Compliance Specialist
Systems Software Specialist (All Levels)

Web Services Division

Senior Information Systems Analyst (Supervisor)

Office of Public Affairs

Tax Services Specialist
Information Officer (All Levels)
Staff Services Manager

The proposed amendments to Appendix A also assign disclosure categories that are narrowly tailored to the specific duties of each designated position in and under the External Affairs Department.

G. Legislative and Research Division

The proposed amendments change “Legislative Division” to “Legislative and Research Division” in Appendix A to more clearly refer to the designated positions.

The proposed amendments delete the Assistant Chief Counsel, Senior Specialist Property Appraiser, Operations Research Specialist, and Research Analyst positions from Appendix A because these positions are no longer being used by this division.

The proposed amendments delete the Associate Governmental Program Analyst (System or LAN Administrator) position from Appendix A because this position does not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments add the Research Manager (All Levels) position to Appendix A because the position makes or participates in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

H. Technology Services Department

The proposed amendments change “Technology Services Division” to “Technology Services Department” in Appendix A to more clearly refer to the designated positions.

The proposed amendments add Chief Information Officer and Systems Software Specialist III (Supervisor) positions to Appendix A because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the Associate Systems Software Specialist, Associate Information Systems Analyst, Staff Programmer Analyst, Associate Programmer Analyst, and Associate Governmental Program Analyst positions from Appendix A because the positions do not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments delete the Business Taxes Administrator and Business Taxes Compliance Supervisor positions from Appendix A because these positions are no longer being used by the Technology Services Department.

The proposed amendments also assign new disclosure categories or revise disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

I. CROS Project Team

The proposed amendments add the new CROS Project Team to Appendix A.

The proposed amendments add the following positions under the CROS Project Team to Appendix A that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

CEA (All Levels)

Data Processing Manager (All Levels)

Business Taxes Administrator III

Business Taxes Specialist (All Levels)

Staff Services Manager (All Levels)

Business Taxes Representative (All Levels)
Associate Governmental Program Analyst
Systems Software Specialist (All Levels)
Senior Information Systems Analyst (Specialist)
Senior Programmer Analyst (Specialist)
Staff Information Systems Analyst (Specialist or Supervisor)
Evaluators
Subject Matter Experts

The proposed amendments to Appendix A also assign disclosure categories to each position that are narrowly tailored to the specific duties of each designated position on the CROS Project Team.

J. Taxpayers' Rights and Equal Employment Opportunity Division

The proposed amendments to Appendix A delete the Associate Governmental Program Analyst (EEOO) position because this position does not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments to Appendix A delete the CEA and Associate Property Appraiser (TRA) positions because these positions are no longer being used by this division. The proposed amendments also delete the CEA position because that position is now entitled, Taxpayers' Rights Advocate.

The proposed amendments add the Taxpayers' Rights Advocate, Tax Counsel (All Levels), Business Taxes Administrator (TRA) (All Levels), Senior Specialist Property Appraiser (TRA), and Assistant Property Appraiser (TRA) positions to Appendix A because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

K. Internal Audit Division

The proposed amendments add the Internal Audit Division to Appendix A. The proposed amendments also delete the "Internal Security and Audit Division" and all of the positions designated under this division in the current code from under the "Legal Department" in Appendix A because the division has been renamed the Internal Audit Division and is now under the direct authority of the Executive Director.

The proposed amendments add the Chief, Business Taxes Administrator (All Levels), Business Taxes Specialist (All Levels) and Associate Tax Auditor positions under the

Internal Audit Division to Appendix A because these positions are assigned to this division and make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments also assign disclosure categories to each position being added to Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

L. Administration Department

The proposed amendments delete the CEA, Training Officer (Training Office) and Associate Governmental Program Analyst (Training Office) positions from Appendix A because these positions are no longer being used by this department. The proposed amendments also delete the CEA position because that position is now entitled, Deputy Director.

The proposed amendments add the Deputy Director to Appendix A because this is a new position that was added to this Department that makes or participates in the making of decisions which may foreseeably have a material financial effect on financial interests.

In addition, the proposed amendments assign disclosure categories to the Deputy Director to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the Deputy Director's specific duties.

1. Information Security Office

The proposed amendments add the Information Security Office to Appendix A under the Administration Department. The proposed amendments add the following positions under the Information Security Office in Appendix A because these are new positions that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Data Processing Manager (All Levels)
Senior Information Systems Analyst (Specialist)
Business Taxes Specialist (All Levels)
Staff Information Systems Analyst
Associate Information Systems Analyst

The proposed amendments also assign disclosure categories that are narrowly tailored to the specific duties of each designated position being added to Appendix A.

2. Administrative Support Division

The proposed amendments delete the CEA, Associate Business Management Analyst, Associate Governmental Program Analyst, Telecommunications Systems Analyst, and Office Services Manager (Supervisor, Cashier Unit) positions from the Administrative

Support Division of the Administration Department in Appendix A because these positions are no longer being used by this department. The proposed amendments also delete the CEA position because that position is now entitled, Chief.

The proposed amendments add the Chief, Business Services Officer (Supervisor), Printing Trades Supervisor (All Levels), Warehouse Manager (All Levels), Office Services Supervisor (All Levels), and Chief Construction Supervisor positions to Appendix A because these are new positions in the Administrative Support Division of the Administration Department that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

In addition, the proposed amendments assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

3. Human Resources Division

The proposed amendments change “Personnel Management Division” to “Human Resources Division” in Appendix A to more clearly refer to the designated positions.

The proposed amendments add the following positions to the Human Resources Division in Appendix A because they make or participate in making decisions which may foreseeably have a material financial effect on financial interests:

Chief
Personnel Supervisor (All Levels)
Labor Relations Manager
Training Officer

The proposed amendments delete the CEA position from appendix A because it is no longer being used by this division, and the position is now entitled, Chief.

In addition, the proposed amendments assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

4. Financial Management Division

The proposed amendments add the following positions to the Financial Management Division in Appendix A because they make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Chief
Senior Administrative Analyst

Mailing Machines Supervisor (All Levels)
Office Services Manager (All Levels)

The proposed amendments delete the CEA, Associate Accounting Analyst, Associate Administrative Analyst, Associate Budget Analyst, Senior Accounting Officer, Associate Governmental Program Analyst and Staff Information Systems Analyst (Specialist) positions from Appendix A because they do not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests, or are no longer being used by the division.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

5. Policy, Planning and Evaluation Division

The proposed amendments delete the Policy, Planning and Evaluation Division, and all of the positions designated under this division, from Appendix A because this division is no longer being used by the Board.

M. Legal Department

The proposed amendments add Chief Counsel and Business Taxes Administrator (All Levels) positions to Appendix A because these are new positions that were added to this department that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the Supervising Tax Auditor, Administrative Assistant, Staff Information Systems Analyst, Associate Information Systems Analyst and Business Taxes Compliance Supervisor (Offer in Compromise) positions from Appendix A because they are no longer used by this department.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

1. Investigations and Special Operations Division

The proposed amendments change “Investigations Division” to “Investigations and Special Operations Division” in Appendix A and designate it under the Legal Department where it is currently assigned.

The proposed amendments add Chief, Business Taxes Compliance Supervisor (All Levels), and Senior Information Systems Analyst (Specialist) positions to Appendix A

because these are new positions that were added to this division that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the CEA position from Appendix A because it is no longer used by this division and because that position is now entitled, Chief.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

N. Data Analysis Section

The proposed amendments add the Data Analysis Section to Appendix A.

The proposed amendments add the Supervising Tax Auditor (All Levels), Business Taxes Specialist II or III, Business Taxes Specialist I, and Business Taxes Compliance Specialist positions under the “Data Analysis Section” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments also assign disclosure categories to the positions being added to Appendix B that are narrowly tailored to the specific duties of each designated position.

O. Property and Special Taxes Department

Since the last amendment to the Code, the Property and Special Taxes Department was completely reorganized. The department now has four divisions, the County-Assessed Properties Division, State-Assessed Properties Division, Special Taxes Policy & Compliance Division, and the Special Taxes Audit & Carrier Division. The Assessment Policy and Standards Division, County Property Division, Valuation Division, Excise Taxes and Fees Division and Fuel Taxes Division no longer exist and the proposed amendments delete the divisions and all of the positions listed under those divisions from Appendix A.

The proposed amendments add a new “Property and Special Taxes Department” heading to Appendix A and list the following positions under that heading because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Deputy Director
Business Taxes Specialist II or III (Technical Advisors)

The proposed amendments add a new “County-Assessed Properties Division and State Assessed Properties Division” heading to Appendix A and list the following positions under that heading because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

CEA (All Levels)
Business Taxes Administrator (All Levels)
Principal Property Appraiser
Principal Property Appraiser (Timber)
Supervising Property Appraiser
Supervising Property Appraiser (SAPD)
Research Manager (All Levels)
Senior Specialist Property Appraiser
Senior Specialist Property Auditor Appraiser
Senior Specialist Property Auditor Appraiser (Timber)
Senior Forest Property Appraiser
Senior Petroleum and Mining Appraisal Engineer
Associate Forest Property Appraiser
Associate Property Appraiser
Associate Property Auditor Appraiser
Associate Property Auditor Appraiser (Timber)
Assistant Property Appraiser
Assistant Property Auditor Appraiser
Assistant Property Auditor Appraiser (Timber)
Business Taxes Specialist (All Levels)
Research Analyst (All Levels)
Tax Auditor
Business Tax Representative (Timber)
Associate Government Program Analyst
Staff Services Analyst (LEOP)

The proposed amendments add a new “Special Taxes Policy & Compliance Division and Special Taxes Audit & Carrier Division” heading to Appendix A and list the following positions under that heading because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

CEA (All Levels)
Business Taxes Administrator (All Levels)
Supervising Tax Auditor (All Levels)
Business Taxes Compliance Supervisor (All Levels)
Business Taxes Specialist II or III
Business Taxes Compliance Specialist
Business Taxes Specialist I
Associate Tax Auditor
Tax Auditor
Business Taxes Representative

The proposed amendments also assign disclosure categories to the positions listed under these new headings in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

P. Sales and Use Tax Department

The positions designated under the Sales and Use Tax Department in Appendix A do not reflect the current organizational structure of the department. Many of the positions are no longer used by the department and are in need of deletion. In addition, all of the disclosure categories assigned to the designated positions that are still used by the department are not narrowly tailored to the current job duties of each designated position. Therefore, to narrowly tailor the disclosure categories, the proposed amendments add the subheadings “Headquarters Operations Division,” “Tax Policy Division,” and “Field Operations Division,” under the heading for the Sales and Use Tax Department in Appendix A.

The proposed amendments add Deputy Director, Business Taxes Specialist II or III, Business Taxes Administrator (All Levels), and Business Taxes Compliance Specialist positions under the “Sales and Use Tax Department” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments add the following positions under the “Headquarters Operations Division” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Chief
Business Taxes Specialist II or III
Supervising Tax Auditor II or III
Business Taxes Administrator (All Levels)
Business Taxes Specialist I
Business Taxes Compliance Specialist
Supervising Tax Auditor I
Supervising Tax Technician
Associate Tax Auditor
Tax Auditor
Business Taxes Representative
Senior Information Systems Analyst (Specialist)

The proposed amendments add the following positions under the “Tax Policy Division” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Chief

Business Taxes Specialist II or III
Supervising Tax Auditor II or III
Business Taxes Administrator (All Levels)
Business Taxes Specialist I
Business Taxes Compliance Specialist
Supervising Tax Auditor I
Supervising Tax Technician
Associate Tax Auditor
Tax Auditor
Business Taxes Representative
Senior Information Systems Analyst (Specialist)

The proposed amendments add the following positions under the “Field Operations Division” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Chief
Business Taxes Administrator (All Levels)
Business Taxes Specialist II or III
Business Taxes Compliance Supervisor (All Levels)
Supervising Tax Auditor (All Levels)
Supervising Tax Technician (All Levels)
Information Officer (All Levels)
Business Taxes Compliance Specialist
Business Taxes Representative
Business Taxes Specialist I
Associate Tax Auditor
Tax Auditor
Information Officer (All Levels)

The proposed amendments assign new disclosure categories or revise the disclosure categories assigned to the positions listed under the Sales and Use Tax Department in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

Q. Additional Revisions

The proposed amendments add a “Retired Annuitant” designation to Appendix A to make clear that the Political Reform Act (PRA) (Gov. Code, § 81000 et seq.) requires retired annuitants who are appointed in classifications corresponding to civil service employee classification categories listed in the Code to disclose under that civil service classification category.

The proposed amendments delete the current provisions regarding consultants from Appendix A and add a new “Consultants/New Positions” designation to Appendix A to

make clear that the PRA requires consultants and new positions to disclose pursuant to the broadest disclosure category in the Code. The proposed amendments also add a provision to Appendix A permitting the Executive Director to determine in writing that a consultant or new position is not required to fully comply with the disclosure requirements when the “designated position” is hired to perform a range of duties that is limited in scope. The current version of Appendix A includes this provision for consultants, but not for new positions.

In addition, the proposed amendments add a designation for “CROS Evaluators” and “CROS Subject Matter Experts” to Appendix A to make clear that employees assigned the task of serving as a “CROS Evaluator” or “CROS Subject Matter Expert” must report according to the designation made under CROS, as well as the position, if designated in the code, that they hold elsewhere at the Board.

II. Amendments to Appendix B

A. Minor Stylistic Revisions

At the suggestion of FPPC staff, in each disclosure category, the proposed amendments to Appendix B replace the word “employees” with “positions” and insert “receipt of” before “loans, gifts, and travel payments.”

B. Updated and Clarified Disclosure Categories

The proposed amendments update disclosure category number one in Appendix B so that it specifically refers to the State Board of Equalization.

The proposed amendments update disclosure category number two in Appendix B so that it lists all of the special taxes and fees that are currently being administered by the Board.

The proposed amendments update disclosure category number three in Appendix B to clarify that employees are required to report economic interests from sources that “own, or owned, property of the type assessed or valued or exempted by the Board” and “interests in real property located within the State of California.”

The proposed amendments renumber disclosure category number seven as number nine and revise disclosure category number nine, at the recommendation of FPPC staff, to more narrowly require disclosure of economic interests from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the designated “position’s department, division, section or office” instead of by “the Board.”

The proposed amendments renumber disclosure category number eight as number ten and revise disclosure category number ten, at the recommendation of FPPC staff, to add “telecommunications equipment” and “telecommunication” and to require disclosure of economic interests from sources that provide telecommunications equipment, or any services or training or equipment related to telecommunication. The proposed

amendments also delete current disclosure category number 10 regarding telecommunications equipment and services.

The proposed amendments also renumber disclosure category number nine as number eleven in Appendix B.

C. Disclosure Categories Added

The proposed amendments add a new disclosure category number seven to Appendix B, at the recommendation of FPPC staff, to require disclosure of economic interests from sources that were the subject of a complaint to, investigation by, or enforcement action of the Board, or administrative action before the Board that was acted upon or participated in by the employee during the period of time covered by the statement of economic interest.

The proposed amendments add a new disclosure category number eight to Appendix B, at the recommendation of FPPC staff, to require disclosure of economic interests from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Board.

The proposed amendments add a new disclosure category number twelve to Appendix B, at the recommendation of the FFPC, to provide that the designated positions may file the Form 700-A in lieu of the Form 700 if, during the reporting period, they did not have any financial interests in any of their assignments. This disclosure category replaces provisions regarding Form 700-A that the Board proposes to delete from Appendix A.

The proposed amendments also add new disclosure category number thirteen to Appendix B, at the recommendation of FPPC staff, for the purpose of differentiating between similar positions with different levels of responsibility that make or participate in the making of decisions that may foreseeably have a material financial effect on real property interests. Disclosure category number three requires a position to report all “interests in real property located within the State of California,” while disclosure category number thirteen requires a position to report “interests in real property located within 500 feet of the real property the designated position was assigned to assess or value or exempt.”

Specific Purpose and Necessity

During its November 19, 2014, meeting, the Board determined that the proposed amendments are reasonably necessary for the specific purpose of ensuring that the Board’s conflict of interest code complies with the requirements of Government Code section 87302 by:

- Listing only those positions at the Board that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest; and

- Assigning disclosure requirements that are narrowly tailored to a position's job duties, and differentiate between similar positions with different levels of responsibility.

The Board also determined that the proposed amendments are reasonably necessary for the specific purpose of ensuring that the disclosure categories in Appendix B describe all of the economic interests that employees in listed positions are required to disclose in a clear, concise, and efficient manner.

DOCUMENTS RELIED UPON

The Board relied upon a Chief Counsel Memorandum dated October 30, 2014, the attachments to the memorandum, and comments from Board staff made during the Board meeting on November 19, 2014, in deciding to propose the amendments to the Code, including Appendices A and B.

ALTERNATIVES CONSIDERED

The Board did not consider any alternatives to the proposed amendments to the Code, including Appendices A and B. No alternatives were presented to the Board.

FISCAL IMPACT

The Board's determinations regarding the fiscal impact of the proposed amendments to the Code, including Appendices A and B, in the Board's notice of proposed regulatory action, are in accordance with the requirements of California Code of Regulations, title 2, section (Regulation) 18730.

Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

6001. General Provisions.

The Political Reform Act (~~Government Code Sections~~ Gov. Code, § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (~~2-Cal. Code of Regs., tit. 2, Section~~ § (Regulation) 18730) containing the terms of a standard conflict of interest code, which can be incorporated by reference ~~in an agency's code, and which may, a~~ After public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of ~~2-Cal. Code of Regs. Section~~ Regulation 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices A and B, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the State Board of Equalization.

~~All designated employees of the State Board of Equalization~~ Individuals holding designated positions shall file their statements of economic interests with the State Board of Equalization, which will make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the ~~a~~ statement ~~for~~ of the Executive Director, the State Board of Equalization shall make and retain ~~copies~~ a copy and forward the original to the Fair Political Practices Commission. ~~Statements of a~~ All other statements ~~designated employees~~ will be retained by the State Board of Equalization.

Note: Authority cited: Sections 87300-87314, Government Code. Reference: Sections 87300-87302 and 87306~~3~~, Government Code.

Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix A

Designated Positions	Disclosure Categories
<u>Board Members' Offices</u>	
Deputy to Board Member	<u>AH1-8</u>
Administrative Assistant to Board Member	<u>AH1-8</u>
Assistant to Board Member	<u>AH1-8</u>
<u>CEA (All Levels)</u>	<u>AH1-8</u>
<u>District Director</u>	<u>1-8</u>
<u>Community Affairs Deputy</u>	<u>1-8</u>
Administrative Assistant	1-8
Staff Services Manager	<u>1-87, 9</u>
Tax Counsel <u>(All Levels)</u>	<u>1-67</u>
Tax Consultant Expert <u>(All Levels)</u>	<u>1-67</u>
Tax Services Specialist	<u>1-67</u>
Assistant Tax Services Specialist	<u>1-67</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>
Associate Governmental Program Analyst	<u>1-2, 4-67</u>
Business Taxes Representative	<u>1-67</u>
Business Taxes Specialist <u>H(All Levels)</u>	<u>1-67</u>
Information Officer <u>H(All Levels)</u>	<u>1-2, 4-67</u>
<u>Special Consultant</u>	<u>1-7</u>
<u>Executive Office</u>	
Executive Director	<u>AH1-8</u>
Administrative Assistant	AH
CEA (Communications Office)	AH
<u>Executive Services Section</u>	
<u>Staff Services Manager (All Levels)</u>	<u>1-8</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-2, 4-7</u>
<u>Associate Management Analyst</u>	<u>1-2, 4-7</u>
<u>Associate Governmental Program Analyst</u>	<u>1-2, 4-7</u>
<u>Board Proceedings Division</u>	
CEA	<u>AH</u>
<u>Chief</u>	<u>1-8</u>
Staff Services Manager	<u>AH7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>7, 9</u>
Associate Governmental Program Analyst (LAN Workgroup Manager)	1-6, 8
Associate Governmental Program Analyst	1-6
<u>Customer and Taxpayer Services Division</u>	

CEA	All
Staff Services Manager	1-9
Business Taxes Compliance Specialist	1-6
Assistant Tax Services Specialist	1-6
Associate Governmental Program Analyst	1-6
Associate Information Systems Analyst	8
Staff Services Manager (Forms and Publications Section)	7,9
Assistant Tax Services Specialist (Forms and Publications Section)	7,8
Associate Governmental Program Analyst (Forms and Publications (Section))	7,8

External Affairs Department

<u>Deputy Director</u>	<u>1-8</u>
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Outreach Services Division

<u>Staff Services Manager (All Levels)</u>	<u>1-2, 4-7, 9</u>
<u>Information Officer (All Levels)</u>	<u>1-2, 4-7</u>
<u>Tax Services Specialist</u>	<u>1-2, 4-7</u>

Customer Service and Publishing Division

<u>Staff Services Manager (All Levels)</u>	<u>1-2, 4-7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1-2, 7, 9-11</u>
<u>Tax Service Specialist</u>	<u>1-2, 7, 9-11</u>
<u>Business Taxes Compliance Specialist</u>	<u>1-2, 7</u>
<u>Systems Software Specialist (All Levels)</u>	<u>10</u>

Web Services Division

<u>Senior Information Systems Analyst (Supervisor)</u>	<u>10</u>
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Office of Public Affairs

<u>Tax Service Specialist</u>	<u>1-2, 4-8</u>
<u>Information Officer (All Levels)</u>	<u>1-2, 4-7, 9</u>
<u>Staff Services Manager</u>	<u>1-2, 4-7, 9</u>

Legislative and Research Division

Assistant Chief Counsel	All
CEA (All Levels)	All 1-8
Staff Services Manager (All Levels)	1-6, 7, 9
Senior Specialist Property Appraiser	1-6
Business Taxes Specialist (All Levels)	1-6, 7
Associate Governmental Program Analyst (System or LAN Administrator)	1-6, 8
Operations Research Specialist	1-4, 6, 8
Research Manager (All Levels)	1-2, 5, 9
Research Program Specialist (All Levels)	1-4, 8, 2, 5, 9
Research Analyst	1-4, 8

Technology Services Division~~Department~~

<u>Chief Information Officer</u>	<u>1-8</u>
<u>CEA (All Levels)</u>	All <u>1-8</u>
<u>Data Processing Manager IV</u>	All <u>1-8</u>
Business Taxes Administrator	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 7-9
<u>Data Processing Manager (I-III)</u>	7-9 <u>10</u>
<u>Staff Services Manager (All Levels)</u>	7-9 <u>10</u>
<u>Systems Software Specialist (II or III)</u>	8 <u>10</u>
<u>Systems Software Specialist III (Supervisor)</u>	10
Associate Systems Software Specialist	8
<u>Senior Information Systems Analyst (Specialist or Supervisor)</u>	8 <u>10</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	8 <u>10</u>
Associate Information Systems Analyst	8
<u>Senior Programmer Analyst (Supervisor)</u>	8 <u>10</u>
Staff Programmer Analyst	8
Associate Programmer Analyst	8
Associate Governmental Program Analyst	8

CROS Project Team

<u>CEA (All Levels)</u>	<u>1-8</u>
<u>Data Processing Manager (All Levels)</u>	1-2, 4-8
<u>Business Taxes Administrator III</u>	1-2, 4-8
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Services Manager (All Levels)</u>	<u>10</u>
<u>Business Taxes Representative (All Levels)</u>	<u>10</u>
<u>Associate Governmental Program Analyst</u>	<u>10</u>
<u>Systems Software Specialist (All Levels)</u>	<u>10</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Senior Programmer Analyst (Specialist)</u>	<u>10</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	<u>10</u>
<u>Evaluators***</u>	<u>10</u>
<u>Subject Matter Experts ***</u>	<u>10</u>

Taxpayers' Rights and Equal Employment Opportunity Division

<u>CEA</u>	<u>All</u>
<u>Taxpayers' Rights Advocate</u>	<u>1-8</u>
<u>Tax Counsel (All Levels)</u>	<u>1-7</u>
<u>Business Taxes Administrator (TRA) (All Levels)</u>	1-7, 9
<u>Business Taxes Specialist (TRA) (All Levels)</u>	1-4, 7
<u>Senior Specialist Property Appraiser (TRA)</u>	3, 7
<u>Assistant Property Appraiser (TRA)</u>	3, 7
<u>Associate Property Appraiser (TRA)</u>	1-4
<u>Staff Services Manager (EEOO) (All Levels)</u>	7, 9
Associate Governmental Program Analyst (EEOO)	7, 9

Internal Audit Division

<u>Chief</u>	<u>1-8</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-7</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>

Administration Department

CEA	All
Training Officer (Training Office)	9
Associate Governmental Program Analyst (Training Office)	9
<u>Deputy Director</u>	<u>1-8</u>

Information Security Office

<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Information Systems Analyst</u>	<u>10</u>
<u>Associate Information Systems Analyst</u>	<u>10</u>

Administrative Support Division

CEA	All
<u>Chief</u>	<u>1-7, 9-10</u>
Staff Services Manager (All Levels)	7-10 <u>1-7, 9-10</u>
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Telecommunications Systems Analyst	10
Office Services Manager (Supervisor, Cashier Unit)	7
<u>Business Services Officer (Supervisor)</u>	<u>9-10</u>
<u>Printing Trades Supervisor (All Levels)</u>	<u>9-10</u>
<u>Warehouse Manager (All Levels)</u>	<u>9-10</u>
<u>Office Services Supervisor (All Levels)</u>	<u>9-10</u>
<u>Chief Construction Supervisor</u>	<u>9-10</u>

~~Personnel Management~~ Human Resources Division

CEA	7-9
<u>Chief</u>	<u>1-7, 9, 11</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-9, 11</u>
<u>Associate Governmental Program Analyst</u>	<u>7-9, 11</u>
<u>Associate Personnel Analyst</u>	<u>7-9, 11</u>
<u>Personnel Supervisor (All Levels)</u>	<u>9, 11</u>
<u>Training Officer</u>	<u>9, 11</u>
<u>Labor Relations Manager</u>	<u>9, 11</u>
<u>Labor Relations Specialist</u>	<u>7-9, 11</u>

Financial Management Division

CEA	All
Chief	1-8
Staff Services Manager (All Levels)	7-98
Accounting Administrator (All Levels)	7-98
Senior Administrative Analyst	8
Mailing Machines Supervisor (All Levels)	10
Office Services Manager (All Levels)	9
Associate Accounting Analyst	7-9
Associate Administrative Analyst	7-9
Associate Budget Analyst	7-9
Senior Accounting Officer	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst (Specialist)	8
Policy, Planning and Evaluation Division	
Staff Services Manager III	1-4, 7-9
Staff Services Manager I or II	7-9
Associate Management Analyst	7-9
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst	8
Legal Department	
Chief Counsel	1-8
CEA (All Levels)	All 1-8
Assistant Chief Counsel	All 1-8
Tax Counsel	1-67
Supervising Tax Auditor	1, 2
Business Taxes Administrator (All Levels)	9
Administrative Assistant	7-9
Librarian	7, 89
Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Business Taxes Specialist II or III	1, 2, 7
Business Taxes Specialist I	1, 2, *, 7
Business Taxes Compliance Supervisor (Offers in Compromise)	1, 2
Business Taxes Compliance Specialist (Offers in Compromise)	1, 2, 7
Business Taxes Specialist (Offers in Compromise) (All Levels)	1, 2, 7
Internal Security and Audit Division	
CEA	All
Business Taxes Administrator	All
Business Taxes Specialist	All
Associate Tax Auditor	All
Associate Governmental Program Analyst	7-9
Systems Software Specialist	8

Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Assistant Information Systems Analyst	8
<u>Investigations and Special Operations Division</u>	
CEA	All
Chief	1-8
Business Taxes Administrator (All Levels)	1, 2, 7, 9
Business Taxes Compliance Supervisor (All Levels)	1-7, 9
Business Taxes Specialist (All Levels)	1, 2-7
Business Taxes Compliance Specialist	1, 2-7
Supervising Tax Auditor	1, 2, 9-7
Associate Tax Auditor	1, 2-7
Senior Information Systems Analyst (Specialist)	10
Staff Information Systems Analyst	810
<u>Data Analysis Section</u>	
Supervising Tax Auditor (All Levels)	1-8
Business Taxes Specialist II or III	1, 7
Business Taxes Specialist I	12
Business Taxes Compliance Specialist	12
<u>Property and Special Taxes Department</u>	
CEA	All
Administrative Assistant	1, 2, 6-9
Staff Services Manager	7-9
Associate Governmental Program Analyst	3, 7-9
Staff Information Systems Analyst	8
<u>Assessment Policy and Standards Division</u>	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Research Analyst II (GIS)	3
<u>County Property Tax Division</u>	
CEA	3, 4, 7-9
Principal Property Appraiser	3, 7-9
Senior Petroleum and Mining Appraisal Engineer	3
Supervising Property Appraiser (Assessment Practices Surveys Section)	3, 7-9
Senior Specialist Property Appraiser (Assessment Practices Surveys Section)	3

Senior Specialist Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Associate Property Appraiser (Assessment Practices Surveys Section)	3
Associate Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Supervising Property Appraiser (Timber Tax Section)	4, 7-9
Senior Specialist Property Auditor Appraiser (Timber Tax Section)	4
Associate Property Auditor Appraiser (Timber Tax Section)	4
Senior Forest Property Appraiser	4
Associate Forest Property Appraiser	4
Valuation Division	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Excise Taxes and Fees Division	
CEA	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 6, 9
Business Taxes Compliance Specialist	1, 2, 6, 9
Supervising Tax Auditor	1, 2, 6, 9
Business Taxes Specialist II or III	1, 2, 6, 9
Business Taxes Specialist I	1, 2, 6*
Associate Tax Auditor	1, 2, 6*
Fuel Taxes Division	
CEA	1, 2, 7-9
Business Taxes Administrator	1, 2, 7-9
Staff Services Manager	1, 2, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 9
Business Taxes Specialist II or III	1, 2, 9
Business Taxes Specialist I	1, 2*
Associate Tax Auditor	1, 2*
<u>Property and Special Taxes Department</u>	
<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III (Technical Advisors)</u>	<u>1-4, 6-7, 9</u>

County-Assessed Properties Division & State-Assessed Properties Division

<u>CEA (All Levels)</u>	<u>2-7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>9, 13</u>
<u>Principal Property Appraiser</u>	<u>9, 13</u>
<u>Principal Property Appraiser (Timber)</u>	<u>4, 9</u>
<u>Supervising Property Appraiser</u>	<u>9, 13</u>
<u>Supervising Property Appraiser (SAPD)</u>	<u>9, 13</u>
<u>Research Manager (All Levels)</u>	<u>9, 13</u>
<u>Senior Specialist Property Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Senior Forest Property Appraiser</u>	<u>4</u>
<u>Senior Petroleum and Mining Appraisal Engineer</u>	<u>13</u>
<u>Associate Forest Property Appraiser</u>	<u>4</u>
<u>Associate Property Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Assistant Property Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>13</u>
<u>Research Analyst (All Levels)</u>	<u>13</u>
<u>Tax Auditor</u>	<u>13</u>
<u>Business Tax Representative (Timber)</u>	<u>4</u>
<u>Associate Government Program Analyst</u>	<u>13</u>
<u>Staff Services Analyst (LEOP)</u>	<u>12</u>

Special Taxes Policy & Compliance Division and Special Taxes Audit and Carrier Division

<u>CEA (All Levels)</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Specialist II or III</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>

Sales and Use Tax Department

<u>CEA 3 or 4</u>	<u>All</u>
<u>CEA 2</u>	<u>1, 2, 7, 9</u>
<u>Business Taxes Administrator</u>	<u>1, 2, 7, 9</u>
<u>Administrative Assistant</u>	<u>1, 2, 7, 8</u>

Business Taxes Compliance Supervisor (LAN Administrator)	1, 2, 8, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 7, 9
Business Taxes Specialist II or III	1, 2, 7, 9
Business Taxes Specialist (Special Projects)	1, 2, 7, 9
Business Taxes Specialist (Systems Coordinator)	1, 2, 8, 9
Business Taxes Specialist (Training Group)	1, 2, 9
Business Taxes Specialist (Computer Audit Specialist)	1, 2, 8
Business Taxes Specialist (Regulation Coordinator)	1, 2
Business Taxes Specialist (Revenue Opportunity)	1, 2
Business Taxes Specialist (Technical Advisor)	1, 2
Business Taxes Specialist (Project Coordinator)	1, 2
Business Taxes Specialist I	1, 2 *
Associate Tax Auditor	1, 2 *
Staff Information Systems Analyst	1, 2, 8
Associate Information Systems Analyst	1, 2, 8
Associate Governmental Program Analyst	1, 2

Sales and Use Tax Department

<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

Headquarters Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Tax Policy Division

<u>Chief</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9, 10</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist I</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Field Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Technician (All Levels)</u>	<u>1, 7</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>

Retired Annuitants *

Consultants/New Positions All**

CROS Evaluators ***

~~* Pursuant to 2 California Code of Regulations, section 18733, in lieu of filing Form 700, an employee in this disclosure category who was not assigned and did not participate in any property tax audits shall file Form 700-A.~~

~~** Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation: The Executive Director may determine in writing that a particular consultant is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.~~

* Retired Annuitants who are appointed in classifications corresponding to civil service employee classification categories listed in this conflict of interest code will disclose under that civil service classification category.

**** Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:**

The Executive Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code, § 81008.)

***** CROS Evaluators and CROS Subject Matter Experts shall disclose based on the designations made (1) for Evaluators or Subject Matter Experts under the CROS Project Team and (2) the position, if designated, that they hold with any other department/division/office/section at the State Board of Equalization.**

Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix B
Disclosure Categories

Category 1

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the State Board of Equalization (Board) under the Bradley-Burns Uniform Local Sales and Use Tax Law, the Sales and Use Tax Law, and the Transactions and Use Tax Law.

Category 2

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the Board, including those licensed, taxed or regulated by or registered with the Board under the Aircraft Jet Fuel Tax, Alcoholic Beverage Tax Law, California Cigarette and Tobacco Products Licensing Act of 2003, California Tire Fee Law, Childhood Lead Poisoning Prevention Fee Law, Cigarette and Tobacco Products Tax Law, Diesel Fuel Tax Law, Electronic Waste Recycling Fee Law~~Act of 2003~~, Emergency Telephone Users Surcharge Law, Energy Resources Surcharge Law, Fire Prevention Fee Law, Hazardous Waste Activity Fee Law, Hazardous Waste Disposal Fee Law, Hazardous Waste Environmental Fee Law, Hazardous Waste Facility Fee Law, Hazardous Waste Generator Fee Law~~Hazardous Substances Tax Law~~, Integrated Waste Management Fee Law, International Fuel Tax Agreement, Marine Invasive Species Fee Collection Law, Motor Vehicle Fuel Tax Law, Natural Gas Surcharge Law, Occupational Lead Poisoning Prevention Fee Law, Oil Spill ~~Response~~, Prevention, and Administration Fees Law, Oil Spill Response Fee Law, Underground Storage Tank Maintenance Fee Law, Use Fuel Tax Law, or Water Rights Fees Law.

Category 3

Designated employeespositions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within the State of California.

Category 4

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type registered with or regulated by the Board under the Timber Yield Tax Law and interests in real property classified as timberland under the Timber Yield Tax Law.

Category 5

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources who have filed an appeal within the preceding 12 months or have an appeal pending under the Personal Income Tax Law, Bank and Corporation Tax Law, or Senior Citizens Property Tax Assistance Law or have a pending claim under the Taxpayers' Bill of Rights for one of these tax programs.

Category 6

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources authorized by the Insurance Commissioner to transact business in this state.

Category 7

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that were the subject of a complaint to, investigation by, or enforcement action of the Board, or administrative action before the Board that was acted upon or participated in by the filer during the period covered by the statement of economic interest.

Category 8

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Board.

Category 79

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the ~~Board~~designated position's department, division, section, or office.

Category 810

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide telecommunications equipment, computers, computer programming, or any other services or training or equipment related to such things as computers, software, automation, data processing, communication and telecommunication, information technology, and duplication services of the type utilized by the Board.

Category 911

Designated ~~employees~~positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide services, materials, equipment, conference facilities, or consultation of the type utilized by the Board for personnel services or the training of employees.

Category 12

Designated positions in this category shall indicate whether, during the reporting period, they had a financial interest in any of their assignments. If they had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interests in any of their assignments on the schedules for Fair Political Practices Commission Form 700.

Category 10

~~Designated employees in this category must report investments, business positions in business entities, and sources of income, including loans, gifts and travel payments, from sources that provide telecommunications equipment and services of the type utilized by the Board.~~

Category 13

Designated positions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within 500 feet of the real property the designated position was assigned to assess or value or exempt.

Regulation History

Type of Regulation: Sales and Use Tax

Regulation: 6001

Title: 6001, General Provision

Preparation: Bradley Heller

Legal Contact: Bradley Heller

Amendments are proposed to reflect the classification and organizational changes that have taken place at the Board since the Conflict of Interest Code was last amended.

History of Proposed Regulation:

January 21-22, 2015 Public hearing

December 5, 2014 OAL publication date; 45-day public comment period begins; IP mailing

November 25, 2014 Notice to OAL

November 19, 2014 Board Authorized Publication (Vote 5-0)

Sponsor: NA

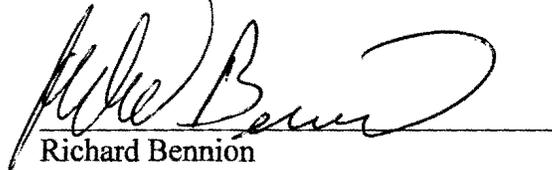
Support: NA

Oppose: NA

Statement of Compliance

The State Board of Equalization, in process of adopting Regulation 6001, *General Provisions*, did comply with the provision of Government Code section 11346.4(a)(1) through (4). A notice to interested parties was mailed on December 5, 2014, 47 days prior to the public hearing.

January 20, 2015

A handwritten signature in black ink, appearing to read "Richard Bennion", written over a horizontal line.

Richard Bennion
Regulations Coordinator
State Board of Equalization

BEFORE THE CALIFORNIA STATE BOARD OF EQUALIZATION

450 N STREET

SACRAMENTO, CALIFORNIA

REPORTER'S TRANSCRIPT

JANUARY 21, 2015

ITEM F

PUBLIC HEARING

F1 REGULATION 6001, GENERAL PROVISIONS

REPORTED BY: Kathleen Skidgel

CSR NO. 9039

P R E S E N T

For the Board
of Equalization:

Jerome E. Horton
Chairman

Sen. George Runner (Ret.)
Vice Chair

Fiona Ma, CPA
Member

Diane L. Harkey
Member

Yvette Stowers
Appearing for Betty T.
Yee, State Controller
(per Government Code
Section 7.9)

Joann Richmond
Chief
Board Proceedings
Division

For Staff:

Kimberly Willy
Tax Counsel III
Legal Department

Bradley Heller
Tax Counsel IV
Legal Department

---oOo---

1 450 N STREET
2 SACRAMENTO, CALIFORNIA
3 JANUARY 21, 2015

4 ----oOo----

5 MR. HORTON: Ms. Richmond, what's our next
6 matter?

7 MS. RICHMOND: Our next matter's Item F
8 Public Hearing; F1 Regulation 6001, General
9 Provisions.

10 MR. HORTON: Welcome to the Board, Ms.
11 Willy. You've been here before, though, haven't
12 you?

13 MS. WILLY: I have, yes.

14 MR. HORTON: Oh, okay. All right. In that
15 case, introduce yourself for the record.

16 MS. WILLY: Good afternoon, Chairman Horton
17 and Members of the Board. I am Kimberly Willy from
18 the Legal Department, along with Bradley Heller from
19 the Legal Department.

20 We recommend and request that you vote to
21 adopt the proposed amendments to the Board's
22 Conflict of Interest Code which is codified in --

23 MR. HORTON: Move adoption. Is there a
24 second?

25 MR. RUNNER: Second.

26 MR. HORTON: Second. Without objection,
27 Members, such will be the order.

28 ----oOo----

REPORTER'S CERTIFICATE

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State of California)
) ss
County of Sacramento)

I, KATHLEEN SKIDGEL, Hearing Reporter for the California State Board of Equalization certify that on January 21, 2015 I recorded verbatim, in shorthand, to the best of my ability, the proceedings in the above-entitled hearing; that I transcribed the shorthand writing into typewriting; and that the preceding pages 1 through 3 constitute a complete and accurate transcription of the shorthand writing.

Dated: January 23, 2015

Kathleen Skidgel

KATHLEEN SKIDGEL
Hearing Reporter



Wednesday, January 21, 2015

PUBLIC HEARING**Regulation 6001, General Provisions**

Kimberly Willy, Tax Counsel, Settlement & Taxpayer Services Division, Legal Department, made introductory remarks regarding the adoption of proposed amendments to appendices A and B to Regulation 6001 containing the Board's conflict of interest code (Exhibit 1.7).

Speakers were invited to address the Board, but there were none.

Action: Upon motion of Mr. Horton, seconded by Mr. Runner and unanimously carried, Mr. Horton, Mr. Runner, Ms. Ma, Ms. Harkey and Ms. Stowers voting yes, the Board adopted the amendments to Regulation 6001 as published.

LEGAL APPEALS MATTERS, CONSENT

With respect to the Legal Appeals Matters Consent Agenda, upon a single motion of Ms. Harkey, seconded by Ms. Ma and unanimously carried, Mr. Horton, Mr. Runner, Ms. Ma, Ms. Harkey and Ms. Stowers voting yes, the Board made the following orders:

Adnan Y. Daher and Marlaine B. Daher, 611324 (BH)

07/01/07 to 09/30/10, \$9,011.70 Tax, \$00.00 Penalty

Adnan Y. Daher and Marlaine B. Daher, 611326 (BH)

10/01/07 to 09/30/10, \$5,206.30 Tax, \$00.00 Penalty

Adnan Y. Daher and Marlaine B. Daher, 611328 (BH)

10/01/08 to 09/30/10, \$9,340.86 Tax, \$997.24 Negligence Penalty

Action: Redetermine as recommended by the Appeals Division.

Ideal Hardware Supply, LLC, 592651 (CH)

01/01/07 to 12/31/09, \$33,196.52 Tax, \$00.00 Penalty

Action: Redetermine as recommended by the Appeals Division.

Nawal Kharsa, 649606 (BH)

07/01/08 to 06/30/11, \$49,659.87 Tax, \$4,966.02 Negligence Penalty

Action: Redetermine as recommended by the Appeals Division.

Gwendolyn Carol Sanders-Mohamed and Nagi Ali Mohamed, 646268 (CH)

04/01/09 to 03/31/12, \$16,867.28 Tax, \$1,949.44 Negligence Penalty

Action: Redetermine as recommended by the Appeals Division.

Oak Tree Alternative Care, A California Non-Profit Mutual Benefit Corporation, 597897 (EH)

08/07/09 to 12/31/10, \$316,655.61 Tax, \$79,163.92 Fraud Penalty

Action: Redetermine as recommended by the Appeals Division.



STATE BOARD OF EQUALIZATION

450 N STREET, SACRAMENTO, CALIFORNIA
PO BOX 942879, SACRAMENTO, CALIFORNIA 94279-80
916-445-2130 • FAX 916-324-3984
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BETTY T. YEE
First District, San Francisco
SEN. GEORGE RUNNER (RET.)
Second District, Lancaster
MICHELLE STEEL
Third District, Rolling Hills Estates
JEROME E. HORTON
Fourth District, Los Angeles
JOHN CHIANG
State Controller
CYNTHIA BRIDGES
Executive Director

December 5, 2014

To Interested Parties:

Notice of Proposed Regulatory Action

**The State Board of Equalization Proposes to Adopt Amendments to
California Code of Regulations, Title 18,
Section 6001, *General Provisions***

NOTICE IS HEREBY GIVEN that the State Board of Equalization (Board), pursuant to the authority vested in it by Government Code section 87306, proposes to amend California Code of Regulations, title 18, section (Regulation) 6001, *General Provisions*, and Appendices A and B to Regulation 6001, which contain the Board's Conflict of Interest Code (Code). The purpose of these amendments is to implement the requirements of Government Code sections 87300 through 87302 and 87306.

The Board proposes to amend its Code to include employee positions that involve the making or participation in the making of decisions that may foreseeably have a material effect on any financial interest, as set forth in Government Code section 87302, subdivision (a).

These amendments implement classification and organizational changes that have taken place at the Board since the Code was last amended on June 3, 2005. The proposed amendments update Appendix A to the Code to list only those positions at the Board that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest as required by Government Code section 87302, subdivision (a). Therefore, the Board proposes to delete positions that are no longer used at the Board from Appendix A, and add new positions that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest to Appendix A. The proposed amendments update the disclosure categories listed in Appendix B for the purpose of narrowly tailoring the disclosure requirements assigned to each position listed in Appendix A to the

position's job duties, and to differentiate between similar positions listed in Appendix A with different levels of responsibility. The amendments also make minor stylistic and grammatical changes to Regulation 6001 and Appendices A and B, including those that were recommended by Fair Political Practices Commission staff, and update the Code's authority and reference note. Copies of the proposed amendments to the Code are available to interested persons and may be requested from the Contact Person set forth below.

The Board will conduct a meeting in Room 121, at 450 N Street, Sacramento, California, on January 21-22, 2015. The Board will provide notice of the meeting to any person who requests that notice in writing and make the notice, including the specific agenda for the meeting, available on the Board's Website at www.boe.ca.gov at least 10 days in advance of the meeting.

A public hearing regarding the proposed regulatory action will be held at 9:30 a.m. or as soon thereafter as the matter may be heard on January 21 or 22, 2015. At the hearing, any interested person may present or submit oral or written statements, arguments, or contentions regarding the adoption of the proposed amendments to the Code.

Any interested person may also submit written statements, arguments, or comments relating to the proposed amendments to the Code by submitting them in writing to the Contact Person set forth below no later than 9:30 a.m. on January 21, 2015, or as soon thereafter as the Board begins the public hearing regarding the adoption of the proposed amendments to the Code during the January 21-22, 2015, Board meeting. The Board will only consider written comments received by that time.

The Board has prepared an Initial Statement of Reasons as a written explanation of the reasons for the proposed amendments to the Code and has available all of the information on which the amendments are based. Copies of the proposed amendments to the Code, the written explanation of the reasons, and the information on which the amendments are based may be obtained by contacting the Contact Person set forth below.

The Board has determined that the proposed amendments:

1. Impose no mandate on local agencies or school districts;
2. Impose no costs or savings on any state agency, local agency, or school district;
3. Impose no costs on any local agency or school district that are required to be reimbursed under part 7 (commencing with section 17500) of division 4 of title 2 of the Government Code;
4. Will not result in any nondiscretionary costs or savings to local agencies.
5. Will not result in any costs or savings in federal funding to the state.
6. Will not have any potential cost impact on private persons or businesses, including small businesses.

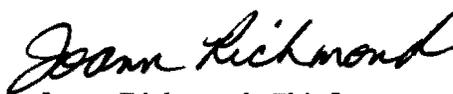
December 5, 2014

In making these proposed amendments, the Board must determine that no alternative considered by it would be more effective in carrying out the purpose for which the amendments are proposed or would be as effective and less burdensome to affected persons than the proposed amendments.

All inquiries concerning the proposed amendments to the Code and any communication required by this notice should be directed to:

Mr. Rick Bennion, Regulations Coordinator,
State Board of Equalization
450 N Street, MIC:80
P.O. Box 942879
Sacramento, CA 94279-0080
Telephone (916) 445-2130
Fax (916) 324-3984
E-mail Richard.Bennion@boe.ca.gov

Sincerely,



Joann Richmond, Chief
Board Proceedings Division

JR:reb

**Initial Statement of Reasons/Explanation of Reasons for
Proposed Amendment to California Code of Regulations,
Title 18, Section 6001, *General Provisions*, and
Appendices A and B to Section 6001**

SPECIFIC PURPOSE AND NECESSITY

Current Law

Government Code section 87300 requires the State Board of Equalization (Board) to adopt a conflict of interest code. Government Code section 87302, subdivision (a), requires that the Board's conflict of interest code contain the:

Specific enumeration of the positions within the agency, other than those specified in Section 87200, which involve the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest and for each such enumerated position, the specific types of investments, business positions, interests in real property, and sources of income which are reportable.

California Code of Regulations, title 2, section (Regulation) 18730 contains the terms of a standard conflict of interest code, which can be incorporated by reference into any agency's conflict of interest code.

The Board's Conflict of Interest Code (Code) is set forth in California Code of Regulations, title 18, section (Regulation) 6001, *General Provisions*, and Appendices A and B to Regulation 6001. Regulation 6001 incorporates the provisions of Regulation 18730 into the Board's Code by reference. Appendix B contains the Board's numbered disclosure categories, which each describe different types of reportable economic interests. Appendix A designates (or lists) the Board's positions that involve the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest, and specifies each listed position's reportable economic interests by reference to the numbered disclosure categories in Appendix B.

Proposed Amendments

The Board reviewed its entire organizational chart and the descriptions for all of the positions listed therein,¹ and received input from each department, division, office, or section to determine whether Appendix A to Regulation 6001 lists all of the current Board positions, including officers and consultants, but not elected officers, such as the

¹ The job descriptions are available on the California Department of Human Resources website at <http://www.calhr.ca.gov/state-hr-professionals/pages/job-descriptions.aspx>.

Board Members, that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest. The Board also reviewed its organizational chart, the descriptions for its positions, and received input to ensure that the disclosure requirements for each position listed in Appendix A are narrowly tailored to each position's job duties, and that the disclosure requirements differentiate between similar positions with different levels of responsibility. The Board also reviewed the disclosure categories described in Appendix B to Regulation 6001 to ensure that the categories sufficiently describe all of the reportable economic interests that designated employees are required to disclose in a clear, concise, and efficient manner.

As a result of its review, the Board determined that it is reasonably necessary to:

- Revise the format of the cross-references to statutes and regulations in the Code, incorporate minor stylistic revisions to the Code based upon input from Fair Political Practices Commission (FPPC) staff, and update the Code's authority and reference note.
- Update appendix A to implement classification and organizational changes that have taken place at the Board since the Code, including Appendices A and B, was last amended on June 3, 2005.
- Update Appendix A to list only those positions at the Board that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest. (Gov. Code, §§ 87300, 87302, subd. (a).) Positions that are no longer used at the Board are being deleted, and new positions are being added.
- Modify the disclosure categories listed in Appendix B for the purpose of narrowly tailoring the disclosure requirements assigned to each position listed in Appendix A. The revisions ensure that each position's disclosure requirements correspond with the position's job duties, and differentiate between similar positions with different levels of responsibility.
- Incorporate minor stylistic revisions in Appendix B that were recommended by FPPC staff.

Therefore, during its meeting on November 19, 2014, the Board unanimously voted to propose to amend Regulation 6001 and Appendices A and B to Regulation 6001 in accordance with those determinations, as explained in detail below.

I. Revisions to Appendix A

The proposed amendments change the "All" disclosure category designation to "1-8" throughout Appendix A for purposes of clarity. The revision results in no change to the disclosure requirements for the positions assigned disclosure categories "1-8" because

disclosure categories 1-8 collectively require the disclosure of all of the same economic interests currently required to be disclosed by all of the disclosure categories in the Board's Code.

The proposed amendments add "All Levels," to Appendix A to clarify that the reporting requirements assigned to specified positions apply to all levels (i.e., I, II, III, or IV) of employees assigned to that position.

A. Board Members' Offices

The proposed amendments change "Board" to "Board Members' Offices" in Appendix A to more clearly refer to the designated positions.

The proposed amendments add the District Director, Community Affairs Deputy, Associate Tax Auditor and Special Consultant positions to Appendix A, which are currently being used within the Board Members' Offices. These positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the Administrative Assistant position from Appendix A because employees holding this position do not make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

B. Executive Office

The proposed amendments change "Executive" to "Executive Office" in Appendix A to more clearly refer to the designated positions.

The proposed amendments delete the Administrative Assistant position from Appendix A because employees holding this position do not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments delete the CEA (Communications Office) position from Appendix A because this office has been renamed and the position is no longer being used by the Executive Office.

C. Executive Services Section

The proposed amendments add the "Executive Services Section" to Appendix A because

this is a new section within the Board. Add the Staff Services Manager (All Levels), Business Taxes Specialist (All Levels), Associate Management Analyst, and Associate Governmental Program Analyst positions in the Executive Services Section to Appendix A, because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments to Appendix A also assign disclosure categories to the positions in the Executive Services Section that are narrowly tailored to the specific duties of each designated position.

D. Board Proceedings Division

The proposed amendments add Chief and Business Taxes Specialist (All Levels) positions to Appendix A because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the CEA, Associate Governmental Program Analyst and Associate Governmental Program Analyst (LAN Workgroup Manager) positions from Appendix A because the employees holding these positions do not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests. The proposed amendments also delete the CEA position because that position is now entitled, Chief.

In addition, the proposed amendments assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

E. Customer and Taxpayer Services Division

The proposed amendments delete the Customer and Taxpayer Services Division, and all of the positions designated under this division from Appendix A because this division no longer exists within the Board.

F. External Affairs Department

The proposed amendments add the External Affairs Department and the Outreach Service Division, Customer Service and Publishing Division, Web Service Division and Office of Public Affairs under the External Affairs Department to Appendix A.

The proposed amendments add the following positions in and under the External Affairs Department to Appendix A because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

External Affairs Department

Deputy Director

Outreach Services Division

Staff Services Manager (All Levels)
Information Officer (All Levels)
Tax Services Specialist

Customer Service and Publishing Division

Staff Services Manager (All Levels)
Business Taxes Compliance Supervisor (All Levels)
Tax Services Specialist
Business Taxes Compliance Specialist
Systems Software Specialist (All Levels)

Web Services Division

Senior Information Systems Analyst (Supervisor)

Office of Public Affairs

Tax Services Specialist
Information Officer (All Levels)
Staff Services Manager

The proposed amendments to Appendix A also assign disclosure categories that are narrowly tailored to the specific duties of each designated position in and under the External Affairs Department.

G. Legislative and Research Division

The proposed amendments change “Legislative Division” to “Legislative and Research Division” in Appendix A to more clearly refer to the designated positions.

The proposed amendments delete the Assistant Chief Counsel, Senior Specialist Property Appraiser, Operations Research Specialist, and Research Analyst positions from Appendix A because these positions are no longer being used by this division.

The proposed amendments delete the Associate Governmental Program Analyst (System or LAN Administrator) position from Appendix A because this position does not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments add the Research Manager (All Levels) position to Appendix A because the position makes or participates in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

H. Technology Services Department

The proposed amendments change “Technology Services Division” to “Technology Services Department” in Appendix A to more clearly refer to the designated positions.

The proposed amendments add Chief Information Officer and Systems Software Specialist III (Supervisor) positions to Appendix A because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the Associate Systems Software Specialist, Associate Information Systems Analyst, Staff Programmer Analyst, Associate Programmer Analyst, and Associate Governmental Program Analyst positions from Appendix A because the positions do not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments delete the Business Taxes Administrator and Business Taxes Compliance Supervisor positions from Appendix A because these positions are no longer being used by the Technology Services Department.

The proposed amendments also assign new disclosure categories or revise disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

I. CROS Project Team

The proposed amendments add the new CROS Project Team to Appendix A.

The proposed amendments add the following positions under the CROS Project Team to Appendix A that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

CEA (All Levels)

Data Processing Manager (All Levels)

Business Taxes Administrator III

Business Taxes Specialist (All Levels)

Staff Services Manager (All Levels)

Business Taxes Representative (All Levels)
Associate Governmental Program Analyst
Systems Software Specialist (All Levels)
Senior Information Systems Analyst (Specialist)
Senior Programmer Analyst (Specialist)
Staff Information Systems Analyst (Specialist or Supervisor)
Evaluators
Subject Matter Experts

The proposed amendments to Appendix A also assign disclosure categories to each position that are narrowly tailored to the specific duties of each designated position on the CROS Project Team.

J. Taxpayers' Rights and Equal Employment Opportunity Division

The proposed amendments to Appendix A delete the Associate Governmental Program Analyst (EEOO) position because this position does not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests.

The proposed amendments to Appendix A delete the CEA and Associate Property Appraiser (TRA) positions because these positions are no longer being used by this division. The proposed amendments also delete the CEA position because that position is now entitled, Taxpayers' Rights Advocate.

The proposed amendments add the Taxpayers' Rights Advocate, Tax Counsel (All Levels), Business Taxes Administrator (TRA) (All Levels), Senior Specialist Property Appraiser (TRA), and Assistant Property Appraiser (TRA) positions to Appendix A because the positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

K. Internal Audit Division

The proposed amendments add the Internal Audit Division to Appendix A. The proposed amendments also delete the "Internal Security and Audit Division" and all of the positions designated under this division in the current code from under the "Legal Department" in Appendix A because the division has been renamed the Internal Audit Division and is now under the direct authority of the Executive Director.

The proposed amendments add the Chief, Business Taxes Administrator (All Levels), Business Taxes Specialist (All Levels) and Associate Tax Auditor positions under the

Internal Audit Division to Appendix A because these positions are assigned to this division and make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments also assign disclosure categories to each position being added to Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

L. Administration Department

The proposed amendments delete the CEA, Training Officer (Training Office) and Associate Governmental Program Analyst (Training Office) positions from Appendix A because these positions are no longer being used by this department. The proposed amendments also delete the CEA position because that position is now entitled, Deputy Director.

The proposed amendments add the Deputy Director to Appendix A because this is a new position that was added to this Department that makes or participates in the making of decisions which may foreseeably have a material financial effect on financial interests.

In addition, the proposed amendments assign disclosure categories to the Deputy Director to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the Deputy Director's specific duties.

1. Information Security Office

The proposed amendments add the Information Security Office to Appendix A under the Administration Department. The proposed amendments add the following positions under the Information Security Office in Appendix A because these are new positions that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Data Processing Manager (All Levels)
Senior Information Systems Analyst (Specialist)
Business Taxes Specialist (All Levels)
Staff Information Systems Analyst
Associate Information Systems Analyst

The proposed amendments also assign disclosure categories that are narrowly tailored to the specific duties of each designated position being added to Appendix A.

2. Administrative Support Division

The proposed amendments delete the CEA, Associate Business Management Analyst, Associate Governmental Program Analyst, Telecommunications Systems Analyst, and Office Services Manager (Supervisor, Cashier Unit) positions from the Administrative

Support Division of the Administration Department in Appendix A because these positions are no longer being used by this department. The proposed amendments also delete the CEA position because that position is now entitled, Chief.

The proposed amendments add the Chief, Business Services Officer (Supervisor), Printing Trades Supervisor (All Levels), Warehouse Manager (All Levels), Office Services Supervisor (All Levels), and Chief Construction Supervisor positions to Appendix A because these are new positions in the Administrative Support Division of the Administration Department that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

In addition, the proposed amendments assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

3. Human Resources Division

The proposed amendments change “Personnel Management Division” to “Human Resources Division” in Appendix A to more clearly refer to the designated positions.

The proposed amendments add the following positions to the Human Resources Division in Appendix A because they make or participate in making decisions which may foreseeably have a material financial effect on financial interests:

Chief
Personnel Supervisor (All Levels)
Labor Relations Manager
Training Officer

The proposed amendments delete the CEA position from appendix A because it is no longer being used by this division, and the position is now entitled, Chief.

In addition, the proposed amendments assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

4. Financial Management Division

The proposed amendments add the following positions to the Financial Management Division in Appendix A because they make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Chief
Senior Administrative Analyst

Mailing Machines Supervisor (All Levels)
Office Services Manager (All Levels)

The proposed amendments delete the CEA, Associate Accounting Analyst, Associate Administrative Analyst, Associate Budget Analyst, Senior Accounting Officer, Associate Governmental Program Analyst and Staff Information Systems Analyst (Specialist) positions from Appendix A because they do not currently make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interests, or are no longer being used by the division.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

5. Policy, Planning and Evaluation Division

The proposed amendments delete the Policy, Planning and Evaluation Division, and all of the positions designated under this division, from Appendix A because this division is no longer being used by the Board.

M. Legal Department

The proposed amendments add Chief Counsel and Business Taxes Administrator (All Levels) positions to Appendix A because these are new positions that were added to this department that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the Supervising Tax Auditor, Administrative Assistant, Staff Information Systems Analyst, Associate Information Systems Analyst and Business Taxes Compliance Supervisor (Offer in Compromise) positions from Appendix A because they are no longer used by this department.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

1. Investigations and Special Operations Division

The proposed amendments change “Investigations Division” to “Investigations and Special Operations Division” in Appendix A and designate it under the Legal Department where it is currently assigned.

The proposed amendments add Chief, Business Taxes Compliance Supervisor (All Levels), and Senior Information Systems Analyst (Specialist) positions to Appendix A

because these are new positions that were added to this division that make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments delete the CEA position from Appendix A because it is no longer used by this division and because that position is now entitled, Chief.

The proposed amendments also assign new disclosure categories or revise the disclosure categories assigned to the positions listed in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

N. Data Analysis Section

The proposed amendments add the Data Analysis Section to Appendix A.

The proposed amendments add the Supervising Tax Auditor (All Levels), Business Taxes Specialist II or III, Business Taxes Specialist I, and Business Taxes Compliance Specialist positions under the “Data Analysis Section” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments also assign disclosure categories to the positions being added to Appendix B that are narrowly tailored to the specific duties of each designated position.

O. Property and Special Taxes Department

Since the last amendment to the Code, the Property and Special Taxes Department was completely reorganized. The department now has four divisions, the County-Assessed Properties Division, State-Assessed Properties Division, Special Taxes Policy & Compliance Division, and the Special Taxes Audit & Carrier Division. The Assessment Policy and Standards Division, County Property Division, Valuation Division, Excise Taxes and Fees Division and Fuel Taxes Division no longer exist and the proposed amendments delete the divisions and all of the positions listed under those divisions from Appendix A.

The proposed amendments add a new “Property and Special Taxes Department” heading to Appendix A and list the following positions under that heading because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Deputy Director
Business Taxes Specialist II or III (Technical Advisors)

The proposed amendments add a new “County-Assessed Properties Division and State Assessed Properties Division” heading to Appendix A and list the following positions under that heading because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

CEA (All Levels)
Business Taxes Administrator (All Levels)
Principal Property Appraiser
Principal Property Appraiser (Timber)
Supervising Property Appraiser
Supervising Property Appraiser (SAPD)
Research Manager (All Levels)
Senior Specialist Property Appraiser
Senior Specialist Property Auditor Appraiser
Senior Specialist Property Auditor Appraiser (Timber)
Senior Forest Property Appraiser
Senior Petroleum and Mining Appraisal Engineer
Associate Forest Property Appraiser
Associate Property Appraiser
Associate Property Auditor Appraiser
Associate Property Auditor Appraiser (Timber)
Assistant Property Appraiser
Assistant Property Auditor Appraiser
Assistant Property Auditor Appraiser (Timber)
Business Taxes Specialist (All Levels)
Research Analyst (All Levels)
Tax Auditor
Business Tax Representative (Timber)
Associate Government Program Analyst
Staff Services Analyst (LEOP)

The proposed amendments add a new “Special Taxes Policy & Compliance Division and Special Taxes Audit & Carrier Division” heading to Appendix A and list the following positions under that heading because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

CEA (All Levels)
Business Taxes Administrator (All Levels)
Supervising Tax Auditor (All Levels)
Business Taxes Compliance Supervisor (All Levels)
Business Taxes Specialist II or III
Business Taxes Compliance Specialist
Business Taxes Specialist I
Associate Tax Auditor
Tax Auditor
Business Taxes Representative

The proposed amendments also assign disclosure categories to the positions listed under these new headings in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

P. Sales and Use Tax Department

The positions designated under the Sales and Use Tax Department in Appendix A do not reflect the current organizational structure of the department. Many of the positions are no longer used by the department and are in need of deletion. In addition, all of the disclosure categories assigned to the designated positions that are still used by the department are not narrowly tailored to the current job duties of each designated position. Therefore, to narrowly tailor the disclosure categories, the proposed amendments add the subheadings “Headquarters Operations Division,” “Tax Policy Division,” and “Field Operations Division,” under the heading for the Sales and Use Tax Department in Appendix A.

The proposed amendments add Deputy Director, Business Taxes Specialist II or III, Business Taxes Administrator (All Levels), and Business Taxes Compliance Specialist positions under the “Sales and Use Tax Department” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests.

The proposed amendments add the following positions under the “Headquarters Operations Division” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Chief
Business Taxes Specialist II or III
Supervising Tax Auditor II or III
Business Taxes Administrator (All Levels)
Business Taxes Specialist I
Business Taxes Compliance Specialist
Supervising Tax Auditor I
Supervising Tax Technician
Associate Tax Auditor
Tax Auditor
Business Taxes Representative
Senior Information Systems Analyst (Specialist)

The proposed amendments add the following positions under the “Tax Policy Division” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Chief

Business Taxes Specialist II or III
Supervising Tax Auditor II or III
Business Taxes Administrator (All Levels)
Business Taxes Specialist I
Business Taxes Compliance Specialist
Supervising Tax Auditor I
Supervising Tax Technician
Associate Tax Auditor
Tax Auditor
Business Taxes Representative
Senior Information Systems Analyst (Specialist)

The proposed amendments add the following positions under the “Field Operations Division” heading in Appendix A because these positions make or participate in the making of decisions which may foreseeably have a material financial effect on financial interests:

Chief
Business Taxes Administrator (All Levels)
Business Taxes Specialist II or III
Business Taxes Compliance Supervisor (All Levels)
Supervising Tax Auditor (All Levels)
Supervising Tax Technician (All Levels)
Information Officer (All Levels)
Business Taxes Compliance Specialist
Business Taxes Representative
Business Taxes Specialist I
Associate Tax Auditor
Tax Auditor
Information Officer (All Levels)

The proposed amendments assign new disclosure categories or revise the disclosure categories assigned to the positions listed under the Sales and Use Tax Department in Appendix A to reflect revisions made to Appendix B and to narrowly tailor the disclosure requirements to the specific duties of each designated position.

Q. Additional Revisions

The proposed amendments add a “Retired Annuitant” designation to Appendix A to make clear that the Political Reform Act (PRA) (Gov. Code, § 81000 et seq.) requires retired annuitants who are appointed in classifications corresponding to civil service employee classification categories listed in the Code to disclose under that civil service classification category.

The proposed amendments delete the current provisions regarding consultants from Appendix A and add a new “Consultants/New Positions” designation to Appendix A to

make clear that the PRA requires consultants and new positions to disclose pursuant to the broadest disclosure category in the Code. The proposed amendments also add a provision to Appendix A permitting the Executive Director to determine in writing that a consultant or new position is not required to fully comply with the disclosure requirements when the “designated position” is hired to perform a range of duties that is limited in scope. The current version of Appendix A includes this provision for consultants, but not for new positions.

In addition, the proposed amendments add a designation for “CROS Evaluators” and “CROS Subject Matter Experts” to Appendix A to make clear that employees assigned the task of serving as a “CROS Evaluator” or “CROS Subject Matter Expert” must report according to the designation made under CROS, as well as the position, if designated in the code, that they hold elsewhere at the Board.

II. Amendments to Appendix B

A. Minor Stylistic Revisions

At the suggestion of FPPC staff, in each disclosure category, the proposed amendments to Appendix B replace the word “employees” with “positions” and insert “receipt of” before “loans, gifts, and travel payments.”

B. Updated and Clarified Disclosure Categories

The proposed amendments update disclosure category number one in Appendix B so that it specifically refers to the State Board of Equalization.

The proposed amendments update disclosure category number two in Appendix B so that it lists all of the special taxes and fees that are currently being administered by the Board.

The proposed amendments update disclosure category number three in Appendix B to clarify that employees are required to report economic interests from sources that “own, or owned, property of the type assessed or valued or exempted by the Board” and “interests in real property located within the State of California.”

The proposed amendments renumber disclosure category number seven as number nine and revise disclosure category number nine, at the recommendation of FPPC staff, to more narrowly require disclosure of economic interests from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the designated “position’s department, division, section or office” instead of by “the Board.”

The proposed amendments renumber disclosure category number eight as number ten and revise disclosure category number ten, at the recommendation of FPPC staff, to add “telecommunications equipment” and “telecommunication” and to require disclosure of economic interests from sources that provide telecommunications equipment, or any services or training or equipment related to telecommunication. The proposed

amendments also delete current disclosure category number 10 regarding telecommunications equipment and services.

The proposed amendments also renumber disclosure category number nine as number eleven in Appendix B.

C. Disclosure Categories Added

The proposed amendments add a new disclosure category number seven to Appendix B, at the recommendation of FPPC staff, to require disclosure of economic interests from sources that were the subject of a complaint to, investigation by, or enforcement action of the Board, or administrative action before the Board that was acted upon or participated in by the employee during the period of time covered by the statement of economic interest.

The proposed amendments add a new disclosure category number eight to Appendix B, at the recommendation of FPPC staff, to require disclosure of economic interests from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Board.

The proposed amendments add a new disclosure category number twelve to Appendix B, at the recommendation of the FFPC, to provide that the designated positions may file the Form 700-A in lieu of the Form 700 if, during the reporting period, they did not have any financial interests in any of their assignments. This disclosure category replaces provisions regarding Form 700-A that the Board proposes to delete from Appendix A.

The proposed amendments also add new disclosure category number thirteen to Appendix B, at the recommendation of FPPC staff, for the purpose of differentiating between similar positions with different levels of responsibility that make or participate in the making of decisions that may foreseeably have a material financial effect on real property interests. Disclosure category number three requires a position to report all "interests in real property located within the State of California," while disclosure category number thirteen requires a position to report "interests in real property located within 500 feet of the real property the designated position was assigned to assess or value or exempt."

Specific Purpose and Necessity

During its November 19, 2014, meeting, the Board determined that the proposed amendments are reasonably necessary for the specific purpose of ensuring that the Board's conflict of interest code complies with the requirements of Government Code section 87302 by:

- Listing only those positions at the Board that make or participate in the making of decisions which may foreseeably have a material financial effect on any financial interest; and

- Assigning disclosure requirements that are narrowly tailored to a position's job duties, and differentiate between similar positions with different levels of responsibility.

The Board also determined that the proposed amendments are reasonably necessary for the specific purpose of ensuring that the disclosure categories in Appendix B describe all of the economic interests that employees in listed positions are required to disclose in a clear, concise, and efficient manner.

DOCUMENTS RELIED UPON

The Board relied upon a Chief Counsel Memorandum dated October 30, 2014, the attachments to the memorandum, and comments from Board staff made during the Board meeting on November 19, 2014, in deciding to propose the amendments to the Code, including Appendices A and B.

ALTERNATIVES CONSIDERED

The Board did not consider any alternatives to the proposed amendments to the Code, including Appendices A and B. No alternatives were presented to the Board.

FISCAL IMPACT

The Board's determinations regarding the fiscal impact of the proposed amendments to the Code, including Appendices A and B, in the Board's notice of proposed regulatory action, are in accordance with the requirements of California Code of Regulations, title 2, section (Regulation) 18730.

Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

6001. General Provisions.

The Political Reform Act (~~Government Code Sections~~ Gov. Code, § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (~~2-Cal. Code of Regs., tit. 2, Section~~ § (Regulation) 18730) containing the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code, and which may, aAfter public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2-Cal. Code of Regs. Section Regulation 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices A and B, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the State Board of Equalization.

~~All designated employees of the State Board of Equalization~~ Individuals holding designated positions shall file their statements of economic interests with the State Board of Equalization, which will make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the a-statement forof the Executive Director, the State Board of Equalization shall make and retain copies~~a copy~~ and forward the original to the Fair Political Practices Commission. ~~Statements of a~~ All other statements~~designated employees~~ will be retained by the State Board of Equalization.

Note: Authority cited: Sections 87300-87314, Government Code. Reference: Sections 87300-87302 and 873063, Government Code.

Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix A

Designated Positions	Disclosure Categories
<u>Board Members' Offices</u>	
Deputy to Board Member	<u>Al1-8</u>
Administrative Assistant to Board Member	<u>Al1-8</u>
Assistant to Board Member	<u>Al1-8</u>
<u>CEA (All Levels)</u>	<u>Al1-8</u>
<u>District Director</u>	<u>1-8</u>
<u>Community Affairs Deputy</u>	<u>1-8</u>
Administrative Assistant	1-8
Staff Services Manager	<u>1-87, 9</u>
Tax Counsel <u>(All Levels)</u>	<u>1-67</u>
Tax Consultant Expert <u>(All Levels)</u>	<u>1-67</u>
Tax Services Specialist	<u>1-67</u>
Assistant Tax Services Specialist	<u>1-67</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>
Associate Governmental Program Analyst	<u>1-2, 4-67</u>
Business Taxes Representative	<u>1-67</u>
Business Taxes Specialist H <u>(All Levels)</u>	<u>1-67</u>
Information Officer H <u>(All Levels)</u>	<u>1-2, 4-67</u>
<u>Special Consultant</u>	<u>1-7</u>
<u>Executive Office</u>	
Executive Director	<u>Al1-8</u>
Administrative Assistant	Al1
CEA (Communications Office)	Al1
<u>Executive Services Section</u>	
<u>Staff Services Manager (All Levels)</u>	<u>1-8</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-2, 4-7</u>
<u>Associate Management Analyst</u>	<u>1-2, 4-7</u>
<u>Associate Governmental Program Analyst</u>	<u>1-2, 4-7</u>
<u>Board Proceedings Division</u>	
CEA	Al1
<u>Chief</u>	<u>1-8</u>
Staff Services Manager	<u>Al17, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>7, 9</u>
Associate Governmental Program Analyst (LAN Workgroup Manager)	1-6, 8
Associate Governmental Program Analyst	1-6
<u>Customer and Taxpayer Services Division</u>	

CEA	All
Staff Services Manager	1-9
Business Taxes Compliance Specialist	1-6
Assistant Tax Services Specialist	1-6
Associate Governmental Program Analyst	1-6
Associate Information Systems Analyst	8
Staff Services Manager (Forms and Publications Section)	7,9
Assistant Tax Services Specialist (Forms and Publications Section)	7,8
Associate Governmental Program Analyst (Forms and Publications Section)	7,8
<u>External Affairs Department</u>	
<u>Deputy Director</u>	<u>1-8</u>
<u>Outreach Services Division</u>	
<u>Staff Services Manager (All Levels)</u>	<u>1-2, 4-7, 9</u>
<u>Information Officer (All Levels)</u>	<u>1-2, 4-7</u>
<u>Tax Services Specialist</u>	<u>1-2, 4-7</u>
<u>Customer Service and Publishing Division</u>	
<u>Staff Services Manager (All Levels)</u>	<u>1-2, 4-7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1-2, 7, 9-11</u>
<u>Tax Service Specialist</u>	<u>1-2, 7, 9-11</u>
<u>Business Taxes Compliance Specialist</u>	<u>1-2, 7</u>
<u>Systems Software Specialist (All Levels)</u>	<u>10</u>
<u>Web Services Division</u>	
<u>Senior Information Systems Analyst (Supervisor)</u>	<u>10</u>
<u>Office of Public Affairs</u>	
<u>Tax Service Specialist</u>	<u>1-2, 4-8</u>
<u>Information Officer (All Levels)</u>	<u>1-2, 4-7, 9</u>
<u>Staff Services Manager</u>	<u>1-2, 4-7, 9</u>
<u>Legislative and Research Division</u>	
Assistant Chief Counsel	All
CEA (All Levels)	All 1-8
Staff Services Manager (All Levels)	1-6, 7, 9
Senior Specialist Property Appraiser	1-6
Business Taxes Specialist (All Levels)	1-6, 7
Associate Governmental Program Analyst (System or LAN Administrator)	1-6, 8
Operations Research Specialist	1-4, 6, 8
Research Manager (All Levels)	1-2, 5, 9
Research Program Specialist (All Levels)	1-4, 8, 5, 9
Research Analyst	1-4, 8

Technology Services Division Department

<u>Chief Information Officer</u>	1-8
<u>CEA (All Levels)</u>	AH1-8
<u>Data Processing Manager IV</u>	AH1-8
Business Taxes Administrator	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 7-9
<u>Data Processing Manager (I-III)</u>	<u>7-910</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-910</u>
<u>Systems Software Specialist (II or III)</u>	<u>810</u>
<u>Systems Software Specialist III (Supervisor)</u>	<u>10</u>
Associate Systems Software Specialist	8
<u>Senior Information Systems Analyst (Specialist or Supervisor)</u>	<u>810</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	<u>810</u>
Associate Information Systems Analyst	8
<u>Senior Programmer Analyst (Supervisor)</u>	<u>810</u>
Staff Programmer Analyst	8
Associate Programmer Analyst	8
Associate Governmental Program Analyst	8

CROS Project Team

<u>CEA (All Levels)</u>	<u>1-8</u>
<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Business Taxes Administrator III</u>	<u>1-2, 4-8</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Services Manager (All Levels)</u>	<u>10</u>
<u>Business Taxes Representative (All Levels)</u>	<u>10</u>
<u>Associate Governmental Program Analyst</u>	<u>10</u>
<u>Systems Software Specialist (All Levels)</u>	<u>10</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Senior Programmer Analyst (Specialist)</u>	<u>10</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	<u>10</u>
<u>Evaluators***</u>	<u>10</u>
<u>Subject Matter Experts ***</u>	<u>10</u>

Taxpayers' Rights and Equal Employment Opportunity Division

CEA	AH
<u>Taxpayers' Rights Advocate</u>	<u>1-8</u>
<u>Tax Counsel (All Levels)</u>	<u>1-7</u>
<u>Business Taxes Administrator (TRA) (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (TRA) (All Levels)</u>	<u>1-47</u>
<u>Senior Specialist Property Appraiser (TRA)</u>	<u>3, 7</u>
<u>Assistant Property Appraiser (TRA)</u>	<u>3, 7</u>
Associate Property Appraiser (TRA)	1-4
<u>Staff Services Manager (EEO) (All Levels)</u>	<u>7, 9</u>
Associate Governmental Program Analyst (EEO)	7, 9

Internal Audit Division

<u>Chief</u>	<u>1-8</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-7</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>

Administration Department

CEA	All
Training Officer (Training Office)	9
Associate Governmental Program Analyst (Training Office)	9
<u>Deputy Director</u>	<u>1-8</u>

Information Security Office

<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Information Systems Analyst</u>	<u>10</u>
<u>Associate Information Systems Analyst</u>	<u>10</u>

Administrative Support Division

CEA	All
<u>Chief</u>	<u>1-7, 9-10</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-10, 1-7, 9-10</u>
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Telecommunications Systems Analyst	10
Office Services Manager (Supervisor, Cashier Unit)	7
<u>Business Services Officer (Supervisor)</u>	<u>9-10</u>
<u>Printing Trades Supervisor (All Levels)</u>	<u>9-10</u>
<u>Warehouse Manager (All Levels)</u>	<u>9-10</u>
<u>Office Services Supervisor (All Levels)</u>	<u>9-10</u>
<u>Chief Construction Supervisor</u>	<u>9-10</u>

~~Personnel Management~~Human Resources Division

CEA	7-9
<u>Chief</u>	<u>1-7, 9, 11</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-9, 11</u>
<u>Associate Governmental Program Analyst</u>	<u>7-9, 11</u>
<u>Associate Personnel Analyst</u>	<u>7-9, 11</u>
<u>Personnel Supervisor (All Levels)</u>	<u>9, 11</u>
<u>Training Officer</u>	<u>9, 11</u>
<u>Labor Relations Manager</u>	<u>9, 11</u>
<u>Labor Relations Specialist</u>	<u>7-9, 11</u>

Financial Management Division

CEA	AH
<u>Chief</u>	<u>1-8</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-98</u>
<u>Accounting Administrator (All Levels)</u>	<u>7-98</u>
<u>Senior Administrative Analyst</u>	<u>8</u>
<u>Mailing Machines Supervisor (All Levels)</u>	<u>10</u>
<u>Office Services Manager (All Levels)</u>	<u>9</u>
Associate Accounting Analyst	7-9
Associate Administrative Analyst	7-9
Associate Budget Analyst	7-9
Senior Accounting Officer	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst (Specialist)	8
Policy, Planning and Evaluation Division	
Staff Services Manager III	1-4, 7-9
Staff Services Manager I or II	7-9
Associate Management Analyst	7-9
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst	8
Legal Department	
<u>Chief Counsel</u>	<u>1-8</u>
<u>CEA (All Levels)</u>	<u>AH1-8</u>
Assistant Chief Counsel	AH1-8
Tax Counsel	<u>1-67</u>
Supervising Tax Auditor	1, 2
<u>Business Taxes Administrator (All Levels)</u>	<u>9</u>
Administrative Assistant	7-9
Librarian	<u>7, 89</u>
Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Business Taxes Specialist II or III	<u>1, 2, 7</u>
Business Taxes Specialist I	<u>1, 2, 7</u>
Business Taxes Compliance Supervisor (Offers in Compromise)	1, 2
Business Taxes Compliance Specialist (Offers in Compromise)	<u>1, 2, 7</u>
Business Taxes Specialist (Offers in Compromise) <u>(All Levels)</u>	<u>1, 2, 7</u>
Internal Security and Audit Division	
CEA	AH
Business Taxes Administrator	AH
Business Taxes Specialist	AH
Associate Tax Auditor	AH
Associate Governmental Program Analyst	7-9
Systems Software Specialist	8

Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Assistant Information Systems Analyst	8
<u>Investigations and Special Operations Division</u>	
CEA	All
Chief	1-8
Business Taxes Administrator (All Levels)	1, 2, 7, 9
Business Taxes Compliance Supervisor (All Levels)	1-7, 9
Business Taxes Specialist (All Levels)	1, 2-7
Business Taxes Compliance Specialist	1, 2-7
Supervising Tax Auditor	1, 2, 9-7
Associate Tax Auditor	1, 2-7
Senior Information Systems Analyst (Specialist)	10
Staff Information Systems Analyst	810
<u>Data Analysis Section</u>	
Supervising Tax Auditor (All Levels)	1-8
Business Taxes Specialist II or III	1, 7
Business Taxes Specialist I	12
Business Taxes Compliance Specialist	12
<u>Property and Special Taxes Department</u>	
CEA	All
Administrative Assistant	1, 2, 6-9
Staff Services Manager	7-9
Associate Governmental Program Analyst	3, 7-9
Staff Information Systems Analyst	8
<u>Assessment Policy and Standards Division</u>	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Research Analyst II (GIS)	3
<u>County Property Tax Division</u>	
CEA	3, 4, 7-9
Principal Property Appraiser	3, 7-9
Senior Petroleum and Mining Appraisal Engineer	3
Supervising Property Appraiser (Assessment Practices Surveys Section)	3, 7-9
Senior Specialist Property Appraiser (Assessment Practices Surveys Section)	3

Senior Specialist Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Associate Property Appraiser (Assessment Practices Surveys Section)	3
Associate Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Supervising Property Appraiser (Timber Tax Section)	4, 7-9
Senior Specialist Property Auditor Appraiser (Timber Tax Section)	4
Associate Property Auditor Appraiser (Timber Tax Section)	4
Senior Forest Property Appraiser	4
Associate Forest Property Appraiser	4
Valuation Division	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Excise Taxes and Fees Division	
CEA	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 6, 9
Business Taxes Compliance Specialist	1, 2, 6, 9
Supervising Tax Auditor	1, 2, 6, 9
Business Taxes Specialist II or III	1, 2, 6, 9
Business Taxes Specialist I	1, 2, 6*
Associate Tax Auditor	1, 2, 6*
Fuel Taxes Division	
CEA	1, 2, 7-9
Business Taxes Administrator	1, 2, 7-9
Staff Services Manager	1, 2, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 9
Business Taxes Specialist II or III	1, 2, 9
Business Taxes Specialist I	1, 2*
Associate Tax Auditor	1, 2*
<u>Property and Special Taxes Department</u>	
<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III (Technical Advisors)</u>	<u>1-4, 6-7, 9</u>

County-Assessed Properties Division & State-Assessed Properties Division

<u>CEA (All Levels)</u>	<u>2-7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>9, 13</u>
<u>Principal Property Appraiser</u>	<u>9, 13</u>
<u>Principal Property Appraiser (Timber)</u>	<u>4, 9</u>
<u>Supervising Property Appraiser</u>	<u>9, 13</u>
<u>Supervising Property Appraiser (SAPD)</u>	<u>9, 13</u>
<u>Research Manager (All Levels)</u>	<u>9, 13</u>
<u>Senior Specialist Property Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Senior Forest Property Appraiser</u>	<u>4</u>
<u>Senior Petroleum and Mining Appraisal Engineer</u>	<u>13</u>
<u>Associate Forest Property Appraiser</u>	<u>4</u>
<u>Associate Property Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Assistant Property Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>13</u>
<u>Research Analyst (All Levels)</u>	<u>13</u>
<u>Tax Auditor</u>	<u>13</u>
<u>Business Tax Representative (Timber)</u>	<u>4</u>
<u>Associate Government Program Analyst</u>	<u>13</u>
<u>Staff Services Analyst (LEOP)</u>	<u>12</u>

Special Taxes Policy & Compliance Division and Special Taxes Audit and Carrier Division

<u>CEA (All Levels)</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Specialist II or III</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>

Sales and Use Tax Department

<u>CEA 3 or 4</u>	<u>All</u>
<u>CEA 2</u>	<u>1, 2, 7-9</u>
<u>Business Taxes Administrator</u>	<u>1, 2, 7-9</u>
<u>Administrative Assistant</u>	<u>1, 2, 7, 8</u>

Business Taxes Compliance Supervisor (LAN Administrator)	1, 2, 8, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 7-9
Business Taxes Specialist II or III	1, 2, 7-9
Business Taxes Specialist (Special Projects)	1, 2, 7-9
Business Taxes Specialist (Systems Coordinator)	1, 2, 8, 9
Business Taxes Specialist (Training Group)	1, 2, 9
Business Taxes Specialist (Computer Audit Specialist)	1, 2, 8
Business Taxes Specialist (Regulation Coordinator)	1, 2
Business Taxes Specialist (Revenue Opportunity)	1, 2
Business Taxes Specialist (Technical Advisor)	1, 2
Business Taxes Specialist (Project Coordinator)	1, 2
Business Taxes Specialist I	1, 2 *
Associate Tax Auditor	1, 2 *
Staff Information Systems Analyst	1, 2, 8
Associate Information Systems Analyst	1, 2, 8
Associate Governmental Program Analyst	1, 2

Sales and Use Tax Department

<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

Headquarters Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Tax Policy Division

<u>Chief</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9, 10</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist I</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
 <u>Field Operations Division</u>	
<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Technician (All Levels)</u>	<u>1, 7</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>
 <u>Retired Annuitants</u>	 <u>*</u>
 <u>Consultants/New Positions</u>	 <u>All**</u>
 <u>CROS Evaluators</u>	 <u>***</u>

~~* Pursuant to 2 California Code of Regulations, section 18733, in lieu of filing Form 700, an employee in this disclosure category who was not assigned and did not participate in any property tax audits shall file Form 700-A.~~

~~** Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation: The Executive Director may determine in writing that a particular consultant is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.~~

* Retired Annuitants who are appointed in classifications corresponding to civil service employee classification categories listed in this conflict of interest code will disclose under that civil service classification category.

**** Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:**

The Executive Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code, § 81008.)

***** CROS Evaluators and CROS Subject Matter Experts shall disclose based on the designations made (1) for Evaluators or Subject Matter Experts under the CROS Project Team and (2) the position, if designated, that they hold with any other department/division/office/section at the State Board of Equalization.**

Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix B
Disclosure Categories

Category 1

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the State Board of Equalization (Board) under the Bradley-Burns Uniform Local Sales and Use Tax Law, the Sales and Use Tax Law, and the Transactions and Use Tax Law.

Category 2

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the Board, including those licensed, taxed or regulated by or registered with the Board under the Aircraft Jet Fuel Tax, Alcoholic Beverage Tax Law, California Cigarette and Tobacco Products Licensing Act of 2003, California Tire Fee Law, Childhood Lead Poisoning Prevention Fee Law, Cigarette and Tobacco Products Tax Law, Diesel Fuel Tax Law, Electronic Waste Recycling Fee Law, Act of 2003, Emergency Telephone Users Surcharge Law, Energy Resources Surcharge Law, Fire Prevention Fee Law, Hazardous Waste Activity Fee Law, Hazardous Waste Disposal Fee Law, Hazardous Waste Environmental Fee Law, Hazardous Waste Facility Fee Law, Hazardous Waste Generator Fee Law, Hazardous Substances Tax Law, Integrated Waste Management Fee Law, International Fuel Tax Agreement, Marine Invasive Species Fee Collection Law, Motor Vehicle Fuel Tax Law, Natural Gas Surcharge Law, Occupational Lead Poisoning Prevention Fee Law, Oil Spill Response, Prevention, and Administration Fees Law, Oil Spill Response Fee Law, Underground Storage Tank Maintenance Fee Law, Use Fuel Tax Law, or Water Rights Fees Law.

Category 3

Designated employeespositions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within the State of California.

Category 4

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type registered with or regulated by the Board under the Timber Yield Tax Law and interests in real property classified as timberland under the Timber Yield Tax Law.

Category 5

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources who have filed an appeal within the preceding 12 months or have an appeal pending under the Personal Income Tax Law, Bank and Corporation Tax Law, or Senior Citizens Property Tax Assistance Law or have a pending claim under the Taxpayers' Bill of Rights for one of these tax programs.

Category 6

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources authorized by the Insurance Commissioner to transact business in this state.

Category 7

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that were the subject of a complaint to, investigation by, or enforcement action of the Board, or administrative action before the Board that was acted upon or participated in by the filer during the period covered by the statement of economic interest.

Category 8

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Board.

Category 79

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the ~~Board~~designated position's department, division, section, or office.

Category 810

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide telecommunications equipment, computers, computer programming, or any other services or training or equipment related to such things as computers, software, automation, data processing, communication and telecommunication, information technology, and duplication services of the type utilized by the Board.

Category 911

Designated ~~employees~~positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide services, materials, equipment, conference facilities, or consultation of the type utilized by the Board for personnel services or the training of employees.

Category 12

Designated positions in this category shall indicate whether, during the reporting period, they had a financial interest in any of their assignments. If they had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interests in any of their assignments on the schedules for Fair Political Practices Commission Form 700.

Category 10

~~Designated employees in this category must report investments, business positions in business entities, and sources of income, including loans, gifts and travel payments, from sources that provide telecommunications equipment and services of the type utilized by the Board.~~

Category 13

Designated positions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within 500 feet of the real property the designated position was assigned to assess or value or exempt.

Regulation History

Type of Regulation: Sales and Use Tax

Regulation: 6001

Title: 6001, General Provision

Preparation: Bradley Heller

Legal Contact: Bradley Heller

Amendments are proposed to reflect the classification and organizational changes that have taken place at the Board since the Conflict of Interest Code was last amended.

History of Proposed Regulation:

January 21-22, 2015 Public hearing

December 5, 2014 OAL publication date; 45-day public comment period begins; IP mailing

November 25, 2014 Notice to OAL

November 19, 2014 Board Authorized Publication (Vote 5-0)

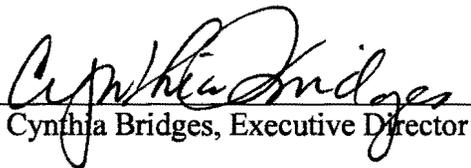
Sponsor: NA

Support: NA

Oppose: NA

Declaration of Cynthia Bridges, Executive Director
State Board of Equalization
Amendments to Conflict of Interest Code

The proposed conflict of interest code (code) specifically enumerates each of the positions within the State Board of Equalization (Board) that involve the making or participation in the making of decisions that may foreseeably have a material financial effect on any financial interest. The Board satisfied all of the requirements of California Code of Regulations, title 2, section 18750, subdivision (c), preliminary to approval of the proposed code.


Cynthia Bridges, Executive Director

1-22-15
Date

Final Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

6001. General Provisions.

The Political Reform Act (~~Government Code Sections~~ Gov. Code, § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (~~2-Cal. Code of Regs., tit. 2, Section~~ § (Regulation) 18730) containing the terms of a standard conflict of interest code, which can be incorporated by reference ~~in an agency's code, and which may,~~ After public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of ~~2-Cal. Code of Regs. Section~~ Regulation 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices A and B, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the State Board of Equalization.

~~All designated employees of the State Board of Equalization~~ Individuals holding designated positions shall file their statements of economic interests with the State Board of Equalization, which will make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the ~~a~~ statement ~~for~~ of the Executive Director, the State Board of Equalization shall make and retain ~~copies~~ a copy and forward the original to the Fair Political Practices Commission. ~~Statements of a~~ All other statements ~~designated employees~~ will be retained by the State Board of Equalization.

Note: Authority cited: Sections 87300-~~87311~~, Government Code. Reference: Sections 87300-87302 and 873063, Government Code.

Final Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix A

Designated Positions	Disclosure Categories
<u>Board Members' Offices</u>	
Deputy to Board Member	<u>All 1-8</u>
Administrative Assistant to Board Member	<u>All 1-8</u>
Assistant to Board Member	<u>All 1-8</u>
<u>CEA (All Levels)</u>	<u>All 1-8</u>
<u>District Director</u>	<u>1-8</u>
<u>Community Affairs Deputy</u>	<u>1-8</u>
Administrative Assistant	1-8
Staff Services Manager	<u>1-8, 7, 9</u>
Tax Counsel <u>(All Levels)</u>	<u>1-6, 7</u>
Tax Consultant Expert <u>(All Levels)</u>	<u>1-6, 7</u>
Tax Services Specialist	<u>1-6, 7</u>
Assistant Tax Services Specialist	<u>1-6, 7</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>
Associate Governmental Program Analyst	<u>1-2, 4-6, 7</u>
Business Taxes Representative	<u>1-6, 7</u>
Business Taxes Specialist <u>H(All Levels)</u>	<u>1-6, 7</u>
Information Officer <u>H(All Levels)</u>	<u>1-2, 4-6, 7</u>
<u>Special Consultant</u>	<u>1-7</u>
<u>Executive Office</u>	
Executive Director	<u>All 1-8</u>
Administrative Assistant	All
CEA (Communications Office)	All
<u>Executive Services Section</u>	
<u>Staff Services Manager (All Levels)</u>	<u>1-8</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-2, 4-7</u>
<u>Associate Management Analyst</u>	<u>1-2, 4-7</u>
<u>Associate Governmental Program Analyst</u>	<u>1-2, 4-7</u>
<u>Board Proceedings Division</u>	
CEA	All
<u>Chief</u>	<u>1-8</u>
Staff Services Manager	<u>All 7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>7, 9</u>
Associate Governmental Program Analyst (LAN Workgroup Manager)	1-6, 8
Associate Governmental Program Analyst	1-6
<u>Customer and Taxpayer Services Division</u>	

CEA	All
Staff Services Manager	1-9
Business Taxes Compliance Specialist	1-6
Assistant Tax Services Specialist	1-6
Associate Governmental Program Analyst	1-6
Associate Information Systems Analyst	8
Staff Services Manager (Forms and Publications Section)	7,9
Assistant Tax Services Specialist (Forms and Publications Section)	7,8
Associate Governmental Program Analyst (Forms and Publications (Section))	7,8
<u>External Affairs Department</u>	
Deputy Director	1-8
<u>Outreach Services Division</u>	
Staff Services Manager (All Levels)	1-2, 4-7, 9
Information Officer (All Levels)	1-2, 4-7
Tax Services Specialist	1-2, 4-7
<u>Customer Service and Publishing Division</u>	
Staff Services Manager (All Levels)	1-2, 4-7
Business Taxes Compliance Supervisor (All Levels)	1-2, 7, 9-11
Tax Service Specialist	1-2, 7, 9-11
Business Taxes Compliance Specialist	1-2, 7
Systems Software Specialist (All Levels)	10
<u>Web Services Division</u>	
Senior Information Systems Analyst (Supervisor)	10
<u>Office of Public Affairs</u>	
Tax Service Specialist	1-2, 4-8
Information Officer (All Levels)	1-2, 4-7, 9
Staff Services Manager	1-2, 4-7, 9
<u>Legislative and Research Division</u>	
Assistant Chief Counsel	All
CEA (All Levels)	All 1-8
Staff Services Manager (All Levels)	1-6, 7, 9
Senior Specialist Property Appraiser	1-6
Business Taxes Specialist (All Levels)	1-6, 7
Associate Governmental Program Analyst (System or LAN Administrator)	1-6, 8
Operations Research Specialist	1-4, 6, 8
Research Manager (All Levels)	1-2, 5, 9
Research Program Specialist (All Levels)	1-4, 8, 2, 5, 9
Research Analyst	1-4, 8

Technology Services Division~~Department~~

<u>Chief Information Officer</u>	<u>1-8</u>
<u>CEA (All Levels)</u>	<u>AH1-8</u>
<u>Data Processing Manager IV</u>	<u>AH1-8</u>
Business Taxes Administrator	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 7-9
<u>Data Processing Manager (I-III)</u>	<u>7-910</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-910</u>
<u>Systems Software Specialist (II or III)</u>	<u>810</u>
<u>Systems Software Specialist III (Supervisor)</u>	<u>10</u>
Associate Systems Software Specialist	8
<u>Senior Information Systems Analyst (Specialist or Supervisor)</u>	<u>810</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	<u>810</u>
Associate Information Systems Analyst	8
<u>Senior Programmer Analyst (Supervisor)</u>	<u>810</u>
Staff Programmer Analyst	8
Associate Programmer Analyst	8
Associate Governmental Program Analyst	8

CROS Project Team

<u>CEA (All Levels)</u>	<u>1-8</u>
<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Business Taxes Administrator III</u>	<u>1-2, 4-8</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Services Manager (All Levels)</u>	<u>10</u>
<u>Business Taxes Representative (All Levels)</u>	<u>10</u>
<u>Associate Governmental Program Analyst</u>	<u>10</u>
<u>Systems Software Specialist (All Levels)</u>	<u>10</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Senior Programmer Analyst (Specialist)</u>	<u>10</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	<u>10</u>
<u>Evaluators***</u>	<u>10</u>
<u>Subject Matter Experts ***</u>	<u>10</u>

Taxpayers' Rights and Equal Employment Opportunity Division

<u>CEA</u>	<u>AH</u>
<u>Taxpayers' Rights Advocate</u>	<u>1-8</u>
<u>Tax Counsel (All Levels)</u>	<u>1-7</u>
<u>Business Taxes Administrator (TRA) (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (TRA) (All Levels)</u>	<u>1-47</u>
<u>Senior Specialist Property Appraiser (TRA)</u>	<u>3, 7</u>
<u>Assistant Property Appraiser (TRA)</u>	<u>3, 7</u>
Associate Property Appraiser (TRA)	1-4
<u>Staff Services Manager (EEOO) (All Levels)</u>	<u>7, 9</u>
Associate Governmental Program Analyst (EEOO)	7, 9

Internal Audit Division

<u>Chief</u>	<u>1-8</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-7</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>

Administration Department

CEA	All
<u>Training Officer (Training Office)</u>	9
Associate Governmental Program Analyst (Training Office)	9
<u>Deputy Director</u>	<u>1-8</u>

Information Security Office

<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Information Systems Analyst</u>	<u>10</u>
<u>Associate Information Systems Analyst</u>	<u>10</u>

Administrative Support Division

CEA	All
<u>Chief</u>	<u>1-7, 9-10</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-10, 1-7, 9-10</u>
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Telecommunications Systems Analyst	10
Office Services Manager (Supervisor, Cashier Unit)	7
<u>Business Services Officer (Supervisor)</u>	<u>9-10</u>
<u>Printing Trades Supervisor (All Levels)</u>	<u>9-10</u>
<u>Warehouse Manager (All Levels)</u>	<u>9-10</u>
<u>Office Services Supervisor (All Levels)</u>	<u>9-10</u>
<u>Chief Construction Supervisor</u>	<u>9-10</u>

~~Personnel Management~~ Human Resources Division

CEA	7-9
<u>Chief</u>	<u>1-7, 9, 11</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-9, 11</u>
<u>Associate Governmental Program Analyst</u>	<u>7-9, 11</u>
<u>Associate Personnel Analyst</u>	<u>7-9, 11</u>
<u>Personnel Supervisor (All Levels)</u>	<u>9, 11</u>
<u>Training Officer</u>	<u>9, 11</u>
<u>Labor Relations Manager</u>	<u>9, 11</u>
<u>Labor Relations Specialist</u>	<u>7-9, 11</u>

Financial Management Division

<u>CEA</u>	<u>All</u>
<u>Chief</u>	<u>1-8</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-98</u>
<u>Accounting Administrator (All Levels)</u>	<u>7-98</u>
<u>Senior Administrative Analyst</u>	<u>8</u>
<u>Mailing Machines Supervisor (All Levels)</u>	<u>10</u>
<u>Office Services Manager (All Levels)</u>	<u>9</u>
Associate Accounting Analyst	7-9
Associate Administrative Analyst	7-9
Associate Budget Analyst	7-9
Senior Accounting Officer	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst (Specialist)	8
Policy, Planning and Evaluation Division	
Staff Services Manager III	1-4, 7-9
Staff Services Manager I or II	7-9
Associate Management Analyst	7-9
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Staff Information Systems Analyst	8
Legal Department	
<u>Chief Counsel</u>	<u>1-8</u>
<u>CEA (All Levels)</u>	<u>All 1-8</u>
<u>Assistant Chief Counsel</u>	<u>All 1-8</u>
<u>Tax Counsel</u>	<u>1-67</u>
<u>Supervising Tax Auditor</u>	<u>1, 2</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>9</u>
Administrative Assistant	7-9
Librarian	7, 89
Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Business Taxes Specialist II or III	1, 2, 7
Business Taxes Specialist I	1, 2, *, 7
Business Taxes Compliance Supervisor (Offers in Compromise)	1, 2
Business Taxes Compliance Specialist (Offers in Compromise)	1, 2, 7
Business Taxes Specialist (Offers in Compromise) (All Levels)	1, 2, 7
Internal Security and Audit Division	
CEA	All
Business Taxes Administrator	All
Business Taxes Specialist	All
Associate Tax Auditor	All
Associate Governmental Program Analyst	7-9
Systems Software Specialist	8

Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Assistant Information Systems Analyst	8
<u>Investigations and Special Operations Division</u>	
CEA	All
Chief	1-8
Business Taxes Administrator (All Levels)	1, 2, 7, 9
Business Taxes Compliance Supervisor (All Levels)	1-7, 9
Business Taxes Specialist (All Levels)	1, 2-7
Business Taxes Compliance Specialist	1, 2-7
Supervising Tax Auditor	1, 2, 9-7
Associate Tax Auditor	1, 2-7
Senior Information Systems Analyst (Specialist)	10
Staff Information Systems Analyst	8, 10
<u>Data Analysis Section</u>	
Supervising Tax Auditor (All Levels)	1-8
Business Taxes Specialist II or III	1, 7
Business Taxes Specialist I	12
Business Taxes Compliance Specialist	12
<u>Property and Special Taxes Department</u>	
CEA	All
Administrative Assistant	1, 2, 6-9
Staff Services Manager	7-9
Associate Governmental Program Analyst	3, 7-9
Staff Information Systems Analyst	8
<u>Assessment Policy and Standards Division</u>	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Research Analyst II (GIS)	3
<u>County Property Tax Division</u>	
CEA	3, 4, 7-9
Principal Property Appraiser	3, 7-9
Senior Petroleum and Mining Appraisal Engineer	3
Supervising Property Appraiser (Assessment Practices Surveys Section)	3, 7-9
Senior Specialist Property Appraiser (Assessment Practices Surveys Section)	3

Senior Specialist Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Associate Property Appraiser (Assessment Practices Surveys Section)	3
Associate Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Supervising Property Appraiser (Timber Tax Section)	4, 7-9
Senior Specialist Property Auditor Appraiser (Timber Tax Section)	4
Associate Property Auditor Appraiser (Timber Tax Section)	4
Senior Forest Property Appraiser	4
Associate Forest Property Appraiser	4
Valuation Division	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Excise Taxes and Fees Division	
CEA	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 6, 9
Business Taxes Compliance Specialist	1, 2, 6, 9
Supervising Tax Auditor	1, 2, 6, 9
Business Taxes Specialist II or III	1, 2, 6, 9
Business Taxes Specialist I	1, 2, 6*
Associate Tax Auditor	1, 2, 6*
Fuel Taxes Division	
CEA	1, 2, 7-9
Business Taxes Administrator	1, 2, 7-9
Staff Services Manager	1, 2, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 9
Business Taxes Specialist II or III	1, 2, 9
Business Taxes Specialist I	1, 2*
Associate Tax Auditor	1, 2*
<u>Property and Special Taxes Department</u>	
<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III (Technical Advisors)</u>	<u>1-4, 6-7, 9</u>

County-Assessed Properties Division & State-Assessed Properties Division

<u>CEA (All Levels)</u>	<u>2-7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>9, 13</u>
<u>Principal Property Appraiser</u>	<u>9, 13</u>
<u>Principal Property Appraiser (Timber)</u>	<u>4, 9</u>
<u>Supervising Property Appraiser</u>	<u>9, 13</u>
<u>Supervising Property Appraiser (SAPD)</u>	<u>9, 13</u>
<u>Research Manager (All Levels)</u>	<u>9, 13</u>
<u>Senior Specialist Property Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Senior Forest Property Appraiser</u>	<u>4</u>
<u>Senior Petroleum and Mining Appraisal Engineer</u>	<u>13</u>
<u>Associate Forest Property Appraiser</u>	<u>4</u>
<u>Associate Property Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Assistant Property Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>13</u>
<u>Research Analyst (All Levels)</u>	<u>13</u>
<u>Tax Auditor</u>	<u>13</u>
<u>Business Tax Representative (Timber)</u>	<u>4</u>
<u>Associate Government Program Analyst</u>	<u>13</u>
<u>Staff Services Analyst (LEOP)</u>	<u>12</u>

Special Taxes Policy & Compliance Division and Special Taxes Audit and Carrier Division

<u>CEA (All Levels)</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Specialist II or III</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>

Sales and Use Tax Department

<u>CEA 3 or 4</u>	<u>All</u>
<u>CEA 2</u>	<u>1, 2, 7-9</u>
<u>Business Taxes Administrator</u>	<u>1, 2, 7-9</u>
<u>Administrative Assistant</u>	<u>1, 2, 7, 8</u>

Business Taxes Compliance Supervisor (LAN Administrator)	1, 2, 8, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 7, 9
Business Taxes Specialist II or III	1, 2, 7, 9
Business Taxes Specialist (Special Projects)	1, 2, 7, 9
Business Taxes Specialist (Systems Coordinator)	1, 2, 8, 9
Business Taxes Specialist (Training Group)	1, 2, 9
Business Taxes Specialist (Computer Audit Specialist)	1, 2, 8
Business Taxes Specialist (Regulation Coordinator)	1, 2
Business Taxes Specialist (Revenue Opportunity)	1, 2
Business Taxes Specialist (Technical Advisor)	1, 2
Business Taxes Specialist (Project Coordinator)	1, 2
Business Taxes Specialist I	1, 2 *
Associate Tax Auditor	1, 2 *
Staff Information Systems Analyst	1, 2, 8
Associate Information Systems Analyst	1, 2, 8
Associate Governmental Program Analyst	1, 2

Sales and Use Tax Department

<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

Headquarters Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Tax Policy Division

<u>Chief</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9, 10</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist I</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Field Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Technician (All Levels)</u>	<u>1, 7</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>

Retired Annuitants *

Consultants/New Positions All**

CROS Evaluators ***

~~* Pursuant to 2 California Code of Regulations, section 18733, in lieu of filing Form 700, an employee in this disclosure category who was not assigned and did not participate in any property tax audits shall file Form 700 A.~~

~~** Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation: The Executive Director may determine in writing that a particular consultant is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.~~

* Retired Annuitants who are appointed in classifications corresponding to civil service employee classification categories listed in this conflict of interest code will disclose under that civil service classification category.

** Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code, § 81008.)

*** CROS Evaluators and CROS Subject Matter Experts shall disclose based on the designations made (1) for Evaluators or Subject Matter Experts under the CROS Project Team and (2) the position, if designated, that they hold with any other department/division/office/section at the State Board of Equalization.

Final Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix B
Disclosure Categories

Category 1

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the State Board of Equalization (Board) under the Bradley-Burns Uniform Local Sales and Use Tax Law, the Sales and Use Tax Law, and the Transactions and Use Tax Law.

Category 2

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the Board, including those licensed, taxed or regulated by or registered with the Board under the Aircraft Jet Fuel Tax, Alcoholic Beverage Tax Law, California Cigarette and Tobacco Products Licensing Act of 2003, California Tire Fee Law, Childhood Lead Poisoning Prevention Fee Law, Cigarette and Tobacco Products Tax Law, Diesel Fuel Tax Law, Electronic Waste Recycling Fee Law~~Act of 2003~~, Emergency Telephone Users Surcharge Law, Energy Resources Surcharge Law, Fire Prevention Fee Law, Hazardous Waste Activity Fee Law, Hazardous Waste Disposal Fee Law, Hazardous Waste Environmental Fee Law, Hazardous Waste Facility Fee Law, Hazardous Waste Generator Fee Law~~Hazardous Substances Tax Law~~, Integrated Waste Management Fee Law, International Fuel Tax Agreement, Marine Invasive Species Fee Collection Law, Motor Vehicle Fuel Tax Law, Natural Gas Surcharge Law, Occupational Lead Poisoning Prevention Fee Law, Oil Spill Response, Prevention, and Administration Fees Law, Oil Spill Response Fee Law, Underground Storage Tank Maintenance Fee Law, Use Fuel Tax Law, or Water Rights Fees Law.

Category 3

Designated employeespositions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within the State of California.

Category 4

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type registered with or regulated by the Board under the Timber Yield Tax Law and interests in real property classified as timberland under the Timber Yield Tax Law.

Category 5

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources who have filed an appeal within the preceding 12 months or have an appeal pending under the Personal Income Tax Law, Bank and Corporation Tax Law, or Senior Citizens Property Tax Assistance Law or have a pending claim under the Taxpayers' Bill of Rights for one of these tax programs.

Category 6

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources authorized by the Insurance Commissioner to transact business in this state.

Category 7

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that were the subject of a complaint to, investigation by, or enforcement action of the Board, or administrative action before the Board that was acted upon or participated in by the filer during the period covered by the statement of economic interest.

Category 8

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Board.

Category 79

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Boarddesignated position's department, division, section, or office.

Category 810

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide telecommunications equipment, computers, computer programming, or any other services or training or equipment related to such things as computers, software, automation, data processing, communication and telecommunication, information technology, and duplication services of the type utilized by the Board.

Category 911

Designated employees positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide services, materials, equipment, conference facilities, or consultation of the type utilized by the Board for personnel services or the training of employees.

Category 12

Designated positions in this category shall indicate whether, during the reporting period, they had a financial interest in any of their assignments. If they had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interests in any of their assignments on the schedules for Fair Political Practices Commission Form 700.

Category 10

~~Designated employees in this category must report investments, business positions in business entities, and sources of income, including loans, gifts and travel payments, from sources that provide telecommunications equipment and services of the type utilized by the Board.~~

Category 13

Designated positions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within 500 feet of the real property the designated position was assigned to assess or value or exempt.

FILE PRINT

STATE OF CALIFORNIA--OFFICE OF ADMINISTRATIVE LAW

NOTICE PUBLICATION/REGULATION SUBMISSION

See instructions on reverse)

For use by Secretary of State only

STD. 400 (REV. 01-2013)

OAL FILE NUMBER	NOTICE FILE NUMBER	REGULATORY ACTION NUMBER	EMERGENCY NUMBER
	Z-2014-1125-01	2015-0219-01FP	

For use by Office of Administrative Law (OAL) only

2015 FEB 19 PM 1:16
 OFFICE OF
 ADMINISTRATIVE LAW

NOTICE

REGULATIONS

AGENCY FILE NUMBER (if any)

AGENCY WITH RULEMAKING AUTHORITY
State Board of Equalization

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE		TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE	
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other		4. AGENCY CONTACT PERSON		TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY		ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn		NOTICE REGISTER NUMBER	PUBLICATION DATE

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) Conflict-of-Interest Code	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) Z-2014-1125-01
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SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)

SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT
	AMEND 6001
	REPEAL
TITLE(S) 18	

3. TYPE OF FILING

<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input checked="" type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))		<input type="checkbox"/> Other (Specify) _____	

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)

<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))	<input type="checkbox"/> Effective on filing with Secretary of State	<input type="checkbox"/> §100 Changes Without Regulatory Effect	<input checked="" type="checkbox"/> Effective other (Specify) <u>30th day after SOS filing per 1974 PRA</u>
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6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY

<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)	<input checked="" type="checkbox"/> Fair Political Practices Commission	<input type="checkbox"/> State Fire Marshal
<input type="checkbox"/> Other (Specify) _____		

7. CONTACT PERSON Rick Bennion	TELEPHONE NUMBER (916) 445-2130	FAX NUMBER (Optional) (916) 324-3984	E-MAIL ADDRESS (Optional) rbennion@boe.ca.gov
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8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

For use by Office of Administrative Law (OAL) only

SIGNATURE OF AGENCY HEAD OR DESIGNEE 	DATE February 19, 2015
TYPED NAME AND TITLE OF SIGNATORY Joann Richmond, Chief, Board Proceedings Division	

Final Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

6001. General Provisions.

The Political Reform Act (~~Government Code Sections~~ Gov. Code, § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (~~2-Cal. Code of Regs., tit. 2, Section §~~ (Regulation) 18730) containing the terms of a standard conflict of interest code, which can be incorporated by reference ~~in an agency's code, and which may, a~~ After public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of ~~2-Cal. Code of Regs. Section~~ Regulation 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices A and B, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the State Board of Equalization.

~~All designated employees of the State Board of Equalization~~ Individuals holding designated positions shall file their statements of economic interests with the State Board of Equalization, which will make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the ~~a~~ statement ~~for~~ the Executive Director, the State Board of Equalization shall make and retain ~~copies~~ a copy and forward the original to the Fair Political Practices Commission. ~~Statements of a~~ All other statements ~~designated employees~~ will be retained by the State Board of Equalization.

Note: Authority cited: Sections 87300-87311, Government Code. Reference: Sections 87300-87302 and 873063, Government Code.

Final Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix A

Designated Positions	Disclosure Categories
<u>Board Members' Offices</u>	
Deputy to Board Member	<u>Alt1-8</u>
Administrative Assistant to Board Member	<u>Alt1-8</u>
Assistant to Board Member	<u>Alt1-8</u>
CEA (All Levels)	<u>Alt1-8</u>
<u>District Director</u>	<u>1-8</u>
<u>Community Affairs Deputy</u>	<u>1-8</u>
Administrative Assistant	1-8
Staff Services Manager	<u>1-8, 9</u>
Tax Counsel (All Levels)	<u>1-67</u>
Tax Consultant Expert (All Levels)	<u>1-67</u>
Tax Services Specialist	<u>1-67</u>
Assistant Tax Services Specialist	<u>1-67</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>
Associate Governmental Program Analyst	<u>1-2, 4-67</u>
Business Taxes Representative	<u>1-67</u>
Business Taxes Specialist H(All Levels)	<u>1-67</u>
Information Officer H(All Levels)	<u>1-2, 4-67</u>
<u>Special Consultant</u>	<u>1-7</u>
<u>Executive Office</u>	
Executive Director	<u>Alt1-8</u>
Administrative Assistant	Alt
CEA (Communications Office)	Alt
<u>Executive Services Section</u>	
<u>Staff Services Manager (All Levels)</u>	<u>1-8</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-2, 4-7</u>
<u>Associate Management Analyst</u>	<u>1-2, 4-7</u>
<u>Associate Governmental Program Analyst</u>	<u>1-2, 4-7</u>
<u>Board Proceedings Division</u>	
CEA	Alt
<u>Chief</u>	<u>1-8</u>
Staff Services Manager	<u>Alt7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>7, 9</u>
Associate Governmental Program Analyst (LAN Workgroup Manager)	1-6, 8
Associate Governmental Program Analyst	1-6
<u>Customer and Taxpayer Services Division</u>	

CEA	All
Staff Services Manager	1-9
Business Taxes Compliance Specialist	1-6
Assistant Tax Services Specialist	1-6
Associate Governmental Program Analyst	1-6
Associate Information Systems Analyst	8
Staff Services Manager (Forms and Publications Section)	7, 9
Assistant Tax Services Specialist (Forms and Publications Section)	7, 8
Associate Governmental Program Analyst (Forms and Publications Section)	7, 8
 <u>External Affairs Department</u>	
<u>Deputy Director</u>	1-8
 <u>Outreach Services Division</u>	
<u>Staff Services Manager (All Levels)</u>	1-2, 4-7, 9
<u>Information Officer (All Levels)</u>	1-2, 4-7
<u>Tax Services Specialist</u>	1-2, 4-7
 <u>Customer Service and Publishing Division</u>	
<u>Staff Services Manager (All Levels)</u>	1-2, 4-7
<u>Business Taxes Compliance Supervisor (All Levels)</u>	1-2, 7, 9-11
<u>Tax Service Specialist</u>	1-2, 7, 9-11
<u>Business Taxes Compliance Specialist</u>	1-2, 7
<u>Systems Software Specialist (All Levels)</u>	10
 <u>Web Services Division</u>	
<u>Senior Information Systems Analyst (Supervisor)</u>	10
 <u>Office of Public Affairs</u>	
<u>Tax Service Specialist</u>	1-2, 4-8
<u>Information Officer (All Levels)</u>	1-2, 4-7, 9
<u>Staff Services Manager</u>	1-2, 4-7, 9
 <u>Legislative and Research Division</u>	
Assistant Chief Counsel	All
CEA (All Levels)	All-8
Staff Services Manager (All Levels)	1-6, 7, 9
Senior Specialist Property Appraiser	1-6
Business Taxes Specialist (All Levels)	1-6, 7
Associate Governmental Program Analyst (System or LAN Administrator)	1-6, 8
Operations Research Specialist	1-4, 6, 8
Research Manager (All Levels)	1-2, 5, 9
Research Program Specialist (All Levels)	1-4, 8, 2, 5, 9
Research Analyst	1-4, 8

Technology Services Division~~Department~~

<u>Chief Information Officer</u>	<u>1-8</u>
<u>CEA (All Levels)</u>	All <u>1-8</u>
<u>Data Processing Manager IV</u>	All <u>1-8</u>
Business Taxes Administrator	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 7-9
<u>Data Processing Manager (I-III)</u>	<u>7-9</u> <u>10</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-9</u> <u>10</u>
<u>Systems Software Specialist (II or III)</u>	<u>8</u> <u>10</u>
<u>Systems Software Specialist III (Supervisor)</u>	<u>10</u>
Associate Systems Software Specialist	8
<u>Senior Information Systems Analyst (Specialist or Supervisor)</u>	<u>8</u> <u>10</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	<u>8</u> <u>10</u>
Associate Information Systems Analyst	8
<u>Senior Programmer Analyst (Supervisor)</u>	<u>8</u> <u>10</u>
Staff Programmer Analyst	8
Associate Programmer Analyst	8
Associate Governmental Program Analyst	8

CROS Project Team

<u>CEA (All Levels)</u>	<u>1-8</u>
<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Business Taxes Administrator III</u>	<u>1-2, 4-8</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Services Manager (All Levels)</u>	<u>10</u>
<u>Business Taxes Representative (All Levels)</u>	<u>10</u>
<u>Associate Governmental Program Analyst</u>	<u>10</u>
<u>Systems Software Specialist (All Levels)</u>	<u>10</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Senior Programmer Analyst (Specialist)</u>	<u>10</u>
<u>Staff Information Systems Analyst (Specialist or Supervisor)</u>	<u>10</u>
<u>Evaluators***</u>	<u>10</u>
<u>Subject Matter Experts ***</u>	<u>10</u>

Taxpayers' Rights and Equal Employment Opportunity Division

CEA	All
<u>Taxpayers' Rights Advocate</u>	<u>1-8</u>
<u>Tax Counsel (All Levels)</u>	<u>1-7</u>
<u>Business Taxes Administrator (TRA) (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (TRA) (All Levels)</u>	<u>1-4</u> <u>7</u>
<u>Senior Specialist Property Appraiser (TRA)</u>	<u>3, 7</u>
<u>Assistant Property Appraiser (TRA)</u>	<u>3, 7</u>
Associate Property Appraiser (TRA)	1-4
<u>Staff Services Manager (EEO) (All Levels)</u>	<u>7, 9</u>
Associate Governmental Program Analyst (EEO)	7, 9

Internal Audit Division

<u>Chief</u>	<u>1-8</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-7, 9</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>1-7</u>
<u>Associate Tax Auditor</u>	<u>1-7</u>

Administration Department

CEA	Alt
Training Officer (Training Office)	9
Associate Governmental Program Analyst (Training Office)	9
<u>Deputy Director</u>	<u>1-8</u>

Information Security Office

<u>Data Processing Manager (All Levels)</u>	<u>1-2, 4-8</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>10</u>
<u>Staff Information Systems Analyst</u>	<u>10</u>
<u>Associate Information Systems Analyst</u>	<u>10</u>

Administrative Support Division

CEA	Alt
<u>Chief</u>	<u>1-7, 9-10</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-10, 1-7, 9-10</u>
Associate Business Management Analyst	7-9
Associate Governmental Program Analyst	7-9
Telecommunications Systems Analyst	10
Office Services Manager (Supervisor, Cashier Unit)	7
<u>Business Services Officer (Supervisor)</u>	<u>9-10</u>
<u>Printing Trades Supervisor (All Levels)</u>	<u>9-10</u>
<u>Warehouse Manager (All Levels)</u>	<u>9-10</u>
<u>Office Services Supervisor (All Levels)</u>	<u>9-10</u>
<u>Chief Construction Supervisor</u>	<u>9-10</u>

~~Personnel Management~~Human Resources Division

CEA	7-9
<u>Chief</u>	<u>1-7, 9, 11</u>
<u>Staff Services Manager (All Levels)</u>	<u>7-9, 11</u>
<u>Associate Governmental Program Analyst</u>	<u>7-9, 11</u>
<u>Associate Personnel Analyst</u>	<u>7-9, 11</u>
<u>Personnel Supervisor (All Levels)</u>	<u>9, 11</u>
<u>Training Officer</u>	<u>9, 11</u>
<u>Labor Relations Manager</u>	<u>9, 11</u>
<u>Labor Relations Specialist</u>	<u>7-9, 11</u>

Financial Management Division

CEA	All
Chief	<u>1-8</u>
Staff Services Manager (All Levels)	<u>7-98</u>
Accounting Administrator (All Levels)	<u>7-98</u>
Senior Administrative Analyst	<u>8</u>
Mailing Machines Supervisor (All Levels)	<u>10</u>
Office Services Manager (All Levels)	<u>9</u>
Associate Accounting Analyst	<u>7-9</u>
Associate Administrative Analyst	<u>7-9</u>
Associate Budget Analyst	<u>7-9</u>
Senior Accounting Officer	<u>7-9</u>
Associate Governmental Program Analyst	<u>7-9</u>
Staff Information Systems Analyst (Specialist)	<u>8</u>
Policy, Planning and Evaluation Division	
Staff Services Manager III	<u>1-4, 7-9</u>
Staff Services Manager I or II	<u>7-9</u>
Associate Management Analyst	<u>7-9</u>
Associate Business Management Analyst	<u>7-9</u>
Associate Governmental Program Analyst	<u>7-9</u>
Staff Information Systems Analyst	<u>8</u>
Legal Department	
Chief Counsel	<u>1-8</u>
CEA (All Levels)	<u>AH1-8</u>
Assistant Chief Counsel	<u>AH1-8</u>
Tax Counsel	<u>1-67</u>
Supervising Tax Auditor	<u>1, 2</u>
Business Taxes Administrator (All Levels)	<u>9</u>
Administrative Assistant	<u>7-9</u>
Librarian	<u>7, 89</u>
Staff Information Systems Analyst	<u>8</u>
Associate Information Systems Analyst	<u>8</u>
Business Taxes Specialist II or III	<u>1, 2, 7</u>
Business Taxes Specialist I	<u>1, 2*, 7</u>
Business Taxes Compliance Supervisor (Offers in Compromise)	<u>1, 2</u>
Business Taxes Compliance Specialist (Offers in Compromise)	<u>1, 2, 7</u>
Business Taxes Specialist (Offers in Compromise) (All Levels)	<u>1, 2, 7</u>
Internal Security and Audit Division	
CEA	All
Business Taxes Administrator	All
Business Taxes Specialist	All
Associate Tax Auditor	All
Associate Governmental Program Analyst	<u>7-9</u>
Systems Software Specialist	<u>8</u>

Staff Information Systems Analyst	8
Associate Information Systems Analyst	8
Assistant Information Systems Analyst	8
Investigations and Special Operations Division	
CEA	All
Chief	<u>1-8</u>
Business Taxes Administrator (All Levels)	<u>1,2,7,9</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1-7,9</u>
Business Taxes Specialist (All Levels)	<u>1,2-7</u>
Business Taxes Compliance Specialist	<u>1,2-7</u>
Supervising Tax Auditor	<u>1,2,9-7</u>
Associate Tax Auditor	<u>1,2-7</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
Staff Information Systems Analyst	<u>810</u>
Data Analysis Section	
<u>Supervising Tax Auditor (All Levels)</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III</u>	<u>1,7</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
Property and Special Taxes Department	
CEA	All
Administrative Assistant	<u>1,2,6-9</u>
Staff Services Manager	<u>7-9</u>
Associate Governmental Program Analyst	<u>3,7-9</u>
Staff Information Systems Analyst	<u>8</u>
Assessment Policy and Standards Division	
CEA	<u>3,7-9</u>
Principal Property Appraiser	<u>3,7-9</u>
Senior Specialist Property Appraiser	<u>3</u>
Senior Specialist Property Auditor Appraiser	<u>3</u>
Supervising Property Appraiser	<u>3,7-9</u>
Associate Property Appraiser	<u>3</u>
Associate Property Auditor Appraiser	<u>3</u>
Research Analyst II (GIS)	<u>3</u>
County Property Tax Division	
CEA	<u>3,4,7-9</u>
Principal Property Appraiser	<u>3,7-9</u>
Senior Petroleum and Mining Appraisal Engineer	<u>3</u>
Supervising Property Appraiser (Assessment Practices Surveys Section)	<u>3,7-9</u>
Senior Specialist Property Appraiser (Assessment Practices Surveys Section)	<u>3</u>

Senior Specialist Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Associate Property Appraiser (Assessment Practices Surveys Section)	3
Associate Property Auditor Appraiser (Assessment Practices Surveys Section)	3
Supervising Property Appraiser (Timber Tax Section)	4, 7-9
Senior Specialist Property Auditor Appraiser (Timber Tax Section)	4
Associate Property Auditor Appraiser (Timber Tax Section)	4
Senior Forest Property Appraiser	4
Associate Forest Property Appraiser	4
Valuation Division	
CEA	3, 7-9
Principal Property Appraiser	3, 7-9
Senior Specialist Property Appraiser	3
Senior Specialist Property Auditor Appraiser	3
Supervising Property Appraiser	3, 7-9
Associate Property Appraiser	3
Associate Property Auditor Appraiser	3
Excise Taxes and Fees Division	
CEA	1, 2, 7-9
Business Taxes Compliance Supervisor	1, 2, 6, 9
Business Taxes Compliance Specialist	1, 2, 6, 9
Supervising Tax Auditor	1, 2, 6, 9
Business Taxes Specialist II or III	1, 2, 6, 9
Business Taxes Specialist I	1, 2, 6*
Associate Tax Auditor	1, 2, 6*
Fuel Taxes Division	
CEA	1, 2, 7-9
Business Taxes Administrator	1, 2, 7-9
Staff Services Manager	1, 2, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 9
Business Taxes Specialist II or III	1, 2, 9
Business Taxes Specialist I	1, 2*
Associate Tax Auditor	1, 2*
<u>Property and Special Taxes Department</u>	
<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III (Technical Advisors)</u>	<u>1-4, 6-7, 9</u>

County-Assessed Properties Division & State-Assessed Properties Division

<u>CEA (All Levels)</u>	<u>2-7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>9, 13</u>
<u>Principal Property Appraiser</u>	<u>9, 13</u>
<u>Principal Property Appraiser (Timber)</u>	<u>4, 9</u>
<u>Supervising Property Appraiser</u>	<u>9, 13</u>
<u>Supervising Property Appraiser (SAPD)</u>	<u>9, 13</u>
<u>Research Manager (All Levels)</u>	<u>9, 13</u>
<u>Senior Specialist Property Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser</u>	<u>13</u>
<u>Senior Specialist Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Senior Forest Property Appraiser</u>	<u>4</u>
<u>Senior Petroleum and Mining Appraisal Engineer</u>	<u>13</u>
<u>Associate Forest Property Appraiser</u>	<u>4</u>
<u>Associate Property Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser</u>	<u>13</u>
<u>Associate Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Assistant Property Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser</u>	<u>13</u>
<u>Assistant Property Auditor Appraiser (Timber)</u>	<u>4</u>
<u>Business Taxes Specialist (All Levels)</u>	<u>13</u>
<u>Research Analyst (All Levels)</u>	<u>13</u>
<u>Tax Auditor</u>	<u>13</u>
<u>Business Tax Representative (Timber)</u>	<u>4</u>
<u>Associate Government Program Analyst</u>	<u>13</u>
<u>Staff Services Analyst (LEOP)</u>	<u>12</u>

Special Taxes Policy & Compliance Division and Special Taxes Audit and Carrier Division

<u>CEA (All Levels)</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1-2, 6, 7</u>
<u>Business Taxes Specialist II or III</u>	<u>1-2, 6, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>

Sales and Use Tax Department

<u>CEA 3 or 4</u>	<u>All</u>
<u>CEA 2</u>	<u>1, 2, 7, 9</u>
<u>Business Taxes Administrator</u>	<u>1, 2, 7, 9</u>
<u>Administrative Assistant</u>	<u>1, 2, 7, 8</u>

Business Taxes Compliance Supervisor (LAN Administrator)	1, 2, 8, 9
Business Taxes Compliance Supervisor	1, 2, 9
Business Taxes Compliance Specialist	1, 2, 9
Supervising Tax Auditor	1, 2, 7, 9
Business Taxes Specialist II or III	1, 2, 7, 9
Business Taxes Specialist (Special Projects)	1, 2, 7, 9
Business Taxes Specialist (Systems Coordinator)	1, 2, 8, 9
Business Taxes Specialist (Training Group)	1, 2, 9
Business Taxes Specialist (Computer Audit Specialist)	1, 2, 8
Business Taxes Specialist (Regulation Coordinator)	1, 2
Business Taxes Specialist (Revenue Opportunity)	1, 2
Business Taxes Specialist (Technical Advisor)	1, 2
Business Taxes Specialist (Project Coordinator)	1, 2
Business Taxes Specialist I	1, 2 *
Associate Tax Auditor	1, 2 *
Staff Information Systems Analyst	1, 2, 8
Associate Information Systems Analyst	1, 2, 8
Associate Governmental Program Analyst	1, 2

Sales and Use Tax Department

<u>Deputy Director</u>	<u>1-8</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

Headquarters Operations Division

<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>

Tax Policy Division

<u>Chief</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7, 9, 10</u>
<u>Supervising Tax Auditor II or III</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9, 10</u>
<u>Business Taxes Specialist I</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>1, 7</u>

<u>Supervising Tax Auditor I</u>	<u>1, 7</u>
<u>Supervising Tax Technician</u>	<u>1, 7</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Senior Information Systems Analyst (Specialist)</u>	<u>10</u>
<u>Field Operations Division</u>	
<u>Chief</u>	<u>1, 7, 9</u>
<u>Business Taxes Administrator (All Levels)</u>	<u>1, 7, 9</u>
<u>Business Taxes Specialist II or III</u>	<u>1, 7</u>
<u>Business Taxes Compliance Supervisor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Auditor (All Levels)</u>	<u>1, 7</u>
<u>Supervising Tax Technician (All Levels)</u>	<u>1, 7</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>
<u>Business Taxes Compliance Specialist</u>	<u>12</u>
<u>Business Taxes Representative</u>	<u>12</u>
<u>Business Taxes Specialist I</u>	<u>12</u>
<u>Associate Tax Auditor</u>	<u>12</u>
<u>Tax Auditor</u>	<u>12</u>
<u>Information Officer (All Levels)</u>	<u>1, 7</u>
<u>Retired Annuitants</u>	<u>*</u>
<u>Consultants/New Positions</u>	<u>All**</u>
<u>CROS Evaluators</u>	<u>***</u>

~~* Pursuant to 2 California Code of Regulations, section 18733, in lieu of filing Form 700, an employee in this disclosure category who was not assigned and did not participate in any property tax audits shall file Form 700 A.~~

~~** Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation: The Executive Director may determine in writing that a particular consultant is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.~~

* Retired Annuitants who are appointed in classifications corresponding to civil service employee classification categories listed in this conflict of interest code will disclose under that civil service classification category.

** Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code, § 81008.)

*** CROS Evaluators and CROS Subject Matter Experts shall disclose based on the designations made (1) for Evaluators or Subject Matter Experts under the CROS Project Team and (2) the position, if designated, that they hold with any other department/division/office/section at the State Board of Equalization.

Final Text of Proposed Amendments to
California Code of Regulations, Title 18, Section 6001

Appendix B
Disclosure Categories

Category 1

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the State Board of Equalization (Board) under the Bradley-Burns Uniform Local Sales and Use Tax Law, the Sales and Use Tax Law, and the Transactions and Use Tax Law.

Category 2

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type licensed or regulated by or registered with the Board, including those licensed, taxed or regulated by or registered with the Board under the Aircraft Jet Fuel Tax, Alcoholic Beverage Tax Law, California Cigarette and Tobacco Products Licensing Act of 2003, California Tire Fee Law, Childhood Lead Poisoning Prevention Fee Law, Cigarette and Tobacco Products Tax Law, Diesel Fuel Tax Law, Electronic Waste Recycling Fee Law~~Act of 2003~~, Emergency Telephone Users Surcharge Law, Energy Resources Surcharge Law, Fire Prevention Fee Law, Hazardous Waste Activity Fee Law, Hazardous Waste Disposal Fee Law, Hazardous Waste Environmental Fee Law, Hazardous Waste Facility Fee Law, Hazardous Waste Generator Fee Law~~Hazardous Substances Tax Law~~, Integrated Waste Management Fee Law, International Fuel Tax Agreement, Marine Invasive Species Fee Collection Law, Motor Vehicle Fuel Tax Law, Natural Gas Surcharge Law, Occupational Lead Poisoning Prevention Fee Law, Oil Spill ~~Response~~, Prevention, and Administration Fees Law, Oil Spill Response Fee Law, Underground Storage Tank Maintenance Fee Law, Use Fuel Tax Law, or Water Rights Fees Law.

Category 3

Designated employeespositions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within the State of California.

Category 4

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources of the type registered with or regulated by the Board under the Timber Yield Tax Law and interests in real property classified as timberland under the Timber Yield Tax Law.

Category 5

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources who have filed an appeal within the preceding 12 months or have an appeal pending under the Personal Income Tax Law, Bank and Corporation Tax Law, or Senior Citizens Property Tax Assistance Law or have a pending claim under the Taxpayers' Bill of Rights for one of these tax programs.

Category 6

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources authorized by the Insurance Commissioner to transact business in this state.

Category 7

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that were the subject of a complaint to, investigation by, or enforcement action of the Board, or administrative action before the Board that was acted upon or participated in by the filer during the period covered by the statement of economic interest.

Category 8

Designated positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Board.

Category 79

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Boarddesignated position's department, division, section, or office.

Category 810

Designated employeespositions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide telecommunications equipment, computers, computer programming, or any other services or training or equipment related to such things as computers, software, automation, data processing, communication and telecommunication, information technology, and duplication services of the type utilized by the Board.

Category 911

Designated ~~employees~~ positions in this category must report investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide services, materials, equipment, conference facilities, or consultation of the type utilized by the Board for personnel services or the training of employees.

Category 12

Designated positions in this category shall indicate whether, during the reporting period, they had a financial interest in any of their assignments. If they had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interests in any of their assignments on the schedules for Fair Political Practices Commission Form 700.

Category 10

~~Designated employees in this category must report investments, business positions in business entities, and sources of income, including loans, gifts and travel payments, from sources that provide telecommunications equipment and services of the type utilized by the Board.~~

Category 13

Designated positions in this category must report (1) investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that own, or owned, property of the type assessed or valued or exempted by the Board, and (2) interests in real property located within 500 feet of the real property the designated position was assigned to assess or value or exempt.

This is the last page of the conflict-of-interest code for the **Board of Equalization**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict-of-interest code for the **Board of Equalization** was approved on February 17, 2015.

A handwritten signature in cursive script, reading "Erin V. Peth", written over a horizontal line.

Erin V. Peth

Executive Director

Fair Political Practices Commission

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date:

Effective: